

### Report of the Council on Clinical Affairs

Proposed changes/additions to oral health policies and clinical recommendations of the American Academy of Pediatric Dentistry

3/29/2022

211 East Chicago Avenue, Suite 1600 • Chicago, Illinois 60611 312-337-2169 • Fax: 312-337-6329 • www.aapd.org • www.mychildrensteeth.org These proposed changes/additions to oral health policies and best practices of the American Academy of Pediatric Dentistry will be considered at the General Assembly. These drafts do not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly.

These proposals are presented to the membership for their review and comment sixty (60) days prior to the General Assembly. Members may choose make comments directly to the council chair, <u>Dr. Thomas</u> <u>R. Stark</u>, any time prior to the Reference Committee hearings.

### **Circulation of these documents is limited to AAPD members.**

#### **Reference Committee hearings**

These hearings will take place on **Saturday, May 28, 2022, at from 10:00 to 11:30 AM in Room 14AB**. The hearings are open to all AAPD members, as well as non-members. Members are strongly encouraged to participate. Non-members will be polled and asked to identify themselves by the chair, who also has the authority to determine whether a non-member may comment. The Reference Committees are intended to be the venue for member discussion on any formal resolutions before the General Assembly: Constitution and Bylaws amendments, and proposed changes/additions to oral health policies and best practices recommendations of the American Academy of Pediatric Dentistry. This is an opportunity for members to present testimony on these matters and other business to come before the General Assembly. Reference Committee Reports will be posted online following the hearings.

#### **General Assembly**

The General Assembly is a meeting of Active and Life members for the purposes of conducting the business of the AAPD. Any AAPD member is welcome to attend, although only Active and Life members may vote. Final action on recommendations from Reference Committees takes place at the General Assembly. The General Assembly will meet on **Sunday, May 29, 2022, from 9:30 to 11:30 AM local (Pacific) time in Room 6CF** at the San Diego Convention Center.

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Thomas R. Stark, Chair Anupama R. Tate, Board Liaison Members Mitali Y. Patel, NE District Elizabeth S. Gosnell, NC District Erica Ann Brecher, SE District Elva V. Jordan, SW District Thomas Tanbonliong NW District Robert Schroth, Affiliate Member Consultants Erica M. Caffrey Judith R. Chin Charlie Clark Carolyn B. Crowell Jennifer Cully Maria Regina (Ninna) P. Estrella Scott Goodman Carolyn A. Kerins John W. Kersey, Jr. Randall K. Lout Priyanshi Ritwik Brian J. Sanders Rachael L. Simon Janice A. Townsend Karin Weber-Gasparoni Sabina S. Yun Derek S. Zurn Enrique Bimstein, Expert Consultant (Periodontics) Dan Burch, III, Expert Consultant (Diversity) Matthew Cooke, Expert Consultant (Anesthesia) Keri Discepolo, Expert Consultant (Diversity) Kevin J. Donly, Expert Consultant (Restorative) Catherine M. Flaitz, Expert Consultant (Periodontics) Catherine Hong, Expert Consultant (Immunotherapy) Janice Jackson, Expert Consultant (Abstracts) William Stenberg, Expert Consultant (Periodontics) Jenny Ison Stigers, Expert Consultant (Reference Manual) Adriana Modesto Vieira, Expert Consultant (Diversity)

Staff Liaisons

Robin Wright, Director, Pediatric Oral Health Research and Policy Center Mary Essling, Dental Benefits Director Rachel Wedeward, Research Project Manager Staff Assistants Adriana Loaiza, Publication Senior Manager Margaret A. Bjerklie, Governance and Operations Manager

#### Vision

The vision of the Council on Clinical Affairs (CCA) is to be a critical and vital aspect of **American Academy of Pediatric Dentistry continuing as the world leader on children's oral** health. Formed from a group of passionate, committed, and bright pediatric dentists, this council draws on its long history and responsibility to the organization and the children its members serve. With the common goal of providing the best and most current evidence-based science, documents are drafted that are relevant to healthcare providers and organizations, governmental bodies, and other industry stakeholders. With that bold platform, CCA is an invaluable resource for all of those parties that seek to impact the lives of children by vastly improving their oral health.

#### Duties

The duties of the Council on Clinical Affairs, Committee on Sedation and Anesthesia, as listed in the *AAPD Administrative Policy and Procedure Manual*, are to 1) advise the Board of Trustees on matters concerning the clinical practice of pediatric dentistry; 2) review and develop oral health policies and guidelines regarding the clinical practice of pediatric dentistry and submit recommendations through the Board of Trustees; 3) perform such other duties as assigned by the President of the Board of Trustees.

### Standing Charges

### Charge 1

Annually review the list of Reference Manual documents to be revised and provide a list of documents to be revised in the upcoming year to the board of trustees at its Winter Meeting. Include suggestions for new policies and best practices and tips for guidelines to be developed by the Evidence-Based Dentistry Committee.

*Background and Intent*: This is a standing charge to the Council. To be effective advocates for infants, children, adolescents, and persons with special health care needs, AAPD oral health policies and best practices must be supported by the best available evidence. Documents will be reviewed and revised/reaffirmed/retired in a cycle of not more than 5-year intervals. When there is sufficient reason (e.g., publications from a consensus conference), documents will be evaluated in advance of their scheduled **review cycle.** AAPD must delineate the organization's position on new and emerging health issues and translate science into clinical practice by developing new policies and best practices. CCA annually will monitor the policies and guidelines of other dental and medical healthcare organizations to determine when revisions have been made by the **authoring group and the appropriateness of AAPD's continued endorsement. In addition,** CCA will maintain a resource section within the Reference Manual that supplements AAPD oral health policies and best practices. An annual review will determine the accuracy of information and appropriateness for continued inclusion.

#### Progress Report

The following is a list of documents approved by the board of trustees for development in 2021-2022. Members of the councils on Clinical Affairs and Scientific Affairs who comprised the workgroups are listed above.

#### 2021-2022 CCA/CSA Documents

Definition of Dental Disability

The Council recommends retiring this document.

Rationale: The decades-old definition was initially included in the Reference Manual before the American Disabilities Act. Following an exhaustive review, the definition was not found to be cited by professional organizations or used to inform health care policies. The consensus of the Workgroup and CCA/CSA members was that the definition was not relevant or necessary.

Policy on Social Determinants of Health

Policy on Child Identification Programs

Policy on Mandatory School-entrance Oral Health Examinations

Policy on Role of Dental Prophylaxis in Pediatric Dentistry

Policy on Interim Therapeutic Restorations (ITR)

Policy on Management of the Frenulum in Pediatric Dental Patients

Policy on Dietary Recommendations for Infants, Children and Adolescents

Policy on Snacks and Beverages Sold in Schools

Policy on Use of Lasers for Pediatric Dental Patients

Policy on Acute Pediatric Dental Pain Management

Policy on Model Dental Benefits for Infants, Children, Adolescents, and Individuals with Special Health Care Needs

Policy on Third-party Reimbursement of Medical Fees Related to Sedation/General Anesthesia for Delivery of Oral Health Services

Policy on Third-party Fee Capping of Non-covered Services

Policy on Using Harvested Stem Cells

New Policy on Diversity, Equity, and Inclusion

New Policy on Use of Pacifiers

Endorsement, Healthy Beverage Consumption

The Council recommends retiring this document.

Rationale: Workgroup and Council members pointed out that the Endorsement does not align with the format and reference style of the Reference Manual. The consensus was to combine text and recommendations from the endorsement with the Policy on Dietary Recommendations and move the charts to Resource Section of Reference Manual.

Best Practices for Periodicity of Examination, Preventive Dental Services, Anticipatory Guidance/Counseling, and Oral Treatment for Infants, Children, and Adolescents

2021-2022 CCA/CSA Documents

Best Practices for Caries-risk Assessment and Management for Infants, Children, and Adolescents

Best Practices for Pain Management in Infants, Children, Adolescents, and Individuals with Special Health Care Needs

Best Practices for Restorative Dentistry

Best Practices for the Use of Antibiotic Therapy for Pediatric Dental Patients

This document was added to the review cycle due to the 2021 American Heart Association Scientific Statement on the prevention of Viridans Group Streptococcal Infective Endocarditis

Best Practices for Antibiotic Prophylaxis for Patients at Risk for Infection

This document was added to the review cycle due to the 2021 American Heart Association Scientific Statement on the prevention of Viridans Group Streptococcal Infective Endocarditis

Best Practices for Dental Management of Pediatric Patients Receiving Immunosuppressive Therapy and/or Radiation Therapy

NEW Best Practices on Risk Assessment and Management of Pediatric Periodontal Conditions

Best Practices on Oral and Dental Aspects of Child Abuse and Neglect This joint document with AAP is on the 2021-2022 CCA/CSA document cycle. The document is under development and has not been shared with the CCA/CSA to date.

#### Charge 2

Review, revise, and update the AAPD Reference Manual annually according to the schedule prepared in Charge 1.

*Background and Intent*: This is a standing charge to the Council. To be effective advocates for infants, children, adolescents, and persons with special health care needs, AAPD oral health policies and best practices must be supported by the best available evidence.

#### Progress Report

Literature reviews were completed over the summer. Policies and Best Practice documents were discussed on November 5-6, 2021, during a hybrid in-person/virtual workshop. Post-Workshop revisions were completed for review by the Board of Trustees. **The Board approved the Council's recommendation to** retire *Definition of a Dental Disability* and to delete *Endorsement on Healthy Beverage Consumption in Early Childhood: Recommendations from Key National Health and Nutrition Organizations: Summary of Oral Health Considerations* from the AAPD Reference Manual but retained the charts from the *Endorsement on Healthy Beverage Consumption* as a new resource document in the Resource Section of the AAPD Reference Manual. The Board approved title changes from *Policy on Snacks and Beverages Sold in Schools* **to "Policy on Snacks** and Sugar-**Sweetened Beverages Sold in Schools" and "***Policy on Acute Pediatric Pain* 

*Management"* to "Policy on *Pediatric Pain Management."* Finally, the board approved the **Council's recommendation to craft a document for the Resource Section of the AAPD** Reference Manual on *Systemic Diseases and Conditions Related to Periodontal Disease.* 

February 12, 2022 – CCA/CSA workgroup members provided feedback and comments on revised drafts of the documents during a 5-hour virtual workshop.

The Council on Dental Benefits provided feedback on the policies on *Third-party Reimbursement of Medical Fees Related to Sedation/General Anesthesia for Delivery of Oral Health Services* and *Third-party Fee Capping of Non-covered Services*.

March 29, 2022 - revisions submitted for review by the Membership 60 days before the General Assembly. Members were alerted to the availability of documents via AAPD E-news.

#### Charge 3

Advise the Committee on Communications of any updates to AAPD pamphlets, brochures, and other AAPD publications required for consistency with AAPD oral health policies and clinical recommendations.

*Background and Intent*: This is a standing charge to the Council to ensure that the publications and promotional and educational materials offered to our members, other professionals, and the public are scientifically accurate and consistent with our Policies and Guidelines.

#### Progress Report

No new AAPD pamphlets, brochures, or other AAPD publications were presented for review.

#### Charge 4

Review position papers prepared by the AAPD Pediatric Oral Health Policy and Research Center for consistency with AAPD oral health policies and clinical recommendations. *Background and Intent*: This is a standing charge to the Council to ensure that any definition, policy, guideline, or other publication offered to our members, other professionals, and the public are scientifically accurate and consistent with our Policies and Guidelines.

#### Progress Report

Pediatric Oral Health and Research Policy Center documents were shared during the November 5-6, 2021 workshop. Policy Center documents including the recently published *Silver Diamine Fluoride Policy and Fact Summary; Are your Kids Covered? - Medicaid Coverage for the Essential Health Benefits;* and *Denial of Access to Operating Room Time in Hospitals for Pediatric Dental Care* were circulated and reviewed by CCA members for consistency with AAPD oral health policies and clinical recommendations. These documents were identified as potential resources and sources for additional references for the assigned documents.

The Council is anticipating involvement and collaboration with the EBD Committee regarding the document on behavior management and, eventually, the document on frenectomy and

lactation. The CCA expects to maintain close communication as the EBD process progresses.

CCA members were also directed to review the recently constructed "*Disaster Preparedness Resource Hub*" link on the AAPD website.

### Charge 5

At the request of the Executive Committee of the AAPD, provide a timely review of **children's oral health policies and clinical recommendations prepared by other** organizations, with particular attention to conformity with AAPD oral health policies and clinical recommendations.

Background and Intent: This is a standing charge to the Council. This mechanism implements the intent of the Memorandum of Understanding with the AAP Section on Oral Health to review proposed documents for consistency with AAPD policies and guidelines. The Council will review these documents with sensitivity to the embargoed status of the drafts. A summary report will be submitted to the Executive Committee.

#### **Progress Report**

Best Practices on Oral and Dental Aspects of Child Abuse and Neglect. This joint document with AAP is on the 2021-2022 CCA/CSA document cycle. However, the paper is under development and has not been shared with the CCA/CSA to date. CCA decided to table the joint Best Practice for the 2022-2023 CCA/CSA document cycle.

#### Special Acknowledgment

The Council would like to recognize Dr. William Stenberg for sharing his time and **expertise with the Council over the past several years. Dr. Stenberg's** extensive clinical experience as a periodontist and vast knowledge of the periodontal literature helped shape the best practice documents for periodontal classification and risk assessment, and management of pediatric periodontal conditions.

### 2022-2023 Documents

### Council on Clinical Affairs 2022–2023

Definition of a Dental Home
Policy on Minimizing Occupational Health Hazards Associated with Nitrous Oxide
Policy on the Role of Pediatric Dentists as Both Primary and Specialty Care Providers
Policy on Use of Fluoride
Policy on the use of silver diamine fluoride
Policy on Prevention of Sports-related Orofacial Injuries
Policy on the Dental Home
Policy on Selecting Anesthesia Providers for the Delivery of Office-Based Deep Sedation/General Anesthesia
Policy on Patient's Bill of Rights and Responsibilities
Policy on Use of Dental Bleaching for Child and Adolescent Patients
Policy on Third-party Payor Audits, Abuse, and Fraud
Policy on School Absences for Dental Appointments
Best practice on Fluoride Therapy
Best practice on the use of Nitrous Oxide for Pediatric Dental Patients
Best practice on the use of Anesthesia Providers in the Administration of Office- based Deep Sedation/General Anesthesia to the Pediatric Dental Patient
Best practice on Monitoring and Management of Pediatric Patients During and After Sedation for Diagnostic and Therapeutic Procedures
Best practice on Informed Consent
Best practice on the use of Local Anesthesia for Pediatric Dental Patients
Best practice on behavior Guidance for the Pediatric Dental Patient

Endorsement on Management of Patients with Cleft Lip/Cleft Palate

### <sup>1</sup> Policy on Social Determinants of Children's Oral Health and

- 2 Health Disparities
- 3
- 4 AdoptedRevised
- 5 <del>2017</del> <u>2022</u>
- 6
- 7 ABBREVIATIONS
- 8 **AAPD**: American Academy Pediatric Dentistry. **SDH**: Social determinants of health.
- 9

### 10 <u>Purpose</u>

11 The American Academy of Pediatric Dentistry (AAPD) recognizes the influence of social factors on

12 children's oral health including access to care, dental disease, behaviors, and oral health inequalities. The

13 AAPD encourages oral health professionals and policymakers to formally acknowledge the role that

social determinants of health (SDH) have in producing and perpetuating poor oral health and oral health

15 inequalities in children. Moreover, AAPD encourages the implementation of oral health promotion

16 strategies that account for the SDH and appropriate clinical management protocols informed by and

17 sensitive to the SDH. All relevant stakeholders (e.g., health professionals, researchers, educators, policy

18 <u>makers</u>) are encouraged to develop strategies that incorporate SDH-related knowledge to improve oral

19 health behaviors, prevent dental disease, and address oral health inequalities in children.

20

### 21 Methods

22 This policy, developed by the Council on Clinical Affairs and adopted in 2017 (AAPD 2017), is based on

a review of the current literature, including a search of PubMed<sup>®</sup>/MEDLINE database using the terms:

social determinants AND dental; fields: all; limits: English, <u>age birth-18 years</u>. A total of <u>1485</u> 405

articles matched these criteria. Articles for review were selected from this list, the references within

selected articles, and other articles from the literature.

27

### 28 Background

29 The World Health Organization defines social determinants of health as "the conditions in which people

30 are born, grow, work, live, and age, and the wider set of forces and systems shaping the conditions of

31 daily life".(WHO 2021 2016) The concept of Life circumstances are heavily influenced by social

behaviors, cultural practices, government policies, and economic and political systems (da Fonseca and 32 33 Avenetti, 2017). The term SDH implies is based on the premise that improving social conditions is a 34 necessary precursor for improving to improve optimize health outcomes for vulnerable populations, 35 narrow ameliorteing inequalities, and achieveing health equity and social justice. Health equity may be is 36 defined as the "fair and just opportunity to be as healthy as possible" (Braveman, P. 2019), a concept that requires elimination of those societal factors (e.g., poverty, discrimination, lack of access to healthcare) 37 that unfairly result in poorer health for at-risk social groups. Social groups can be identified by many 38 characteristics including ethnicity, religion, socioeconomic status, gender identity, age, disability status, 39 sexual orientation, or geographic location (Braveman 2014; Baker 2018.)"the absence of systematic 40 disparities in health between and within social groups that have different levels of under-lying social 41 advantages or disadvantages".(Braveman & Bruskin 2003) From a social justice perspective, addressing 42 the SDH is essential to achieving a longer term aspirational goal to improved oral health outcomes and 43 reduce reducing inequalities for children from historically disadvantaged groups (Braveman, P. 2014). 44 socioeconomic vulnerable families and communities. A more immediate One strategy is to prioritize 45 ensure that interventions, programs, and policies that properly acknowledge and account for the SDH. 46 47 48 Past work has demonstrated gradients in oral health outcomes based on socioeconomic position.(Knorst et al, 2021; da Fonseca and Avenetti, 2017; Sabbah et al. 2007) Measures of socioeconomic position include 49 50 income, educational attainment, occupation, and race/ ethnicity.(Chalub et al. 2014; Joury et al. 2016; 51 Stein et al. 2021) SDH are influenced by socioeconomic position and more broadly embody the social 52 environment and context in which individuals live and make health-related decisions over the life course (da Fonseca and Avenetti 2017; Schwendicke 2015). Various conceptual models from dentistry include 53 SDH as upstream factors that influence oral health behaviors, dental disease rates, and oral health 54 55 outcomes.(Patrick et al. 2006; Fisher-Owens et al. 2007; Marmot & Bell 2011; Chi 2013; Casamassimo et 56 al. 2014; Lee & Divaris 2014) From a social justice perspective, addressing the SDH is achieving a longer-term aspirational goal to improve oral health outcomes and reduce inequalities for children from 57 socioeconomic vulnerable families and communities. (Braveman, P. 2014) An more immediate strategy is 58 to ensure that interventions, programs, and policies properly acknowledge and account for the SDH. In 59 60 2013, the American Academy of Pediatrics published a policy statement entitled *Community Pediatrics*: Navigating the Intersection of Medicine, Public Health, and Social Determinants of Children's Health 61 that acknowledged the influence of SDH on chronic diseases including dental caries. (Gorski et al. 2013) 62 Since then, the body of scientific research addressing SDH and oral health has grown substantially. This 63 statement included a reference to dental caries, which is an important acknowledgement of SDH and 64

children's oral health. However, the statement did not include references from the scientific literature that 65 66 provide empirical evidence for SDH, which has grown substantially in dentistry since 2013. Findings 67 from the social determinants of children's oral health literature can be organized into categories that provide guidance on how dentists, other health professionals, researchers, educators, and policy makers 68 69 can account for the SDH to improve children's health outcomes. Examples are provided of past efforts 70 and future opportunities to address children's oral health inequalities through SDH-based interventions, 71 programs, and policies. 72 SDH are commonly measured at the caregiver- or household-level. The same SDH that affect a 73

- 74 caregiver's oral health outcomes also affect histheir children's oral health directly and indirectly.(Moimaz
- et al. 2014) <u>Caregiver level of education influences both material and non-material components of a</u>
- 76 child's oral health, including access to and utilization of preventive services, dental knowledge, and oral
- health behaviors (Schwendicke, 2015; Duijster 2014; Sun, 2020; Rai and Tiwari 2018). Socioeconomic
- status was found to mediate the influence of maternal psychological factors (e.g. depression, external
- 79 locus of control, self-efficacy) on oral health in offspring (Knoblauch 2019; Pappas et al, 2020; Arora, A
- et al 2021; Costa, FDS et al 2017; Sun, L et al 2020). Examples of SDH at the household level include
- 81 food insecurity (defined as reduced quality, variety, or desirability of diet, and disrupted eating patterns
- 82 with or without reduced food intake)(US Dept of Agriculture) and overcrowding.(Chi et al. 2014; Paula et
- 83 al. 2015) These factors can make it difficult for families to afford non-cariogenic food and preventive oral
- 84 <u>hygiene products or to have designated spaces in the home for important routines like toothbrushing</u>
- 85 (Angelopoulou, 2019; Hill, 2020; da Fonseca and Aveneti 2017). Children living in settings with multiple
- 86 social risks are at substantially greater risk for caries.(Yang et al. 2016) SDH may be reflected by a heavy
- 87 <u>allostatic load (biological markers of chronic stress) among household members, with implications for</u>
- 88 poor oral health behaviors and higher caries rates (Masterson & Sabbah, 2015). This is particularly
- 89 worrisome from a life course perspective (Boyce 2014). A small cross-sectional study suggests
- 90 associations between the adverse effects of socioenvironmental stressors, neuroendocrine factors, and
- 91 levels of intraoral cariogenic bacteria in children, that chronic stress is related to higher levels of dental
- 92 caries in children potentially by affecting intraoral bacteria, (Boyce et al. 2010), findings that need to be
- 93 validated with additional studies. There Examples of ways in which chronic stress associated with
- 94 socioeconomic status leads to negative physiologic effects on oral health include pro-inflammatory,
- 95 endocrine, and microbiological responses. (Gomaa, 2016). Furthermore, poverty and stress could
- 96 influence child temperament (Strickhouser, 2020) and behaviors in dental settings (Quinonez 2020),

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- 97 including the ability to cooperate for dental procedures, (da Fonseca 2014; Fisher-Owens 2014). which
- has not yet been tested empirically. are other oral health examples of social and biological interactions.
- 100 Weak social ties and social networks are associated with poor oral health outcomes.(Zini et al. 2012;
- 101 Duijster et al. 2014; Fontanini et al. 2015; Vettore et al. 2016, Vettore et al. 2019) Potential mechanisms
- 102 include reduced health information that is transmitted through social ties and networks and increased
- 103 allostatic load or stress, which is implicated in poor oral health behaviors and higher caries
- 104 rates.(Masterson & Sabbah 2015) This is particularly worrisome from a life course perspective.(Boyce
- 105 2014) A small cross-sectional study suggests that chronic stress is related to higher levels of dental caries
- 106 in children potentially by affecting intraoral bacteria, (Boyce et al. 2010) findings that need to be validated
- 107 with additional studies. Furthermore, poverty and stress could influence child behaviors in dental settings,
- 108 including the ability to cooperate for dental procedures,(da Fonseca 2014; Fisher-Owens 2014) which has
- 109 not yet been tested empirically. There are other oral health examples of social and biological
- 110 interactions.(Gomaa et al. 2016) Other examples of SDH include household food insecurity (defined as
- 111 disrupted eating patterns with or without reduced food intake)(US Dept of Agriculture-No date) and
- 112 overcrowding.(Chi et al. 2014; Paula et al. 2015) These factors can make it difficult for families to
- 113 purchase healthy foods and to have designated spaces in the home for important routines like
- 114 toothbrushing. Children living in settings with multiple social risks are at substantially greater risk for
- 115 caries.(Yang et al. 2016) Many of these relationships need to be elucidated with additional studies.
- 116
- 117 Weak social ties and social networks are associated with poor oral health outcomes.(Zini et al. 2012;
- 118 Duijster et al. 2014; Fontanini et al. 2015; Vettore et al. 2016, Vettore et al. 2019) Potential mechanisms
- 119 include reduced health information that is transmitted through social ties and networks and
- 120 SDH are also measured within neighborhoods and communities. Neighborhood income is positively
- associated with oral health-related behaviors like improved oral hygiene practices and lower dental
- disease levels for children.(Duijster et al. 2014; Martens et al. 2006; Mathur et al. 2014; Mathur et al.
- 123 2016; Priesnitz et al. 2016) In addition, higher levels of income inequality within a community are
- associated with poorer oral health outcomes.(Pattussi et al. 2001, Moeller 2017))
- 125
- 126 Social capital, a term that encompasses social support, social networks, and social cohesion, is an
- 127 important SDH that affects both individuals and communities (Duh-Leong, C 2020). Social support is
- 128 tied to emotional development in adolescents, including self-efficacy, trust, and avoidance of detrimental
- 129 oral health behaviors (Fontanini, 2015). Weak social ties and social networks are associated with poor

oral health outcomes, (Zini et al. 2012; Duijster et al. 2014; Fontanini et al. 2015; Vettore et al. 2016, 130 131 Vettore et al. 2019). Another important SDH is social capital, which is defined as resources that result 132 from networks(Carpiano 2006) and other sources like community centers. Social capital may manifest as 133 neighborhood resources such as community centers that benefit the oral health of members (Guedes et al. 134 2014) Over 60 percent of women of childbearing age reside in neighborhoods with very poor or poor levels of social capital. (Ebrahim et al. 2009) Studies generally have reported positive health outcomes 135 136 associated with greater levels of social capital, (Iida & Rozier 2013; Santiago et al. 2013; Reynolds, JC 137 2015; Knorst, 2019) but at least one study found negative outcomes. (Chi & Carpiano 2013) These findings suggest that enhancing social capital is beneficial, but that social norms can influence the way in 138 139 which resources are deployed, which can lead to suboptimal oral health behaviors and poor outcomes. 140 Structural determinants of health are formed by the economic, political, and social policies that modulate 141 SDH (Baker, 2018). Economic policies affect employment to population ratios, standard of living, and 142 143 individual cost of living, which in turn influence access to health insurance or ability to pay for healthcare expenses. Policies that have expanded Medicaid access, reduced influences of neighborhood poverty, and 144 invested in education quality have demonstrated long-term positive health outcomes for youth 145 (Venkataramani 2020). The determination of public insurance coverage for specific procedures, 146 147 including the cost of general anesthesia during dental treatment, is at the discretion of individual states 148 rather than the federal government. Depending on individual state Medicaid policies, out-of-pocket costs 149 may be prohibitive and divert patients toward less ideal treatment options for behavior management 150 (Edelstein, 2014). Inability to pay for services may preclude some children from receiving treatment at all. Sociolegal policies that regulate insurance coverage, including those related to preauthorization and 151 152 informed consent, have been shown to delay or prevent adolescents from obtaining health services 153 (Garney, 2021). Translational science has led to the development of pediatric oral health interventions that address SDH. 154 For example, *Baby Smiles* was a community-based randomized trial that implemented motivational 155 interviewing in conjunction with age one dental visits for those with Medicaid (Milgrom, 2013). The 156 program focused on improving the health of the mothers as well as on prevention for their at-risk 157 children. Other initiatives, such as school-based sealant programs, have developed strategies to overcome 158 socioenvironmental barriers to oral healthcare and reach at-risk children (Siegal, 2010). A recent 159 160 evaluation found that school-based sealants programs resulted in benefits that outweighed costs, including reduced rates of dental caries, untreated decay, and school absenteeism (Griffin, 2017). It is imperative 161

### that future oral health interventions account for SDH and aim to achieve greater health equity for allchildren.

164 An example of a public health intervention that circumvents the SDH is the Childsmile program in 165 Scotland.(Gibson et al. 2016) The Childsmile program distributes free toothbrushes and toothpaste to children in communities during early childhood and to first and second graders living in disadvantaged 166 areas. Within severely disadvantaged areas, at-risk children are referred to dental care support workers 167 168 who provide dietary counseling. In addition, children in these areas receive twice yearly school-based 169 fluoride varnish treatments. The Childsmile program does not attempt to modify SDH but circumvents the 170 SDH by delivering more intense intervention activities within the neediest areas. The Bolsa Família Program is a conditional cash transfer program in Brazil, part of a larger initiative aimed at improving use 171 172 of primary care services for disadvantaged children. (Petrola et al. 2016) It does not have a formal oral 173 health component even though there is high support by local Bolsa Família Program supervisors. The study recommendation was to make child dental visits a mandatory precursor to participating families 174 receiving cash transfer payments, which would provide additional opportunities to influence parent and 175 176 child behaviors and improve oral health outcomes. 177 Similar programs requiring meaningful health care investments from central governments are more 178 prevalent in countries in which there is less income inequality(Bhandari et al. 2015) as well as the 179 political will to address oral health inequalities. 180 181 Directly addressing the SDH will involve sSystematic policies and environmental changes\_that improve living conditions and alleviate poverty are necessary to address SDH. Examples include universal housing 182 programs, emergency rental assistance, public health insurance programs like Medicare, for older 183 184 Americans and Medicaid, and Children's Health Insurance Program (CHIP), for children, and programs 185 that mediate prevent food insecurity such as Supplemental Nutrition Assistance Program (SNAP) and the 186 National School Lunch Program (NSLP). Broader policies are likely to have the long-term impact needed to improve the conditions in which vulnerable families and children live. 187 188 189 **Policy Statement** 190 Recognizing the importance of the social determinants of oral health for children, the AAPD: 191 supports broader policies and programs that help to alleviate poverty and social inequalities. • 192 encourages dentists and the oral health care team to collect a social history from patients, provide . 193 anticipatory guidance that is sensitive to the SDH, and connect patients with helpful resources

194	(e.g. social service organizations, food banks) as appropriate. which involves collecting a social
195	history from patients.(AAPD BP_Caries Risk Assessment)
196	• supports inter-professional educational approaches to train students as well as practicing dentists
197	and health professionals on the social determinants of health.(Lapidos & Gwozdek 2016;
198	Lévesque et al. 2015; Lévesque et al. 2016; Foster Page et al. 2016)
199	• endorses interdisciplinary theory based intervention approaches to improve oral health that
200	account for the social determinants of chronic diseases oral health. (Newton 2012; Watt &
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2022 proposed changes/additions to oral health policies and clinical recommendations of the American Academy of Pediatric Dentistry

This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

- 1 Policy on Child Identification Programs
- 2
- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5
- 6 ABBREVIATIONS
- AAPD: American Academy Pediatric Dentistry. CHIP: Child Identification Program. FBI: Federal Bureau
   of Investigation.
- 9
- 10 Purpose

11 The American Academy of Pediatric Dentistry (AAPD), recognizing the role that dental records play in

12 forensic identification, encourages dental practitioners and administrators of child identification programs

13 to implement simple practices that can aid in identification of unknown infants, children, and adolescents.

- 14 The AAPD recommends that parents establish a dental home, where clinical data is gathered, stored, and
- updated routinely and can be made available to assist in identification of missing and/or abducted persons.
- 16

### 17 Methods

18 This document was developed by the Council on Clinical Affairs, and adopted in 2003(AAPD 2003), and

19 <u>last revised in 2017 (AAPD 2017)</u>. The last revision occurred in 2008 and was reaffirmed in 2012. This

20 policy revision included a new literature search of the PubMed<sup>®</sup>/MEDLINE electronic database using the

terms: child, forensic, dental, and identification; fields: all; limits: within the last 10 years, English. One

22 hundred twenty-nine twelve articles matched these criteria. Papers for review were chosen from this list

and from references within selected articles. When information from these articles did not appear

- sufficient or was inconclusive, policies were based upon expert and/or consensus opinion by experienced
- 25 researchers and clinicians.
- 26

### 27 Background

28 More than 800,000 children in America are reported missing each year.(NCIP-About us) Nearly 350,000

29 reports of missing children and approximately 900 unidentified person records were submitted to the

- 30 Federal Bureau of Investigation's National Crime Information Center in 2021.(FBI 2022) Since the
- 31 passage of the Missing Children Act in 1982 and the creation of the National Crime Information Center,
- 32 the dental profession has provided much of the information used to compare missing persons with

unidentified individuals.(Sperber 1986; Kavanaugh & Filippi 2013) The Manual on Forensic Odontology 33 34 utilized by the American Society of Forensic Odontology demonstrates the vital role of dentistry in 35 identification of missing and unknown persons. (Kavanaugh & Filippi 2013) Numerous cases have been 36 published in which law enforcement agencies called upon dentistry to provide information that proved 37 vital to the identification process. (Chen & Jain 2008; Debnath et al. 2016) Dental records used for identification purposes have included dental radiographs (Du H et al 2021), facial photographs, study 38 39 casts, dental examinations documenting teeth present and distinguishing features of oral structures, and 40 histories documenting appliances (prosthetic and orthodontic) in place, orthodontic treatment, restored surfaces and materials used, and bite registrations. (Cardoza & Wood 2015; Berman et al. 2013; Shanbhag 41 42 2016) 43 Nondental sources of distinguishing information currently include fingerprints, photographs, physical 44 descriptions, and DNA from blood, saliva, and other tissue. (Conceição et al. 2015) Some of these 45 46 nondental sources have practical limitations. Few children have fingerprint records. DNA sampling, while being state of the art, can be difficult to access as well as protracted and costly. (Aidar & Line 2007) 47 While not routinely collected, saliva may be useful tool in profiling age and gender determination for 48 forensic experts. (Bhuptani D. et al. 2018). Dentists may provide many non-invasive tools that help in the 49 50 identification and tracking of children. (Vij N et al. 2016) can provide data without many of these 51 limitations. 52 53 Many programs have been developed and sponsored by community groups that use various child 54 identification methods. Examples are: Child Identification Program (CHIP), sponsored by the Masons. This program gathers a physical 55 • description and features care, fingerprinting cared or scanned print, several still photos of various 56 profiles, a video recording or mannerisms with voice interview, and various DNA samples 57 collected on dental impressions and/or cheek swabs. (MYCHIP-No date) 58 The National Child Identification Program, sponsored by the American Football Coaches 59 • 60 Association with the Optimist International and Clear Channel Int. They provide an identification 61 kit which includes an inkless fingerprinting card, DNA collection envelope, and cut out wallet card. (NCIP-ID Kit; NCIP-Swab Instructions) 62 New England Kids Identification System (KIDS) sponsored by the Massachusetts Free Masons 63 • and the Massachusetts Dental Society, which incorporates dental bite impression and cheek 64

65	swabs to gather DNA material into the CHIP events. (MYCHIP-No date; Ellis et al. 2007; Tesini
66	& Harte 2005)

- The Federal Bureau of Investigation (FBI) has a free mobile telephone application (app) "FBI
- 68 Child ID", available for download on both iTunes and Google Play. This application provides an69 easily accessible means to electronically store photos and vital information about children.
- 70 Additionally, there is a special tab on the app that allows quick and easily access to e-mail to send
- 71 information to authorities, if necessary. (FBI-No date)
- 72

#### 73 Policy statement

74 The AAPD recognizes the importance of dentistry's role in the provision of data for identification of

75 missing and/or deceased children and encourages dental professionals to assist in identifying such

records and other mechanisms. The AAPD also encourages community

identification programs to include a dental component documenting the child's dental home (AAPD

78 P\_Dental Home) and encouraging consistent dental visits. A dental home should be established for every

child by 12 months of age. (AAPD P\_Dental Home; AAPD BP\_Perinatal/Infant) A detailed dental

80 record, updated at recall appointments, economically establishes an excellent database of confidential,

81 state-of-the-art child identification information that can be retrieved easily, stored safely, and up-dated

82 periodically. The dental record may contain a thorough description of the oral cavity documenting all

83 anomalies, a record of restorative care delivered including materials used, appropriate dental radiographs,

- 84 (ADA 2012) photographs, study casts, and bite registration.
- 85

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- 124 Federal Bureau of Investigation National Crime Information Center. 2021 National Crime Information
- 125 <u>Center (NCIC) Missing Person and Unidentified Person Statistics Pursuant to the Requirements of</u>
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### 1 Policy on Mandatory School-Entrance Oral Health Examinations

- 2
- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5

### 6 ABBREVIATIONS

- 7 **AAPD**: American Academy Pediatric Dentistry. **ECC**: Early childhood caries.
- 8

### 9 Purpose

10 The American Academy of Pediatric Dentistry (AAPD) encourages policy makers, public health and 11 education officials, and medical and dental communities to recognize that unmet oral health needs can 12 impact a child's ability to learn. An oral health examination prior to matriculation into school may 13 improve school readiness by providing a timely opportunity for prevention, diagnosis, and treatment of 14 oral conditions.

15

### 16 Methods

This policy was developed by the Council on Clinical Affairs, and adopted in 2003(AAPD 2003), and 17 18 last. This document is an update of the previous version, revised in 2017<sub>2</sub>(AAPD 2017). This revision included electronic database and hand searches of articles in the medical and dental literature using the 19 20 terms: oral health examination, dental screening, dental examination, dental assessment, school oral health examinations, dental certificates AND school-entrance; fields: all; limits: within the last 10 years, 21 22 humans, English, birth through age 18. Additionally, the U.S. Surgeon General's report Oral Health in 23 America (Surgeon Gen) and websites for the American Academy of Pediatrics and AAPD were 24 referenced.

25

### 26 Background

- 27 Professional care is necessary to maintain oral health.(US DHHS 2000 <u>NIH2021</u>) The AAPD
- 28 "emphasizes the importance of initiating professional oral health intervention in infancy and continuing
- 29 through adolescence and beyond. The periodicity of professional oral health intervention and services is
- 30 based on a patient's individual needs and risk indicators."(AAPD BP Periodicity) The American
- 31 Academy of Pediatrics recommends that, beginning at age three, a child's comprehensive health
- 32 assessment should include attention to problems that might influence school achievement.(AAP 2000

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Ruff 2019) General health examinations prior to school entrance are mandated by many states. However, 33

34 integration of general health and oral health care programs remains deficient. (IOM 2011) In the United

35 States, approximately 23 percent of children aged 2-5 have experienced dental caries in the primary

36 dentition, and 10 percent have untreated disease(Dye et al. 2015, CDC 2019). Only 30 percent of schools

37 conduct oral health screenings once the child has matriculated.(CDC)While regulations may not

guarantee that every child will be examined by a dentist, they do increase the likelihood of this 38

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39
     happening.(CDHP 2019)
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40

Caries is the most common chronic disease of childhood in the U.S.(US DHHS 2000) Early childhood 41 caries (ECC) is a severe problem for young children, affecting 23 percent of children two to five years of 42 age nationwide. (Dye et al. 2015; CDC 2019) By six to eight years of age, the prevalence of dental caries 43 increases to 56 percent.(Dye et al. 2015, CDC 2019) Poverty remains one of the most important indicators 44 of early childhood dental caries experience, with about one in three preschoolers living in poverty having 45 46 some form of ECC.(NIH2021) Low-income children are disproportionally affected, with 33 percent of low-income children experiencing 75 percent of dental caries.(Fisher-Owens et al. 2008) Dental care 47 48 remains as one of the greatest unmet needs for children. Untreated health conditions such as asthma, dental pain, and vision and hearing deficits are leading causes of chronic absence, and children with oral 49 50 health problems are three times more likely than their peers to miss school. (CDC 2019, NASBE 2019) 51 Safe and effective measures exist to prevent caries and periodontal diseases; however, dissemination and awareness of such measures do not reach the population at large. (US DHHS 2000, NIH2021) More than 52 53 one-third fourth of the population of the United States does not benefit from community water 54 fluoridation.(CDC 2018) Because the use of fluoride contributes to the prevention, inhibition, and reversal 55 of caries, (CDC-Community Water Fluoridation 2018) early determination of a child's systemic and 56 topical fluoride exposure is important. A dental home provides the necessary diagnostic, preventive, and 57 therapeutic practices, as well as ongoing risk assessment and education, to improve and maintain the oral 58 health of infants, children, and adolescents.(AAPD Dental Home) To maximize effectiveness, the dental 59 home should be established within six months of eruption of a child's first tooth and no later than his/her 60 first birthday.(AAPD P Dental homeBP Periodicity)

61

The public's lack of awareness of the importance of oral health is a major barrier to dental care.(US 62

63 DHHS 2000 NIH2021) Oral health is integral to general health.(US DHHS 2000 NIH2021) Oral

conditions can interfere with eating and adequate nutritional intake, speaking, self-esteem, and daily 64

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activities.(Moynihan & Petersen 2004) Children with ECC untreated disease may be severely 65 66 underweight because of associated pain and the disinclination to eat. Nutritional deficiencies during 67 childhood can impact cognitive development.(Moynihan & Petersen 2004; Nyaradi et al. 2013) Rampant caries is one of the factors causing insufficient development in children who have no other medical 68 69 problems.(Acs et al. 1992Colak 2013) Unrecognized disease and postponed care result in exacerbated problems, which lead to more extensive and costly treatment needs. The World Health Organization has 70 suggested that school dental screenings could enable early recognition and timely interventions, leading to 71 savings of health care dollars for individuals, community health care programs, and third-party 72 payors.(Kwan & Petersen 2003) 73 74 75 In 2000, the National Association of State Boards of Education recognized "health and success in school 76 are interrelated. Schools cannot achieve their primary mission of education if students and staff are not 77 healthy and fit physically, mentally, and socially."(Bogden & Vega-Matos 2000) Health and education are closely related.(CDC Making the connection) Children with dental pain may be irritable, withdrawn, 78 79 or unable to concentrate. Pain can affect test performance as well as school attendance. (Moynihan & 80 Petersen 2004; Nyaradi et al. 2013) Data from the North Carolina Child Health Assessment and Monitoring Program showed that children with poor oral health status were nearly three times more likely 81 82 to miss school as a result of dental pain than were their counterparts. (Jackson et al. 2011) In addition, absences caused by pain were associated with poorer school performance.(Jackson et al. 2011) Further 83 84 analysis demonstrated that oral health status was associated with performance independent of absence 85 related to pain.(Jackson et al. CDC healthy schools) 86 Following a report by the U.S. Surgeon General (US DHHS 2000) the Centers for Disease Control and 87 Prevention launched the Oral Health Program Strategic Plan for 2011-2014.(CDC-Oral Health Program) 88 89 This campaign aimed to provide leadership to prevent and control oral diseases at national level. The 90 program helped individual states strengthen their oral health promotion and disease prevention programs. However, requirements for oral health examinations, implementation/enforcement of regulations, and 91 92 administrative disposition of collected data vary both among and within states.(CDC-Oral Health 93 Program) Since the 2008 report, four states have passed a dental screening law, and at least one state has 94 legislation in process. (CDHP 2019) Although dental screening laws are used to help ensure that children's oral health does not impede their ability to learn, these laws also present an opportunity to 95 connect children in need with a dental home.(CDHP 2019) 96

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97

#### 98 Policy statement

99 Early detection and management of oral conditions can improve a child's oral health, general health and
100 well-being, and school readiness. Recognizing the relationship between oral health and education, the
101 AAPD:

- supports advocates legislation mandating requiring a comprehensive oral health examination by a 102 qualified dentist for every student prior to matriculation into school. The examination should be 103 performed in sufficient detail to provide meaningful information to a consulting dentist and/or 104 public health officials. This would include documentation of oral health history, soft tissue 105 106 health/pathologic conditions, oral hygiene level, variations from a normal eruption/exfoliation 107 pattern, dental dysmorphology or discoloration, dental caries (including white-spot noncavitated 108 lesions), and existing restorations. The examination also should provide an educational experience for both the child and the parent. The child/parent dyad should be made aware of age-109 related caries-risk and caries-protective factors, as well as the benefits of a dental home. 110
- recognizes that without requiring, tracking, and funding appropriate follow-up care, requiring oral
   health examinations is insufficient to ensure school readiness and, therefore, advocates supports
   such legislation to include subsequent comprehensive oral examinations at periodic intervals
   throughout the educational process because a child's risk for developing dental disease changes
   and oral diseases are cumulative and progressive.
- encourages local leaders to establish a referral system to help parents obtain needed oral health
   care and establish a dental home for their children.
- encourages state and local public health and education officials, along with other stakeholders
   such as health care providers and dental/medical organizations, to document oral health needs,
   work toward improved oral health and school readiness for all children, and address related issues
   such as barriers to oral health care.
- recognizes that without requiring, tracking, and funding appropriate follow-up care, requiring oral
   health examinations is insufficient to ensure school readiness.
- encourages local leaders to establish a referral system to help parents obtain needed oral health
   care for their children.
- opposes regulations that would prevent a child from attending school due to noncompliance with
   mandated required examinations.

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2022 proposed changes/additions to oral health policies and clinical recommendations of the American Academy of Pediatric Dentistry

This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

### <sup>1</sup> Policy on the Role of Dental Prophylaxis in Pediatric Dentistry

2

### 3 Latest Revision

- 4 <del>2017</del> <u>2022</u>
- 5

### 6 Purpose

- 7 The American Academy of Pediatric Dentistry presents this policy to assist practitioners in determining
- 8 the indications and methods for dental prophylaxis recognizes the dental prophylaxis as an integral
- 9 component of periodic oral health assessment, education, and preventive care.
- 10

### 11 Methods

- 12 This policy was developed by the Clinical Affairs Committee, and adopted in 1986 (AAPD 1986), and
- 13 last revised in 2017 (AAPD 2017). This document is an update of the previous version, revised in 2012.
- 14 The revision included a new literature search of databases PubMed<sup>®</sup>/MEDLINE and Google Scholar
- using the terms: dental prophylaxis, tooth-brushing, professional tooth cleaning, fluoride uptake, and
- 16 professional dental prophylaxis, limited to children (birth to 18 years), the last 10 years, and English
- 17 language, resulting in 1,390 articles. This was further filtered to utilize randomized control studies and
- 18 systematic reviews only, resulting in 109 papers for review. Ppapers for review were chosen from
- 19 relevant articles. When necessary, hand searching for articles and Google Scholar searches were also
- 20 <u>utilized.</u> Expert and/or consensus opinion by experienced researchers and clinicians also was considered.
- 21

### 22 Background

- 23 The aim of oral prophylaxis is to remove supragingival plaque, stain, and calculus from patients' teeth.
- 24 <u>NEW BP Perio 2021-2022</u>) This may be accomplished utilizing hand instruments, ultrasonic scalers,
- 25 rubber rotary cup, toothbrush, interdental cleaners (e.g., floss), and air polishing. Persistent gingival
- 26 inflammation in young patients with reasonable supragingival home plaque control often is related to
- 27 <u>calculus deposits previously not detected or only partially removed (Clerehugh & Tugnait, 2001).</u>
- 28 <u>Attachment loss due to chronic subgingival calculus in young children has been reported (Roberts-Harry</u>
- 29 <u>& Clerehugh, 2000). Thus, a dental prophylaxis is an important component of initial and recall dental</u>
- 30 appointments. (NEW BP Perio 2022) The instrumentation (e.g. toothbrush prophylaxis, hand-scaling)
- 31 needed for each patient is determined on an individual basis. In example, in the young or pre-cooperative
- 32 patient, patients with special health care needs, or patients with no calculus, a toothbrush prophylaxis may
- 33 be utilized by the dental professional. The term dental prophylaxis encompasses several techniques that
- are used by dental personnel to professionally remove plaque, stain, and calculus from patients' teeth.
   35
- 36 <u>Limited evidence suggests that, although prophylaxis may lead to short-term reductions in plaque levels</u>
- 37 and gingival bleeding, it may not lead to the prevention of gingivitis (Horowitz 2012; Azarpazhooh &
- 38 <u>Main 2009</u>). Nevertheless, prophylaxis is an important component of pediatric oral health care and,
- 39 among other benefits detailed below, facilitates the conduct of a high-quality comprehensive oral
- 40 examination. The coronal polish procedure typically entails the application of a dental polishing paste to
- 41 tooth surfaces with a rotary rubber cup or bristle brush to remove plaque and stains from teeth. Often, the
- 42 <u>A</u> toothbrush coronal polish (i.e., toothbrush and toothpaste) is a procedure that is used to remove plaque
- 43 from tooth surfaces and demonstrate brushing techniques to caregivers for young children and for patients
- 44 with special needs who cannot tolerate the use of a rotary rubber cup.(Ramos-Gomez et al. 2010) The
- 45 rubber cup coronal polish is a procedure in which a dental polishing paste is applied to tooth surfaces with
- 46 a rotary rubber cup or rotary bristle brush to remove plaque and stains from teeth. (Wilkins 2009) Air
- 47 polishing uses a mix of pressurized air, abrasive powder, and water to remove supragingival stains,
- 48 plaque, and deposits from teeth. (Graumann 2013) Dental scaling is a procedure in which hand or
- 49 ultrasonic instruments are used to remove calculus and stain. Full mouth debridement may be necessary
- as a preliminary treatment for those whose medical, psychological, physical, or periodontal condition
- result in calculus accumulation beyond the scope of routine prophylaxis.
- 52
- 53 These procedures facilitate the clinical examination and introduce dental procedures to the patient.
- 54 Additionally, the accompanying preventive visit demonstrates proper oral hygiene methods to the patient
- and/or caregiver. Professional oral hygiene instruction and reinforcement can lead to behaviors that
- reduce both plaque and gingivitis (Chapple et al, 2015), but in the absence of patient oral hygiene
- 57 instruction, professional supra-gingival and sub-marginal plaque and calculus removal has little value in
- 58 gingivitis prevention (Tonetti et al, 2015). (BP Perio 2022)
- 59
- 60 The frequent disruption or removal of bacterial dental plaque, known as biofilm, from various areas of the
- 61 oral cavity is crucial to oral disease prevention and is achieved through regular personal oral hygiene and
- 62 professional prophylaxis. (Larsen, 2017) Accurate detection of biofilm is critical to effective removal and
- 63 special dyes of iodine, gentian violet, erythrosine, basic fuchsin, fast green, food dyes, fluorescein, and
- 64 <u>two-tone disclosing agents are available in the forms of tablets, solutions, wafers, lozenges, or</u>
- 65 mouthrinses. (Dipayan, 2017) Biofilm staining allows for effective personalized oral health guidance

66

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from healthcare providers. Severe dental caries is most strongly associated with biofilm in the upper

67 posterior palatal, lower posterior buccal, and lower posterior lingual spaces, as well as on the tongue. 68 (Fasoulas 2019) Disclosing agents for both professional and personal use can supplement a personal oral 69 hygiene protocol. 70 Flossing is an important part of the prophylaxis that removes interproximal and subgingival plaque, aids 71 ing in educating the patient, and facilitates ing the oral examination. Since interdental plaque biofilm is 72 not completely removed after brushing (Chapple et al, 2015; Perry et al, 2019) interdental cleaning is 73 indicated when interdental spaces are filled with gingiva or contacts are closed (Drummond, 2017; Silva et al, 2019). Different devices are used to remove plaque interdentally (e.g. dental floss, interdental 74 brushes, oral irrigations) (Chapple et al, 2015; Perry et al, 2019). The benefits of various prophylaxis 75 76 options are shown in the Table below. 77 78 Numerous reports have shown plaque and pellicle are not a barrier to fluoride uptake in enamel and, 79 consequently, there is no evidence of a difference in caries rates or fluoride uptake in subjects patients who receive rubber cup dental prophylaxis coronal polish or a tooth-brush prophylaxis coronal polish 80 81 before fluoride treatment exhibit no difference in caries rates. (Azarpazhooh & Main 2009; Weyant et al. 2013, Horowitz 2012) Rubber cup prophylaxis is not required prior to the topical application of fluorides. 82 83 84 A patient's risks for caries and/ periodontal disease, as determined by the patient's dental provider, can 85 should help determine the interval of the prophylaxis or periodontal maintenance. An individualized 86 preventive plan increases the probability of good oral health by demonstrating through proper oral hygiene methods and techniques as demonstrated by oral health professionals. In addition, removing plaque, stain, 87 calculus, and the factors that influence their buildup increases the probability of good oral health. Patients 88 who exhibit higher risk for developing caries and/or periodontal disease should have can benefit from 89 90 recall visits at more frequent intervals.(Patel et al. 2010; AAPD BP Periodicity 2021) 91 92 Policy statement 93 The American Academy of Pediatric Dentistry supports a Pprofessional prophylaxis during new patient 94 comprehensive and periodic examinations to: is indicated to: instruct the caregiver and child or adolescent in proper oral hygiene techniques. 95 • remove dental plaque, extrinsic stain, and calculus deposits from the teeth. 96 • 97 • facilitate the examination of hard and soft tissues. CCA-2022. P\_Prophylaxis-Final

98	• introduce dental procedures to the young child and apprehensive patient.
99	
100	A patient's risk for caries/ and periodontal disease helps determine the interval for recall. Those who
101	exhibit higher risks should have recall visits more frequently than every six months. Determination of
102	interval for periodic examinations takes into consideration a patient's assessed risk for caries and
103	periodontal disease (APPD BP Caries-risk Assessment 2022; AAPD Risk Assessment 2022)
104	
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153	

#### 154

#### Table. BENEFITS OF PROPHYLAXIS OPTIONS

	Plaque removal	Stain removal	Calculus removal	Education of patient/ <del>parent</del> caregiver	Facilitate examination
Toothbrush	Yes	No	No	Yes	Yes
Rubber cup	Yes	Yes	No	Yes	Yes
Hand instruments	Yes	Yes	Yes	Yes	Yes
Ultrasonic scalers	Yes	Yes	Yes	Yes	Yes
Air polishing	Yes	Yes	Yes	Yes	Yes
Flossing/inter	Yes	No	No	Yes	Yes
dental cleaning					

155

### <sup>1</sup> Policy on Interim Therapeutic Restorations (ITR)

- 2
- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5
- 6 ABBREVIATIONS

AAPD: American Academy Pediatric Dentistry. ART: Atraumatic/ alternative restorative techniques. ITR:
 Interim therapeutic restorations.

9

#### 10 Purpose

11 The American Academy of Pediatric Dentistry (AAPD) recognizes that unique clinical circumstances can 12 result in challenges in restorative care for infants, children, adolescents, and persons with special health 13 care needs. When circumstances do not permit traditional cavity preparation and/or placement of 14 traditional dental restorations or when caries control is necessary prior to placement of definitive

- 15 restorations, interim therapeutic restorations (ITR)(AAPD BP\_Restorative) may be beneficial and are
- best utilized as part of comprehensive care in the dental home. (Nowak & Casamassimo 2002; AAPD
- 17 P\_Dental Home) This policy will differentiate ITR from atraumatic/alternative restorative techniques
- 18 (ART)(Frencken et al. 1997) and describe the circumstances for its use.
- 19

#### 20 Methods

- 21 This policy was developed by the Council on Clinical Affairs, and adopted in 2001(<u>AAPD 2001</u>). This
- document is a revision of the previous version, and revised in 20173. (AAPD 2017) This updated policy is
- 23 based upon electronic database and hand searchesof medical and dental literature using
- 24 <u>PubMed®/Medline and</u> the terms: dental caries, cavity, primary teeth, deciduous teeth, atraumatic
- restorative treatment, interim therapeutic restoration, AND glass ionomer; fields: all; limits: within the
- 26 last 10 years, humans, English, birth through age 18. Two hundred ninety-one articles met these criteria.
- 27 Articles were screened by viewing titles and abstracts. Articles were chosen for review from these
- 28 searches and from the references within selected articles. Additionally, websites for the AAPD and the
- 29 American Dental Association were reviewed. Expert and/or consensus opinion by experienced
- 30 researchers and clinicians was also considered.
- 31
- 32 Background

ART has been endorsed by the World Health Organization as a means of restoring and preventing caries 33 in populations with little access to traditional dental care.(Frencken et al. 1997; WHO 2017-No date; 34 35 Frencken 2010) In many countries, practitioners provide treatment in non-traditional settings that restrict 36 restorative care to placement of provisional restorations. Because circumstances do not allow for follow-37 up care, ART mistakenly has been interpreted as a definitive restoration. ITR utilizes similar techniques but has different therapeutic goals. Interim therapeutic restoration more accurately describes the 38 39 procedure used in contemporary dental practice in the United States. 40 41 ITR may be used to restore, arrest or prevent the progression of carious lesions in young patients, 42 uncooperative patients, or patients with special health care needs or when traditional cavity preparation

- 43 and/or placement of traditional dental restorations are not feasible and need to be postponed.(Deery 2005;
- 44 Gryst & Mount 1999; <u>Canares et al 2018</u>) Additionally, ITR may <u>serve useful</u> for step-wise
- 45 excavation in children with multiple open carious lesions prior to definitive restoration of the teeth, in
- 46 erupting molars when isolation conditions are not optimal for a definitive restoration, or for caries control
- 47 in patients with active lesions prior to treatment performed under general anesthesia.(Vij et al. 2004;
- 48 Antonson 2012; de Amorim et al 2018) ITR may be beneficial for patients that require additional
- 49 acclimatization or increased cooperation to complete definitive restorative treatment. (Lim et al 2017) The
- 50 use of ITR has been shown to reduce the levels of cariogenic oral bacteria (e.g., Mutans streptococci,
- 51 lactobacilli) in the oral cavity immediately following its placement.(Bönecker et al. 2003; Roshan et al.
- 52 2010; Wambier et al. 2007) However, this level may return to pretreatment counts over a period of six
- 53 months after ITR placement if no other treatment is provided.(Roshan et al. 2010) ITR also may help
- 54 reduce the risk of decay in teeth adjacent to the interim restoration. (Ruff & Niederman 2018) This
- 55 technique serves as a viable tool when circumstances (e.g., coronavirus disease 2019 [COVID-19]
- 56 pandemic) call for minimizing the generation of aerosols during restorative care.(Al-Halabi et al. 2020;
- 57 <u>Yang et al 2021.</u>)
- 58
- The ITR procedure involves removal of caries using hand or rotary instruments with caution not to expose the pulp. Leakage of the restoration can be minimized with maximum caries removal from the periphery of the lesion. Following preparation, the tooth is restored with an adhesive restorative material such as glass ionomer or resin-modified glass ionomer cement.(Yip et al. 2001) ITR has the greatest success when applied to single surface or small two surface restorations.(Mandari et al. 2003; da Franca et al. 2011; da America et al. 2018) Incloance constitue material such as provide the structure and
- 64 2011; <u>de Amorim et a 2018</u>) Inadequate cavity preparation with subsequent lack of retention and
- 65 insufficient bulk can lead to failure.(da Franca et al. 2011; van Gemert-Schriks et al. 2007) Follow-up

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- 66 care with topical fluorides and oral hygiene instruction may improve the treatment outcome in high
- 67 caries-risk dental populations, especially when glass ionomers (which have fluoride releasing and re-
- charging properties) are used.(Tam et al. 1997; Scherer et al. 1990; Tyas 1991)
- 69

#### 70 Policy statement

- 71 The AAPD recognizes ITR as a beneficial provisional technique in contemporary pediatric restorative
- 72 dentistry. <u>The AAPD supports the use of ITR may be used</u> to restore and prevent the progression of dental
- caries in young patients, uncooperative patients, patients with special health care needs, and situations in
- 74 which traditional cavity preparation and/or placement of traditional dental restorations isare not feasible.
- 75 <u>Furthermore</u>, ITR may be used for caries control in children with multiple <u>caries</u> lesions prior to
- 76 definitive restoration of the teeth.
- 77

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- <sup>1</sup> Policy on Management of the Frenulum in Pediatric Dental
- 2 Patients
- 3
- 4 Adopted Revised
- 5 <del>2017</del> <u>2022</u>
- 6
- 7 Abbreviations: AAPD: American Academy of Pediatric Dentistry.
- 8
- 9 Purpose
- 10 The American Academy of Pediatric Dentistry (AAPD) recognizes that a restrictive oral frenulum may

11 <u>affect a child's health by hindering the ability to breastfeed or speak.</u> The frequency of <del>frenotomy/</del>

12 frenectomy surgical intervention is increasing exponentially with reports indicating as much as a 90 over

13 the last two decades.(CADTH 2016; Walsh et al. 2017; Messner 2021; Tadros 2022). The American

14 Academy of Pediatric DentistryAAPD recognizes an evidence-based policy on frenula would make

15 information and recommendations more accessible to dentists, physicians, and other allied health

- 16 professionals <u>and parents</u> in an evidence-based format and help reduce the number of unnecessary or
- 17 <u>incorrectly timed procedures.</u>
- 18

#### 19 Methods

20 This policy, developed by the Council of Clinical Affairs in 2017(AAPD 2017), is based on a review of

21 current dental and medical literature and sources of recognized professional expertise and stature,

22 including both the academic and practicing health communities, related to frenula/frenotomies. In

addition, literature searches of PubMed<sup>®</sup>/MEDLINE, Web of Science, and Google Scholar databases

24 were conducted using the terms: ankyloglossia, ankyloglossia AND breastfeeding outcomes, breast-

25 feeding with ankyloglossia and/or upper lip tie, gastroesophageal reflux, frenotomy, frenulotomy,

26 <u>frenulectomy</u>, frenectomy, systematic reviews of ankyloglossia other than breastfeeding, lip-tie, superior

27 labial frenulum, maxillary lip-tie, breastfeeding cessation, frenulum, frenum, tongue-tie, speech

- articulation with lingual frenulum, frenuoplasty, midline diastema, lactation difficulties, nipple pain with
- 29 breastfeeding, Hazelbaker Assessment Tool for Lingual Frenulum Function (ATLFF), Infant Breast-
- 30 feeding Assessment Tool (IBFAT), LATCH grading scales, mandibular labial frenulum, periodontal
- 31 indications for frenectomy, gingival recession associated with midline diastema; fields: all; limits: within

- 32 the last <u>1510</u> years, English. One <u>thousand six hundred twenty-two</u> seventeen articles matched these
- 33 criteria. Papers for review were chosen from this list and from references within selected articles. Expert
- 34 and/or consensus opinion by experienced researchers and clinicians also was considered.
- 35

#### 36 Definitions

- 37 *Ankyloglossia*: a congenital developmental anomaly of the tongue characterized by a short, thick lingual
- 38 <u>frenulum resulting in limitation of tongue movement (partial ankyloglossia) or by the tongue appearing to</u>
- 39 <u>be fused to the floor of the mouth (total ankyloglossia).(John et al. 2016; Amir et al. 2005)</u>

40 Frenectomy/frenulectomy: excision of the frenulum left to heal by secondary intention. the complete

- 41 removal of the frenum/frenulum including its attachment to underlying bone.
- 42 *Frenotomy/frenulotomy:* simple cutting or incision of the frenulum.
- 43 Frenuloplasty: an extensive frenulum excision that usually involves repositioning of aberrant muscle and
- 44 closed by Z-plasty or a local flap with placement of sutures excisions involving sutures releasing the
- 45 frenulum and correcting the anatomic situation. (CDT manual 2021)
- 46 *Frenulum*: a mucosal attachment containing muscle and connective tissue fibers which connect intraoral
- 47 <u>structures such as the lip and cheek to the alveolar mucosa, gingiva, or periosteum</u>. (Devishree 2012)
- 48

#### 49 Background

- 50 Typically, seven frenula are present in the oral cavity, most notable the maxillary labial frenulum, the
- 51 mandibular labial frenulum, the lingual frenulum, and four buccal (cheek) frenula.(Priyanka et al. 2013)
- 52 Their primary function is to provide stability of the upper lip, lower lip, and tongue.(Mintz et al. 2005)
- 53 Frenulum attachments and their impact on oral motor function and development have become are topics
- of emerging interest within the dental community as well as various <u>healthcare</u> specialties of healthcare
- 55 providers. Studies have shown differences in treatment recommendations among pediatricians,
- 56 otolaryngologists, lactation consultants, speech pathologists, surgeons, and dental specialists.(Delli et al.
- 57 2013; Segal et al. 2007; Boutsi & Tatakis 2011; John et al. 2016; Finigan & Long 2013; O'Callahan et al.
- 58 2013; Webb et al. 2013; Suter & Bornstein 2009 LeTran et al 2019;) Clear indications and timing of
- 59 surgical treatment remain controversial due to lack of consensus regarding accepted anatomical and
- 60 diagnostic criteria for degree of restriction and relative impact on growth, development, feeding, or oral
- 61 motor function.(Delli et al. 2013; Segal et al. 2007; Boutsi & Tatakis 2011; John et al. 2016; Finigan &
- 62 Long 2013; O'Callahan et al. 2013; Webb et al. 2013; Suter & Bornstein 2009)

- 63 Although the etiology of this condition restremains unknown, the predilection of anomalies of frenulum
- 64 attachments, whether ankyloglossia (tongue-tie) or a hypertrophic/restrictive maxillary labial frenulum.
- 65 appears to be higher in males. (Webb et al. 2013; Suter & Bornstein 2009; Huang & Creath 1995; Knox
- 66 2010; Walsh & Tunkel 2017) Typically, seven frenula are present in the oral cavity, most notable the
- 67 maxillary labial frenulum, the mandibular labial frenulum, the lingual frenulum, and four buccal (cheek)
- 68 frenula.(Priyanka et al. 2013) Their primary function is to provide stability of the upper lip, lower lip, and
- 69 tongue.(Mintz et al. 2005)) Regardless of the etiology, a 734 percent increase in diagnosed cases of
- ankyloglossia and an 869 percent increase in frenulum procedures have been reported from 1997 to 2012
- 71 (Walsh 2017a) When the data is examined more closely over this timespan, the average percent of
- 72 patients diagnosed with ankyloglossia undergoing surgical procedures is 33 percent. More recently, in
- 73 2009, 35 percent of patients received surgery and, in 2012, 38 percent did. In 2020, a panel of pediatric
- 74 otolaryngologists released a consensus statement on the diagnosis, management, and treatment of
- 75 <u>ankyloglossia in children less than 18 years old. (Messner 2020 consensus)</u>
- 76

#### 77 Maxillary frenulum

- 78 A prominent maxillary frenulum in infants, children, and adolescents, although a common finding, is
- 79 often <u>can be</u> a concern to parents. The maxillary labial frenulum attachment can be classified with respect
- to its anatomical insertion level: (Priyanka et al. 2013)
- 81 1. mucosal (frenal fibers are attached up to the mucogingival junction);
- 82 2. gingival (frenal fibers are inserted within the attached gingiva);
- 83 3. papillary (frenal fibers are extending into the inter-dental papilla); and
- 84 4. papilla penetrating (frenal fibers cross the alveolar process and extend up to the palatine85 papilla).
- 86
- 87 The most commonly observed types are mucosal and gingival.(Webb et al. 2013; Boutzi 2011) However,
- 88 a maxillary frenulum is a dynamic structure that presents changes in position of insertion, architecture
- 89 structure, and shape during growth and development.(Webb et al. 2013) Evidence suggests apical
- 90 migration of the insertion as the alveolar process grows and descends and the frenulum remains in place.
- 91 (Boutsi et al 2011; Pizan et al 2006) Infants have the highest prevalence of papillary penetrating
- 92 phenotype.(Webb et al. 2013) In severe instances, a restrictive maxillary frenulum attachment has been
- 93 associated with breastfeeding and bottle-feeding difficulties among newborns. in a number of
- 94 studies.(Neville et al. 2016; Coryllos et al. 2004; Pransky et al. 2014; Ghaheri et al. 2017) However, in a
- 95 prospective study, anatomical classification of the maxillary frenulum alone was not correlated with

breastfeeding success or difficulty, pain, or maternally-reported poor latch. (Shah et al.2021)It has been 96 97 suggested Studies suggested that a restrictive maxillary frenulum may inhibit an airtight seal on the 98 maternal breast through "flanging" of both lips.(Knox 2010; Corvllos et al. 2004; Pransky et al. 2015; 99 Ghaheri et al. 2017) For this reason, future studies focusing on assessment of upper lip flexibility and the 100 ability to flange rather than just anatomical point of insertion may provide more information. (Shah et al, 2021) The maxillary frenulum can contribute to reflux in babies due to the intake of air from a poor seal 101 at the breast or bottle leading to colic or irritability.(Ghaheri et al 2017; Seigal 2016) With the lack of 102 understanding of the function of the labial frenulum, the universality of the labial frenulum, and level of 103 attachment in most infants, there lease the release of the maxillary frenulum based on appearance alone 104 105 cannot be endorsed at this time. (Santa Maria et al. 2017) A hyperplastic labial frenulum that inserts into the free or marginal gingiva has been suggested to interfere with proper oral hygiene measures. 106 potentially leading to facial-cervical caries as well as initiation and progression of gingival/periodontal 107 disease.(Minsk 2002) although, to date, no evidence supports this. Further research is required to 108 substantiate this cause-and-effect relationship. When release of the maxillary frenulum is considered due 109 to higher caries risk, anticipatory guidance and other preventive measures need to be emphasized first. 110 Although a causal relationship between a hyperplastic maxillary frenum and facial caries has not been 111 substantiated, anticipatory guidance for patients with restrictive tissues may include additional oral 112 113 hygiene measures (e.g., swabbing the vestibule after feeding).(Naimer 2021). 114 115 Surgical removal of the maxillary midline frenulum also is may be related to presence or prevention of 116 midline diastema formation, prevention of post orthodontic relapse, esthetics, and psychological considerations.(Finigan & Long 2013; O'Callahan et al. 2013; Webb et al. 2013; Gkantidis et al. 2008) 117 Treatment options for midline diastema and sequence of care vary with patient age and can include 118 119 orthodontics, restorative dentistry, frenectomy, or a combination of these. (Gkantidis et al. 2008) 120 Treatment is suggested (1) when the attachment exerts a traumatic force on the gingiya causing the papilla to blanch when the upper lip is pulled, or (2) if the attachment causes a diastema wider than two 121 millimeters, which is known to rarely close spontaneously during further development. (Webb et al. 2013; 122 123 Gkantidis et al. 2008; Ochi 2014) When a diastema persists into the permanent dentition, the objectives 124 for treatment involve managing both the diastema and its etiology. (Gkantidis et al. 2008) If orthodontie treatment is indicated, the need for surgical management of a frenulum is assessed and coordinated with 125 orthodontic closure of the diastema to achieve stable results.(Gkantidis 2008; Ochi 2014; Mallya & Lurie 126 127 2014) There is general agreement between pPediatric dentists and orthodontists generally agree that most diastemas in the primary and mixed dentitions are normal, are multifactorial, and tend to close with 128

- 129 maturity; therefore, any surgical manipulation of the frenulum that a frenectomy should not be performed
- is not recommended before the permanent canines erupt and that the operation should only following
- 131 orthodontic closure of the space(Gkantidis 2008; Wheeler et al. 2018) or in conjunction with orthodontic
- 132 treatment (Suter et al, 2014). This was recently affirmed in a systematic review.(Tadros 2022) Certain
- 133 surgical interventions, when performed too early, may result in orthodontic relapse due to scarring.
- 134 (Devishree 2012). A recent retrospective cohort study saw a decrease in maxillary midline diastema width
- 135 when laser labial frenectomy was performed in both the primary and mixed dentitions. (Baxter 2022).
- 136 Whether or not this early treatment can prevent the need for orthodontic closure of a persistent diastema
- 137 <u>in adolescence would best be demonstrated by a prospective study utilizing controls with long-term</u>
- 138 <u>follow up, which was not present in this study (Baxter 2022).</u>
- 139

#### 140 Mandibular labial frenulum

- 141 A high frenulum sometimes can present on the labial aspect of the mandibular ridge. This most often is
- seen in the permanent central incisor area but also can be found by the canine and frequently occurs in
- 143 individuals having a shallow vestibule. (John et al. 2016) The mandibular labial frenulum occasionally
- inserts into the free or marginal gingival tissue. (John et al. 2016) Movements of the lower lip can cause
- the frequence to pull on the fibers inserted into the free marginal tissue, which creates pocket formation
- that, in turn, can lead to food and plaque accumulation. (John et al. 2016) Early treatment Surgical
- 147 <u>intervention</u> can be considered to prevent subsequent inflammation, recession, pocket formation, and
- 148 possible loss of alveolar bone and/or teeth.(John et al. 2016) However, if factors causing gingival/
- 149 periodontal inflammation are controlled, the degree of recession and need for treatment decreases.(Segal
- 150 et al. 2007; John et al. 2016) Again, wWhen treatment of the frenulum is considered due to higher caries,
- 151 anticipatory guidance and other preventive measures are indicated.
- 152

#### 153 Lingual frenulum

- The World Health Organization has recommended mothers worldwide exclusively breastfeed infants for the child's first six months to achieve optimum growth, development, and health.(WHO 2016) Thereafter, they should may be given complementary foods and continue breastfeeding up to the age of two years or beyond.(WHO 2016) The American Academy of Pediatrics in 2018 reaffirmed its recommendation of exclusive breastfeeding for about six months, followed by continued breastfeeding as complementary foods are introduced, with continuation of breastfeeding for one year or longer as mutually desired by mother and child.(AAP 2012) Lingual frenula, in addition to the maxillary labial frenula, have been
- 161 associated by some practitioners with impedance to successful breastfeeding, thereby leading to

recommendations for frenotomy. The most common symptoms that babies and mothers experience from 162 163 tongue- and lip-tie are poor or shallow latch on the breast or bottle, slow or poor weight gain, reflux and 164 irritability from swallowing excessive air, prolonged feeding time, milk leaking from the mouth from a 165 poor seal, clicking or smacking noises when nursing/ feeding, and painful nursing. (Ghaheri et al 2017; 166 Ghaheri et al. 2018). 167 An anatomical dissection study determined the lingual frenulum in neonates is not formed by a discrete 168 submucosal midline string or band as previously thought; rather, it is a dynamically formed midline fold 169 created in a layer of fascia spanning the floor of the mouth and characterized by morphology that varies 170 with tongue movement similar to that in adults. (Mills 2019). This fascia runs from the inner surface of 171 the mandible to join with the connective tissue on the ventral surface of the tongue. It is the height of the 172 fascial attachment on the ventral surface of the tongue that alters the visual prominence of the frenulum 173 when placed under tension as seen when elevated. (Mills 2019). The lingual frenulum does not have 174 175 direct connection to the posterior tongue (also known as the tongue base). Therefore, the term "posterior tongue-tie" is misleading and anatomically incorrect. Ankyloglossia can perhaps be considered an 176 imbalance of the fascial roles, where its provision of tongue stability impacts tongue movement. (Mills 177 2019). 178 179 180 Ankyloglossia (tongue-tie) 181 Ankyloglossia is a congenital developmental anomaly of the tongue characterized by a short, thick lingual 182 frenulum resulting in limitation of tongue movement (partial ankyloglossia) or by the tongue appearing to be fused to the floor of the mouth (total ankyloglossia).(John et al. 2016; Amir et al. 2005) A 183 184 methodological review of the term ankyloglossia shows the use of multiple diagnostic criteria, leading to 185 variations in the reported prevalence of ankyloglossia between four4.2 and 10.7 percent of the population. 186 (Zoon 2017 Segal et al. 2007; Boutsi & Tatakis 2011) Several diagnostic classifications have been proposed based on anatomical and functional criteria, but none has been universally accepted. (Visconti 187 2021; Segal et al. 2007) No single anatomical variable of the frenulum has been shown in isolation to 188 correlate directly with impaired tongue function. As such, the use of grading systems simply describes 189 190 appearance rather than serving as an objective tool to diagnose or categorize the frenulum as ankyloglossia.(Mills 2019) The tongue's ability to elevate rather than protrude is the most important 191 192 quality for nursing, feeding, speech, and development of the dental arches. (Yoon et al. Orthod Craniofac 193 Res 2017; Yoon et al. Sleep Breath 2017) 194

Ankyloglossia has been associated with breastfeeding and bottle-feeding difficulties among neonates, 195 limited tongue mobility and speech difficulties, malocclusion, and gingival recession.(Delli et al. 2013; 196 197 Segal et al. 2007; Boutsi & Tatakis 2011; John et al. 2016; Finigan & Long 2013; O'Callahan et al. 2013; 198 Webb et al. 2013; Suter & Bornstein 2009; Ochi 2014) An ultrasound study has shown that patterns of 199 tongue motions differed both in infants with ankyloglossia (with breastfeeding problems) and those 200 without ankyloglossia (Geddes 2008), but, because no anatomical variables of the lingual frenulum were included in that study, it is not possible to correlate frenum morphology to changes demonstrated on the 201 202 ultrasound. (Mills 2019). A short frenulum can inhibit tongue movement and create deglutition problems. (Segal et al. 2007; Dollberg et al. 2006; Geddes et al. 2008) During breastfeeding, a restrictive frenulum 203 204 can cause ineffective latch, inadequate milk transfer and intake, and persistent maternal nipple pain, all of which can affect feeding adversely and lead to early cessation of breastfeeding.(Delli et al. 2013; Segal et 205 al. 2007; Boutsi & Tatakis 2011; John et al. 2016; Finigan & Long 2013; O'Callahan et al. 2013; Webb 206 et al. 2013; Suter & Bornstein 2009; Huang & Creath 1995; Ochi 2014; Amir et al. 2005; Dollberg et al. 207 2006; Geddes et al. 2008; Ballard et al. 2002; Srinivasan et al. 2006) Systematic literature review articles 208 209 acknowledge the role of frenotomy/frenectomy for demonstrable frenal constriction in order to reduce maternal nipple pain (O'Shea 2017) and improve successful breastfeeding when the procedure is provided 210 in conjunction with support of other allied healthcare professionals. (Segal et al. 2007; Boutsi & Tatakis 211 212 2011; John et al. 2016; Finigan & Long 2013; Suter & Bornstein 2009; O'Shea et al. 2017) A Cochrane 213 Review (O'Shea 2017) noted the included randomized control trials were small and had multiple 214 limitations. Due to those limitations, the review was unable to determine whether frenotomy in infants 215 younger than 30 days who had ankyloglossia and feeding difficulties correlated with longer-term breastfeeding success. Similarly, the Canadian Agency for Drugs and Technologies in Health (CADTH) 216 217 guestioned whether frenectomy provides a meaningful incremental benefit over other treatments or procedures to improve breastfeeding, particularly in the longterm due to studies' designs. (CADTH 2016). 218 219 Because breastfeeding is a complex relationship dyad, ankyloglossia may be only one of multiple possible deficiencies contributing to difficulty breastfeeding (Hazelbaker) Therefore, predicting which infants will 220 have improved breastfeeding following frenectomy may be difficult. (Briddel 2020) Some studies show 221 decrease in surgical intervention in infants with feeding difficulties when a team of allied healthcare 222 professionals is involved using consistent multidisciplinary assessment and incorporating alternative 223 intervention strategies. (Walsh et al 2019, Dixon et al., 2018) 224 225 226 Limitations in tongue mobility and pathologies of speech pathology have been associated with 227 ankyloglossia.(Segal et al. 2007; Messner & Lalakea 2002; Kupietzky & Botzer 2005) However, opinions

vary among health care professionals regarding the correlation between ankyloglossia and speech 228 229 disorders. Speech articulation is largely perceptual in nature; and differences in pronunciation often are 230 evaluated subjectively. Variability variations in the speech assessment outcomes is very high among 231 individuals and specialists from different medical backgrounds. is very high. (Suter & Bornstein 2009) 232 The difficulties in articulation for individuals with ankyloglossia are evident for consonants and sounds like |s|, |z|, |t|, |d|, |l|, |sh|, |ch|, |th|, and |dg|, and it is especially difficult to rolling an R is233 234 especially challenging. (Suter & Bornstein 2009; Messner & Lalakea 2002) Because parents often do not 235 report speech issues accurately, an evaluation by a speech-language pathologist trained in skilled in assessing tongue-ties (although consensus on assessment techniques has not been established) is 236 recommended suggested to assess for speech or language errors prior to recommending a tongue-tie 237 release.(Hazelbaker 2010) Speech therapy in conjunction with frenuloplasty, frenulotomy, or 238 239 frenulectomy can be a treatment option to improve tongue mobility and speech. (Messner & Lalakea 2002; 240 Kupietzky & Botzer 2005) One pilot study reported children with moderate and moderate-to-severe 241 speech and language impairment attained better speech and language outcomes after frenulectomy when compared with children with mild and mild-to-moderate impairments. (Daggumati 2019) However, other 242 studies hint at the subjective improvement when parents were surveyed (Baxter 2020; Messner & Lalakea 243 2002). Nevertheless, further evidence is needed to determine the benefit of surgical correction of 244 245 ankyloglossia and its relation to speech pathology as many children and individuals with ankyloglossia 246 are may be able to compensate and do not appear to suffer from speech difficulty. (Visconti 2021; Melong 247 <u>2021;</u> Segal et al. 2007; Finigan & Long 2013; Kummer 2005; <u>Salt et al., 2020</u>). 248 A high-arched palate, reduced palate width, and elongated soft palate have been associated with tongue-249 250 tie.(Yoon et al. Orthod Craniofac Res 2017; Yoon et al. Sleep Breath 2017) Evidence to show that 251 relating ankyloglossia and abnormal tongue position may affect to skeletal development and be associated 252 of with Class III malocclusion is limited.(Geddes et al. 2008; Lalakea & Messner 2003; Jang 2011) A high arched palate, reduced palate width, and elongated soft palate have been associated with tongue-253 tie.(Yoon et al. Orthod Craniofac Res 2017; Yoon et al. Sleep Breath 2017) A complete orthodontic 254 255 evaluation, diagnosis, and treatment plan are necessary prior to any surgical intervention. (Lalakea & 256 Messner 2003) 257 Localized gingival recession on the lingual aspect of the mandibular incisors has been associated with 258 259 ankyloglossia in some cases where frenal attachment causes gingival retraction.(Segal et al. 2007; John et

al. 2016) As with most periodontal conditions, elimination of plaque-induced gingival inflammation can

- 261 minimize gingival recession without any surgical intervention. (Segal et al. 2007) When recession
- continues even after oral hygiene management, surgical intervention may be indicated.(Segal et al. 2007;
- 263 John et al. 2016)
- 264

#### 265 Treatment considerations

- Although evidence in the literature to promote the timing, indication, and type of surgical intervention is
- 267 limited, frenulotomy/frenulectomy for functional limitations and symptomatic relief should may be
- considered on an individual basis.(Segal et al. 2007; Suter & Bornstein 2009; Geddes et al. 2008;
- 269 Srinivasan 2006; Kupietzky & Botzer 2005; Buryk et al. 2011) Evaluation for other potential head and
- 270 <u>neck sources (e.g., nasal obstruction, airway obstructions, reflux, craniofacial anomalies) for</u>
- 271 <u>breastfeeding problems before performing a frenulotomy on a patient who has feeding difficulties</u>
- 272 (Messner 2020) may prevent unnecessary surgeries especially in very young neonates less than two weeks
- 273 of age. When indicated, frenuloplasty, frenulectomy, and frenulotomy may be a successful approaches in
- alleviating the problem. (Segal et al. 2007; Webb et al. 2013; Suter & Bornstein 2009; Devishree et al.
- 275 2012) Each of these procedures involves surgical incision or excision, establishing hemostasis, and
- wound management.(Kaban & Troulis 2004) With regards to anatomy, the lingual nerve has been shown
- to pass immediately beneath the fascia on the ventral surface of the tongue with smaller branches
- 278 continuing into the lingual frenum. (Mills 2019) As such, sensory input necessary for tongue shape may
- 279 <u>be compromised if the lingual nerve is damaged. (Mu and Sanders, 2010)</u> Additional complications may
- 280 <u>occur during or following frenulum surgical procedures and include excessive bleeding, formation of a</u>
- 281 mucus retention cyst, reattachment, hematoma formation, numbness or paresthesia, infection, scar tissue
- 282 formation, and restriction in tongue movement. (Varadan 2019) Dressing placement or the use of
- antibiotics is not necessary. (Kaban & Troulis 2004) In older patients, post-operative care may
- 284 recommendations-include maintaining a soft diet, regular oral hygiene, and analgesics as needed. Post-
- 285 operative pain has been reported in some studies and found to persist as a moderate level (6.5 on a scale
- 286 of 10) for three days. (Zaghi 2019). Post-operative exercises for a child/adolescent are necessary to
- 287 prevent reattachment of the wound and relapse of the previous symptoms associated with the tongue or
- 288 lip-tie.(Ghaheri et al. 2018; Lalakea & Messner 2003) Although otolaryngologists' expert opinion
- 289 (Messner 2020 consensus) and the Canadian Agency for Drugs and Technologies in Health (CADTH
- 290 <u>2019</u>) do not support a standard post-procedure regimen including stretching, massaging, or other
- 291 exercises to prevent reattachment of the frenulum, others have concluded that exercises after tongue-tie
- release have elicited functional improvements in speech, feeding, and sleep. (Baxter 2020; Zaghi 2019)
- 293 These studies have been limited by patient numbers and lack of control groups. Post-operative pain,

- 294 especially in the neonate, may further inhibit post-surgical stretching and exercises and can lead to oral
- aversion. (Gilliland et al., 2020) Oral exercises have been advocated as a safe and potentially effective
- adjunct to improve tongue movements with or without surgical intervention in school aged patients.
- 297 (Zaghi 2019)
- 298

299 The use of electrosurgery or laser technology for frenulotomies/frenulectomies has demonstrated a shorter operative working time, a better ability to control bleeding improved hemostasis, reduced intra- and post-300 operative pain and discomfort, fewer postoperative complications (e.g., swelling, infection), no need for 301 suture placement removal, and increased patient acceptance. (Olivi e al. 2010; Sezgin 2019) These 302 303 procedures require extensive training as well as skillful technique and patient management, especially in the neonate. (Segal et al. 2007; Webb et al. 2013; Suter & Bornstein 2009; Kupietzky & Botzer 2005; 304 Devishree et al. 2012; Hogan et al. 2005; Díaz-Pizán et al. 2006; Gontijo et al. 2005; Kara 2008) As with 305 all surgical procedures, an informed consent should be obtained is essential. Informed consent includes 306 307 relevant information regarding assessment, diagnosis, nature and purpose of proposed treatment, and potential benefits and risks of the proposed treatment, along with professionally-recognized or evidence-308 based alternative treatment options - including no treatment - and their risks.(AAPD BP\_Informed 309 Consent) 310

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#### 312 Policy statement

- 313 Recognizing evidence is limited, The American Academy of Pediatric Dentistry AAPD supports
- additional research on the causative association between ankyloglossia and <u>difficulties in</u> breastfeeding
- 315 difficulties or speech articulation, problems and between a hyperplastic labial frenulum and increased risk

of caries or periodontal disease, and upper lip restriction and difficulties with breastfeeding/latch.due to

- 317 interference with adequate oral hygiene. The AAPD recognizes that causes other than ankyloglossia are
- 318 more common for breastfeeding difficulties and that, while frenulotomy for an infant with ankyloglossia
- 319 can lead to an improvement in breastfeeding, not all infants with ankyloglossia require surgical
- 320 <u>intervention.(Messner 2020)</u>. Due to the broad differential diagnosis, a team-based approach including

321 consultation with other specialists can aid in treatment planning. Further randomized controlled trials and

- 322 other prospective studies of high methodological quality are necessary to determine the <u>indications and</u>
- 323 <u>long-term</u> effects of frenulotomy/frenulectomy... With all surgical procedures, an informed consent is
- 324 necessary. Informed consent includes relevant information regarding assessment, diagnosis, nature and
- 325 purpose of proposed treatment, and potential benefits and risks of the proposed treatment, along with

- 326 professionally recognized or evidence-based alternative treatment options including no treatment and
- 327 their risks.(AAPD BP\_Informed Consent)
- 328

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522

### <sup>1</sup> Policy on Dietary Recommendations for Infants, Children, and

### 2 Adolescents

- 3
- 4 Latest Revision
- 5 <u>2017</u> <u>2022</u>
- 6

### 7 ABBREVIATIONS

- 8 AAP: American Academy of Pediatrics. AAPD: American Academy Pediatric Dentistry. <u>AND:</u>
- 9 Academy of Nutrition and Dietetics. AHA: American Heart Association. BMI: Body mass index. LCS:
- 10 Low-calorie sweeteners. OTC: Over-the-counter. SCBs: Sugar-containing beverages. SSBs: Sugar-
- 11 sweetened beverages. USDA: U.S. Department of Agriculture.
- 12

### 13 Purpose

- 14 The American Academy of Pediatric Dentistry (AAPD) recognizes its role in promoting well-balanced,
- 15 low caries-risk, and nutrient-dense diets for infants, children, adolescents, and persons with special health
- 16 care needs. <u>A healthy diet is essential to optimal growth and development and prevention of chronic diet-</u>
- 17 related diseases such as caries, obesity, and cardiovascular disease.
- 18

#### 19 Methods

- 20 This policy was developed by the Clinical Affairs Committee, and adopted in 1993(AAPD 1993), and-
- 21 This document is an update from the last revisedion in 20172(AAPD 2017). The current revision includes
- 22 searches of articles published in English between 1995 and 2017 using PubMed<sup>®</sup>/MEDLINE, Embase<sup>®</sup>,
- and Google Scholar. Key terms included This revision is based upon a review of current dental and
- 24 <u>medical literature, including a search of the PubMed®/MEDLINE database using the terms:</u> childhood,
- 25 obesity, dental caries, diet, and nutrition, health education, breast-feeding, food habits, dietary guidelines,
- 26 <u>sugar, sugar-sweetened beverages, and body mass index; fields: all; limits: within the last 10 years,</u>
- 27 <u>humans, English, clinical trials, and ages birth through 18</u>. <u>Papers for review were chosen from the</u>
- 28 resultant lists and from hand searches. Expert and consensus opinions by experienced researchers and
- 29 <u>clinicians, including recommendations(Lott 2019 Consensus) developed through a collaboration of the</u>

30 Academy of Nutrition and Dietetics (AND), the AAPD, the American Academy of Pediatrics (AAP), and

31 the American Heart Association (AHA) under the leadership of Healthy Eating Research, also were

- 32 considered. Additional terms included health education, breast-feeding, food habits, dietary guidelines,
- 33 sugar, sugar-sweetened beverages, and body mass index. After conducting the literature searches, articles
- 34 were screened by viewing titles and abstracts. Data from 194 articles were abstracted and used to
- 35 summarize dietary policies and research on diet and nutrition for infants, children, and adolescents.
- 36

#### 37 Background

#### 38 Dietary behaviors and prevalence of dental caries and obesity in children

- 39 A healthy diet in early childhood is essential to supporting optimal growth and development and
- 40 preventing chronic diet-related diseases. Experts across health care disciplines recognize the importance
- 41 of breast-feeding during infancy. (Lott Consensus, Lott Tech Report) Human milk and breast-feeding of
- 42 infants provide general health, nutritional, developmental, psychological, social, economic, and
- 43 environmental advantages while significantly decreasing risk for a large number of acute and chronic
- 44 diseases.(AAP 2012) A systematic review of cariogenic potential of milk and infant formulas in animal
- 45 models found that cow's milk and human milk are less cariogenic than sucrose solutions.(Aarthi et al.
- 46 2013) Another systematic review concluded that children exposed to long durations of breast-feeding up
- 47 to age 12 months had reduced risk of caries.(Tham 2015) However, children breastfed more than 12
- 48 months had an increased risk of caries, and those children breastfed nocturnally or more frequently had a
- 49 further increased caries risk.(Tham 2015) The causes of dental caries and obesity are multifactorial, with
- 50 both having significant dietary components. Beverages contribute significantly to the early diet. A 2019

51 consensus statement, *Healthy Beverage Consumption in Early Childhood: Recommendations from Key* 

- 52 *National Health and Nutrition Organizations,* was developed through a collaboration of AND, AAPD,
- 53 AAP, and AHA under the leadership of Healthy Eating Research, a nutrition research organization.(Lott
- 54 Consensus, Lott Tech Report) These organizations recommend breast milk, infant formula, water, and
- 55 plain milk for children under age five.(Lott Consensus) They suggest that plain (i.e., not flavored,
- 56 sweetened, or carbonated) fluoridated water should be introduced beginning at six months of age for
- 57 children who have started solid foods to familiarize the child with water as well as with drinking from a
- 58 cup; the volume of water offered is based on the intake of other recommended beverages.(Lott
- 59 Consensus, Lott Tech Report) Drinking fluoridated water is a safe and effective method of reducing
- 60 caries.(CDC Fluoride 2001) Fluoridated water is preferred beverage for children one to five years of age
- 61 when consumed outside of meals or snacks.(Lott Tech Report) The consensus statement cautioned
- 62 against beverages that are sources of added sugars, including flavored milks (e.g., chocolate, strawberry),
- 63 or contain low-calorie sweeteners (LCS).(Lott Consensus) Because the long-term health effects of
- 64 consumption of LCS by children is unknown(Lott Tech Report, AAP Council), the statement

65	recommended against consumption of LCS through age five.(Lott Consensus) In addition, it advised
66	against a wide variety of new beverages on the market targeted to children (e.g., toddler formulas) and
67	caffeinated beverages.(Lott Consensus) Plant-based/non-dairy milks (e.g., almond, rice, oat) were noted
68	to provide no unique nutritional value, but unsweetened varieties may be useful when medically indicated
69	(e.g., allergy or intolerance to cow's milk) or to meet specific dietary preferences (e.g., vegan).(Lott
70	Consensus, Lott Tech Report) One of the behaviors associated with dental caries and obesity in children
71	is the consumption of large quantities of sugar-sweetened foods and beverages.
72	
73	Food and flavor preferences may be established during the early years (Lott Tech Report, Saavedra).
74	Establishing health dietary patterns during the first two years of life can have lifelong health benefits.
75	(Saavedra) The AHA recommends that children less than two years of age avoid added sugars in their
76	diets.(Vos) Sugar-sweetened beverages (SSBs) are defined by the Centers for Disease Control and
77	Prevention to include any liquid (e.g., regular soft drinks (soda-or pop), fruit drinks, sports drinks, tea and
78	coffee drinks, energy drinks, sweetened milk or milk alternatives, and any other beverages to which with
79	added sugar, generally high-(e.g., fructose, corn syrup, or-sucrose ([table sugar]), has been added.(CDC
80	Get the facts) A longitudinal study found introduction of SSB before age one was associated with obesity
81	at age six.(Pan) Sugar-containing beverages (SCBs) include SSBs as well as beverages in which sugar,
82	generally glucose or fructose, is naturally present, such as 100 percent fruit juice. In 2017, the AAP
83	reaffirmed that 100 percent juice and juice drinks have no essential role in a healthy diet for children and
84	contribute to excessive calorie intake and risk of dental caries in children.(Heyman 2017) AAP
85	recommendations include: juice should not be introduced to infants before one year of age; intake of juice
86	should be limited to four ounces a day for children one through three years of age, 4-6 ounces for children
87	four through six years of age, and eight ounces for children seven through 18 years of age; toddlers
88	should not be given juice in containers that foster easy consumption; and toddlers should not be given
89	juice at bedtime.(Heyman 2017). The mentioned volumes are recommended maximums, not daily
90	requirements, and fresh fruit is preferred to fruit juice.(Heyman 2017)
91	
92	Unfortunately, many parents do not adhere to evidence-based dietary recommendations for their children.
93	For example, many infants are provided 100 percent juice and cow's milk before age one, which can
94	increase their risk for nutrient (e.g., iron ZIEGLER) deficiencies.(HER) Nearly half of two- to five-year
95	olds consume a SSB daily, with the prevalence increasing throughout childhood.(Lott Tech Report)
96	Children <sup>2</sup> s and adolescent <sup>2</sup> s consumption of SSBs in the United States consumed an average of is high,
97	and it increased from 242 143 calories/day from SSB between 2011-2014, and 7.3 percent of their daily

- 98 energy intake came from SSB.(Rosinger 2017) Significant differences in beverage intake by
- 99 race/ethnicity and income groups in early childhood have been noted. (Lott Tech Report) 1988-1994 to 270
- 100 calories/day between 1999-2004.(Wang et al. 2008) Additionally, adolescents with low-educated parents
- 101 have higher total SSBs consumption and higher energy intake from SSBs.(Han & Powell 2013)
- 102
- 103 Dental caries prevalence in children has been variable, but remains high.(Fleming) The prevalence of
- dental caries (untreated and treated) in primary or permanent teeth among children aged two through 19
- 105 years has been estimated at 45.8 percent (Fleming 2018) For instance, prevalence of dental caries in
- 106 primary teeth for children aged 2-5 increased from 22 percent to 30 percent between 1988-1994 and
- 107 1999-2004 and then decreased to 23 percent in 2011-2012. (Dye et al. 2015) The causes of dental caries
- 108 involve a combination of factors and include diet, bacteria capable of fermenting carbohydrates, fluoride
- 109 exposure, and a susceptible host.(Slayton et al. 2016) While sugar, especially high frequency
- 110 consumption, <u>contributes</u> is a factor contributing to dental caries, a systematic study of sugar consumption
- and caries risk concluded that the relationship between sugar consumption and caries risk is weaker after
- the introduction of fluoride exposure.(Burt & <u>PaiSatishchandra</u> 2001)
- 113
- 114 The causes of obesity include genetic components, lifestyle, and environmental variables, as well as
- nutritional factors. (Lee 2019) When consumed in excess, beverages containing sugar or saturated fats can
- be harmful.(Lott Consensus) Health initiatives in the United States and other countries have specifically
- 117 targeted reducing consumption of SSBs in an effort to reducelower the number of calories that children
- and adolescents consume per day.(von Philipsborn 2019) Data from the 2017–2018 National Health and
- 119 <u>Nutrition Examination Survey (NHANES) indicate that F</u>for children and adolescents aged 2-19, the
- 120 prevalence of obesity is an estimated 19.3 percent, including 6.1 percent with severe obesity and another
- 121 <u>16.1 percent overweight.(Fryar 2020)</u> has remained constant at about 17 percent, with obesity affecting
- 122 about 12.7 million children and adolescents for the past decade.(Ogden et al. 2012) Children and
- adolescents who are obese are likely to be obese as adults and, in adulthood, at risk for health problems
- such as heart disease, type 2 diabetes, stroke, several types of cancer, and osteoarthritis.(US DHHS/Dept.
- 125 Ag. 2016)
- 126
- 127 <u>While dental caries and obesity are both significant pediatric health problems, the relationship between</u>
- 128 caries and anthropometric measurements is complex. Multiple systematic reviews have reported
- 129 inconsistent and inconclusive evidence on the relationship between caries and body mass index (BMI).
- 130 .(Alshehri 2020, Paisi 2019, Chen 2018, Hayden 2013) BMI is a simple, non-invasive means to monitor

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- 131 growth patterns and help assess the risk of obesity. Forms to record BMI for age and gender can be
- 132 downloaded from the website of the Centers for Disease Control and Prevention at
- 133 <u>"https://www.cdc.gov/growthcharts/clinical\_charts.htm#Set1":(CDC growth charts)</u> Because of the
- 134 persistent high prevalence of dental caries and childhood obesity, the need remains for research, policy,
- advocacy, education, and professional engagement to further advance healthy dietary practices for infants,
- 136 children, and adolescents.
- 137

#### 138 National and international dietary guidelines

- 139 The U.S. Department of Health and Human Services and the U.S. Department of Agriculture (USDA)
- 140 develop dietary guidelines every five years to help Americans aged two and older make healthy food
- 141 choices to help prevent chronic disease and enjoy a healthy diet. The <u>2015</u>-2020<u>-2025</u> *Dietary Guidelines*
- 142 *for Americans*(US DHHS/Dept. Ag. <u>2021</u><del>2016</del>) <u>includes four overarching guidelines:</u>
- <u>"Follow a healthy dietary pattern at every life stage.</u>
- Customize and enjoy nutrient-dense food and beverage choices to reflect personal preferences,
   cultural traditions, and budgetary considerations.
- Focus on meeting food group needs with nutrient-dense foods and beverages, and stay within
   calorie limits.
- Limit foods and beverages higher in added sugars, saturated fat, and sodium, and limit alcoholic
   beverages."
- 150 emphasize consuming a healthy pattern that includes a variety of vegetables, fruits, grains, fat-free or low-
- 151 fat dairy products, a variety of protein foods, and oils, with limits on saturated and trans fats, added
- 152 sugars, and sodium. The Dietary Guidelines for Americans also provides give specific quantitative
- recommendations including:
- "Limiting added sugars\* to less than 10% of calories per day for ages 2 and older and to
  avoid added sugars for infants and toddlers;
- Limiting saturated fat to less than 10% of calories per day starting at age 2;
- Limiting sodium intake to less than 2,300 mg per day (or even less if younger than
  14)"(USDA 2021)
- 159 guidelines for consumers, such as consuming less than 10 percent of calories per day from added sugars,
- 160 consuming less than 10 percent of calories per day from saturated fats, and consuming less than 2,300
- 161 milligrams per day of sodium.(US DHHS/Dept. Ag. 2016) In additionTo prevent unhealthy weight gain,
- the World Health Organization recommends <u>energy intake and expenditure be balanced</u>, with a goal of

163	total fat not exceeding 30 percent of energy intake and a shift from away from saturated fat and trans-
164	fats.(WHO) reducing the intake of sugar to less than 10 percent of total energy intake, and to reduce
165	children's risk of weight gain and dental caries, lLimiting the intake of free sugars to less than five
166	percent of total energy intake per day offers additional health benefits(less than 16 grams of sugar for
167	children aged 4-8).(WHO 2015) Additionally, the American Heart Association recommends reducing-
168	sugar limiting consumption of added sugars to no more than six percent of calories (AHA website); forin
169	children and adolescents, their recommended limit is to less than 25 grams (100 calories or approximately
170	six teaspoons) of added sugar per day.(Vos et al. 2017) One should note that eight ounces (i.e., one
171	measured cup) of regular soft drink contain approximately 26 grams of sugar; a 12 ounce can of regular
172	soda contains approximately 10 teaspoons of sugar and has no nutritional value(AHA website).
173	
174	Snacking can help a child meet daily nutritional requirements. More than 25 percent of children's daily
175	caloric intake may come from snacks.(USDA smart snacks) The AAP recommends that toddlers be given
176	two to three healthy snacks daily to supply nutrients that the child cannot consume at mealtime; they
177	should be consumed at a planned time while seated with adult supervision.(AAP 2015) The AAP
178	cautions against confusing snack time with treats for fun as well as continuous/all day snacking.(AAP
179	2015) Frequent (more than three times/day) exposure to between-meal sugar-containing snacks or
180	beverages categorizes a child at high risk for dental caries.(AAPD Caries risk assessment) If a child is
181	given continuous access to a bottle or cup, it should contain only water. The USDA has established
182	guidelines for healthy snacks at school.( USDA smart snacks) Standards for foods to qualify as a school
183	"smart snack" include:
184	• "Be a grain product that contains 50 percent or more whole grains by weight (have a whole
185	grain as the first ingredient); or
186	• Have as the first ingredient a fruit, a vegetable, a dairy product, or a protein food; or
187	• Be a combination food that contains at least 1/4 cup of fruit and/or vegetable; and
188	• The food must meet the nutrient standards for calories, sodium, sugar, and fats".(USDA)
189	Using 2017-2018 NHANES data, the USDA reported approximately 20 percent of youth aged 12 through
190	19 years consumed more than three snacks daily, (USDA NHANES)
191	
192	Dietary recommendations in dental practice
193	Dietary choices affect oral health as well as general health and well-being. Establishment of a dental
194	home by 12 months of age provides time-critical opportunities to assess caries risk and implement allows
195	the institution of individualized caries-preventive strategies, including dietary recommendations and

appropriate oral hygiene instruction, as the primary teeth begin to erupt.(AAPD P Dental Home) A diet 196 197 that avoids frequent consumption of liquids and foods containing sugar is essential to good oral health. 198 The dental home also can influence general health by instituting additional practices related to general 199 health promotion, disease prevention, and screening for non-oral health related concerns. For example, 200 Epidemiological research shows that human milk and breast-feeding of infants provide general health, 201 nutritional, developmental, psychological, social, economic, and environ-mental advantages while 202 significantly decreasing risk for a large number of acute and chronic diseases. (AAP 2012) A systematic 203 review of cariogenic potential of milk and infant formulas in animal models found that cow's milk and 204 human milk are less cariogenic than sucrose solutions.(Aarthi et al. 2013) Another systematic review 205 concluded that children exposed to long durations of breast-feeding up to age 12 months had reduced risk 206 of caries. However, children breastfed more than 12 months has an increased risk of caries; and those 207 children breastfed nocturnally or more frequently had a further increased caries risk.(Tham et al. 2015) 208 209 A June, 2017 recommendation of the Committee on Nutrition of the American Academy of Pediatrics 210 (AAP) has reaffirmed that 100 percent juice and juice drinks have no essential role in a healthy diet for 211 children, and contribute to excessive calorie intake and risk of dental caries in children.(Heyman & Abrams 2017) Their recommendations include: juice should not be introduced to infants before one year 212 213 of age; intake of juice should be limited to four ounces a day for children ages 1-3 years of age; 4-6 214 ounces for children 4-6 years of age; eight ounces for children 7-18 years of age; toddlers should not be 215 given juice in containers that foster easy consumption; and toddlers should not be given juice at 216 bedtime.(Heyman & Abrams 2017) 217 218 It has been shown that nearly 54 percent of U.S. preschool children were given some form of over-the-219 counter (OTC) medications, most commonly as analgesics, antipyretics, and cough and cold 220 medications.(Kogan et al. 1994) Numerous OTC and prescribed oral liquid medications have been found to have a high sugar content to increase palatability and acceptance by children. (Kenny et al. 1989; 221 Maguire et al. 1996; Bigeard 2000) Frequent ingestion of sugar-sweetened medications is associated with 222 223 dental caries in chronically ill children.(Kenny et al. 1989; Maguire et al. 1996; Foster & Fitzgerald 2005) 224 To motivate children to consume vitamins, numerous companies have made sugar containing jelly, gummy, and candy-like chewable vitamin supplements, and cases of vitamin A toxicity have been 225 reported as a result of excessive consumption of candy-like vitamin supplements.(Lam et al. 2006) The 226 227 AAP has recommended that the optimal way to obtain adequate amounts of vitamins is to consume a healthy and well-balanced diet.(Gidding et al. 2006) 228

229

230	With regard to obesity, oral health professionals can calculate and monitor BMI to help need to be more
231	engaged in-identifying children at risk for obesity and provide appropriate referral to pediatricians or
232	nutritional specialists. A 2016 survey of pediatric dentists reported that 17 percent offer childhood obesity
233	interventions, while 94 percent offer information or other interventions on the consumption of sugar
234	sweetened beverages.(Wright & Casamassimo 2017) Barriers to providing healthy weight interventions
235	including fear of offending the parent, appearing judgmental, creating parent dissatisfaction, and lack of
236	parental acceptance of advice about weight management from a dentist.(Wright & Casamassimo 2017)
237	
238	Policy statement
239	The AAPD recognizes a healthy diet in early childhood is essential to optimal growth and development
240	and prevention of chronic diet-related diseases such as caries, obesity, and cardiovascular disease.
241	Through dietary and nutritional counseling, dentists assume an important role in preventing oral disease
242	and promoting overall health among children. The AAPD especially recognizes the importance of and
243	supports:
244	• the recommendation of national and international organizations to reduce the consumption of
245	sugar to less than 10 percent of total energy intake and, to reduce children's risk of weight gain
246	and dental caries, sugar intake should be less than five percent of total energy intake (less than 16
247	grams of sugar for children aged 4-8).
248	• breast-feeding of infants prior to 12 months of age to ensure the best possible health and
249	developmental and psychosocial outcomes for infants.
250	• the introduction of plain, fluoridated water to the infant's diet beginning at age six months for
251	hydration, to familiarize the child with the taste, and for the caries-protective benefits of fluoride.
252	• fluoridated water as the preferred beverage for children from one to five years of age when not
253	part of a meal or snack.
254	• avoiding added sugars in the diet of children younger than age two and minimizing exposure to
255	sweet-tasting drinks and foods during early life to reduce taste preferences for sweets.
256	• recommendations from the USDA for individuals aged two and older to consume a diet of
257	nutrient-dense, lean or low-fat foods from across five food groups (i.e., fruits, vegetables,
258	protein, grains, and dairy) that are prepared without added salt, starches, sugars, or fat,
259	• limiting consumption of sugar to less than five percent of total energy intake to reduce children's
260	risk of weight gain and dental caries.

261	•	establishing healthy beverage consumption patterns during the first five years to promote intake
262		of healthy nutrients, limit excess intake of sugars and saturated fats, and initiate beneficial long-
263		term dietary habits.
264	•	non-sweetened nutrient-dense snacks that supplement meals to meet daily nutritional
265		requirements.
266	•	additional health practices such as meal portion control and energy balance to help prevent
267		overweight and obesity.
268	•	the AAP recommendations on fruit juice in infants, children, and adolescents.
269	Further	rmore, the AAPD encourages
270	•	education of health professionals and the public parents regarding healthy beverage choices and
271		daily sugar-consumption recommendations, as well as the sugar content of foods and, beverages
272		and oral liquid medications.
273	•	dental professionals tobecoming more engaged in identifying children whose dietary patterns
274		place them at increased consume frequent or large quantities of sugar-containing foods and
275		beverages, and who are at risk for dental caries and obesity and.
276	•	dental professionals' engagement in nutrition education and provision, when necessary, of
277		appropriate referral for dietary counseling from a pediatrician or nutritional specialist.
278	•	a healthy, active lifestyle so energy consumption and energy expenditure promote general health
279		and well-being.
280	•	additional research on the benefits and effects of long-term use of low-calorie sweeteners by
281		children.
282		
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357

# This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

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## <sup>1</sup> Policy on Snacks and <u>Sugar-Sweetened</u> Beverages Sold in

- 2 Schools
- 3
- 4 Latest Revision
- 5 <del>2017</del> <u>2022</u>
- 6
- 7 ABBREVIATIONS
- 8 AAP: American Academy of Pediatrics. AAPD: American Academy Pediatric Dentistry. <u>SSBs: Sugar-</u>
- 9 <u>sweetened beverages.</u> **USDA**: U.S. Department of Agriculture.
- 10

### 11 Purpose

- 12 The American Academy of Pediatric Dentistry (AAPD) recognizes that targeted marketing and easy
- 13 access to sweetened foods and beverages with added sugars (acidulated carbonated and noncarbonated)
- 14 by children and adolescents may increase the amount and frequency of their consumption which, in turn,
- 15 may contribute to an increase in caries risk and a negative influence on overall nutrition and health.
- 16

## 17 Methods

- 18 This document was developed by the Council on Clinical Affairs, and adopted in 2002(AAPD 2002), and
- 19 last revised in 2017(AAPD 2017). The last revision occurred in 2009 and was reaffirmed in 2012. This
- 20 revision is based upon a review of current dental and medical literature, including a search of the
- 21 PubMed<sup>®</sup>/MEDLINE database using the terms: schools, vending machines, AND caries; fields: all;
- 22 limits: within the last 10 years, humans, English, clinical trials, and ages birth through 18. The update also
- 23 included a review of the American Academy of Pediatrics' (AAP) policy statement: Soft Drinks in
- 24 Schools, (AAP 2004) the AAP's policy statement: Snacks, Sweetened Beverages, Added Sugars and
- 25 Schools, (AAP 2015) and the U.S. Department of Agriculture (USDA) policies on school meals. (USDA
- 26 <u>Nutrition Standards Standards for School Meals; USDA Standards for Food Sold in Schools Final Rule</u>)
- 27 Papers for review were chosen from the resultant lists and from hand searches. Expert and/or consensus
- 28 opinion by experienced researchers and clinicians also was considered.
- 29

## 30 Background

- 31 Contemporary changes in beverage consumption patterns have the potential to increase dental caries rates
- 32 in children. Vending machines provide ready access to highly-refined carbohydrates excess calories from

### CCA-2022. P\_SnacksBeverages- Final

added sugars, especially soft drinks\* sugar-sweetened beverages (SSBs). Consumption of regular SSBs in 33 34 the form of sodas pop, powdered beverages or sport, energy, and fruit-flavored drinks, and to a lesser 35 extent 100 percent juice, has been associated with an increased earies risk for developing dental 36 caries.(Marshall et al. 2003, Rosinger 2017, Muth 2019, Laniado 2020) The acids present in carbonated 37 beverages can have a greater deleterious effect (i.e., erosion) on enamel than the acids generated by oral flora from the sugars present in sweetened drinks.(ADA CAPIR/CSA 2001) Analysis of the third 38 39 National Health and Nutrition Examination Survey (NHANES 2011-2014)-HH data(CDC 2012) indicated 40 that 13 percent two-thirds of children aged two through 10 2-19 years consumed at least one SSB on a given day, had diets high in consumption of carbonated soft drinks, and these children who consumed 41 SSBs had a significantly higher dental caries experience and untreated dental caries in the primary 42 dentition than did children with other fluid consumption patterns who consumed other beverage types 43 (Sohn et al. 2006 Laniado 2020, Rosinger 2017) A significant increase in caries scores has been reported 44 for children who attended schools that had vending machines.(Maliderou et al. 2006) 45 46 47 There is growing significant concern that vending machine items with limited which provide little to no nutritional value are competitive foods, and resulting in snack options that are considered to be of poor 48 49 nutritional quality.(US GAO 2005; Kakarala et al. 2010; Pasch et al. 2011) As teenage girls' have 50 increased their consumption of soft drinks-SSBs increases, their consumption of milk decreases has 51 decreased by 40 percent, which may contribute to a decrease in bone density, subsequent increase in fractures, and future risk of osteoporosis.(Wyshak 2000; Ludwig et al. 2001 Kalkwarf 2003, Ahn 2021) 52 53 Increased ingestion of SSBssugar-sweetened drinks also has been linked to the increased incidence of childhood obesity. (Fox et al. 2009, Luger 2017) Of all beverages, increasing soda consumption predicted 54 the greatest increase of body mass index (BMI) and the lowest increase in calcium intake.(Striegel-Moore 55 et al. 2006) Carbonated soda consumption was negatively associated with vitamin A intake in all age 56 57 strata, calcium intake in children younger than 12 years, and magnesium intake in children aged 6 years and older.(Ballew et al. 2000) Many soft drinks also contain significant amounts of caffeine which, if 58 59 consumed regularly, may lead to increased, even habitual, usage.(Majewski 2001) 60 61 In 2013, the USDA initiated smart snacks standards prompting school districts to offer healthier food and

62 beverages in vending machines, school stores, and à la carte cafeteria lines.(USDA <u>Nutrition Standards</u>

<sup>\*-</sup>For the purposes of this statement, the term soft drinks refers to such beverages as sodas, fruit juices, and sports drinks.

- 63 Standards for Food Sold in Schools-Proposed Rule) The final rules released by the USDA in July, 2016
- 64 state that schools must continue to meet strong nutritional guidelines for snacks/drinks sold to children,
- and they prevent marketing of foods and drinks inconsistent with those standards.(USDA <u>Nutrition</u>
- 66 <u>Standards for Food Sold in Schools-Final Rule</u>) The USDA's rules establish a national baseline
- of these standards with the overall goal of improving health and nutrition of our children.
- 68

#### 69 Policy statement

- 70 The AAPD:
- Encourages collaboration with other dental and medical organizations, governmental agencies,
   education officials, parent and consumer groups, and corporations to increase public awareness of
   the adverse effects of frequent and/or inappropriate intake of sugar-sweetened beverages and low
   nutrient dense snack foods on children's oral health and general health.
- Promotes educating and informing the public regarding the importance of good nutritional habits
  as they pertain to consumption of items available in vending machines.
- Encourages school officials and parent groups to consider the importance of maintaining healthy
   choices in vending machines in schools and encourages the promotion of food and beverages of
   high nutritional value; bottled water and other more healthy choices should be available instead of
   soft drinks sugar-sweetened beverages.
- Opposes any arrangements that may decrease access to healthy nutritional choices for children
  and adolescents in schools.
- 83

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## <sup>1</sup> Policy on the Use of Lasers for Pediatric Dental Patients

2

- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5
- 6

### 7 ABBREVIATIONS

- 8 AAPD: American Academy Pediatric Dentistry. <u>CO2</u>: Carbon dioxide. <u>Er, Cr:YSGG</u>: Erbium-chromium-
- 9 <u>yttrium-scandium-garnet.</u> <u>Er:YAG: Erbium-yttrium-aluminum-garnet.</u> Nd:YAG: Neodymium-yttrium-
- 10 aluminum-garnet. **PBM**: photobiomodulating.
- 11

### 12 Purpose

- 13 The American Academy of Pediatric Dentistry (AAPD) recognizes the judicious use of lasers as a
- 14 beneficial instrument in providing dental restorative and soft tissue procedures for infants, children, and
- adolescents, including those with special health care needs. This policy is intended to support safe and
- 16 evidence-based use of lasers through a review of inform and educate dental professionals on the
- fundamentals, types, diagnostic and clinical applications, benefits, and limitations of laser use in pediatricdentistry.
- 19

## 20 Methods

- 21 This policy was developed by the Council on Clinical Affairs, and adopted in 2013 (AAPD 2013), and
- 22 last revised in 2017(AAPD 2017). It The revision is based on a review of current dental and medical
- 23 literature related to the <u>safety and</u> use of lasers. This document included database searches using the term:
- 24 laser dentistry, dental lasers, laser pediatric dentistry, laser soft tissue treatments, and laser restorative
- 25 dentistry. Articles were evaluated by title and/or abstract and relevance to pediatric dental care. Expert
- 26 and/or consensus opinion by experienced researchers and clinicians also was considered.
- 27

### 28 Background

- 29 Medicine began integrating lasers in the mid 1970s for soft tissue procedures. Oral and maxillofacial
- 30 surgeons incorporated the carbon dioxide (CO<sub>2</sub>) laser into practice for removal of oral lesions in the
- 31 1980s.(Frame 1985; Coluzzi 2005) The first laser specifically for dental use was a neodymium-yttrium-
- 32 aluminum-garnet (Nd:YAG) laser, developed in 1987 and approved by the United-States- Food and Drug

33 Administration in 1990.(Myers et al. 1989) Since then, laser technology has advanced significantly.

- 34 <u>Currently, lasers used in dentistry include Nd:YAG, argon, erbium (Er, Cr:YSGG and Er:YAG), diode</u>,
- 35 <u>and two CO<sub>2</sub> wavelengths.</u> The use of lasers is contributing <u>contributes</u> to many areas of dentistry
- 36 including periodontics, (Boj et al. 2011) pediatrics, endodontics, oral surgery, (Boj et al. 2011) restorative
- dentistry (Parker 2016) and dental hygiene, cosmetic dental whitening, and pain management. (Fornaini
- 38 <u>2019, Parker 2016, Suresh 2020, Coluzzi 2016, Olivie 2009</u>) of temporomandibular joint pain to name a

39 <del>few.</del>

40

#### 41 Laser basics

42 While a detailed description of how lasers work is beyond the scope of this document, it is important to

43 understand the basics of laser physics are important to understand prior to selecting a laser for dental

44 treatment. The term laser is an acronym for light amplification by stimulated emission of radiation. Lasers

45 are classified by the active medium that is used to create the laser energy. Within a laser, an active

46 medium (e.g., erbium crystal,  $CO_2$  gas, a semiconductor) is stimulated to produce photons of energy that

47 are delivered in a beam of unique wavelength that is measured in nanometers.(Fasbinder 2008 Coluzzi

48 2016) The wavelength of a dental laser is the determining factor of the level to which the laser energy is

49 absorbed by the intended tissue. (Coluzzi 2016, Parker 2020) Target tissues differ in their affinity for

50 specific wavelengths of laser energy depending on the presence of the chromophore or the laser-absorbing

51 elements of the tissue.(Fasbinder 208; Coluzzi 2016, Parker 2020 Green et al. 2011; Martens 2011) Oral

52 hard and soft tissues have a distinct affinity for absorbing laser energy of a specific wavelength.(Coluzzi

53 <u>2016, Parker 2020</u>) For this reason, selecting a specific laser unit depends on the target tissue the

54 practitioner wishes to treat.

55

The primary effect of a laser within target tissues is photo-thermal. (White et al. 1992) meaning that the 56 laser energy is transformed into heat. (Coluzzi 2016) When the temperature of the target tissue containing 57 58 water is raised above 100 degrees Celsius, vaporization of the water occurs, resulting in soft tissue ablation. (Frame 1985 Coluzzi 2016, Parker 2020) Since soft tissue is made up of a high percentage of 59 60 water, excision of soft tissue initiates at this temperature. Hard tissue composed of hydroxyapatite crystals and minerals are not ablated at this temperature, but the water component is vaporized and the resulting 61 62 steam expands and then disperses the encompassing material into small particles. (Martens 2011) Dental hard tissue is composed of hydroxyapatite, mineral, and water. Erbium lasers do not ablate hard tissues 63 64 directly, but vaporization of the water component causes the resulting steam to expand and then disperses

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65	the encompassing material into small particles, a process known as spallation. (Martens 2011; Parker
66	2020) The 9300 nm CO <sub>2</sub> wavelength targets absorption within the water component, as well as the
67	phosphate and hydrogen phosphate anions of the hydroxyapatite mineral molecule and is, therefore,
68	capable of ablating enamel and dentin. (Parker 2020, Parker 2016)
69	
70	Laser operating parameters such as power, frequency, emission mode, thermal relaxation time, and air
71	and water coolant used affect the clinical abilities of a laser. (Coluzzi 2016, Parker 2020) Additionally,
72	the delivery system of laser unit as well as the tissue concentration of the chromophore greatly influence
73	the laser-tissue interactions.(Coluzzi 2016, Parker 2016)
74	
75	Clinical applications of the lasers commonly used in pediatric dentistry are listed in the Table.
76	
77	Laser safety
78	Adherence to safe laser practices is a duty of every laser practitioner, but identification of a laser safety
79	officer for a clinical facility can maximize safe and effective operation of the laser. This person would
80	provide all necessary information, inspect and maintain the laser and its accessories, and ensure that all
81	safety procedures are implemented. (Coluzzi 2016) Because reflected or scattered laser beams may be
82	hazardous to unprotected skin or eyes, wearing wavelength-specific protective eyewear is required at all
83	times by the dental team, patient, and observers during laser use. (Coluzzi 2016) Laser plume results
84	from the aerosol byproducts of laser-tissue interaction and may contain particulate organic and inorganic
85	matter (e.g., viruses, toxic gases, chemicals) which may be infectious or carcinogenic.(Coluzzi 2016)
86	Laser plume, a mixture of gases as well as debris, is generated during the use of lasers. When using dental
87	lasers adherence to infection control protocol, including wearing a 0.1um filtration mask, and-utilization
88	of high-speed suction are imperative. (Coluzzi 2016) as the vaporized aerosol may contain infective tissue
89	particles.(Coluzzi 2005;Piccone 2004) Sparks from lasers can contribute to patient fire in the presence of
90	an oxidizer enriched atmosphere and combustible agents (e.g., dry gauze, throat pack, paper, cotton
91	products; hair; petroleum-based lubricants; alcohol-based products; rubber dam and nitrous mask).
92	(AAPD safety 2020, Chen 2019, Bosack et al. 2016, Weaver 2012) Safe laser practices reduce the risk of
93	fire.(AAPD safety 2020)
94	The practitioner should exercise good clinical judgment when p-Providing soft tissue treatment of viral
95	lesions in immunocompromised patients has the potential-risk of disease transmission from laser-
96	generated aerosol-exists.(Parker 2007; Garden et al. 2002) To prevent viral transmission, Palliative

- 97 pharmacological therapies may be more acceptable and appropriate in this group of patients in order to
- 98 prevent viral transmission.(Garden et al.) Reflected or scattered laser beams may be hazardous to
- 99 unprotected skin or eyes. Wavelength-specific protective eyewear should be provided and consistently
- 100 worn at all times by the dental team, patient, and other observers in attendance during laser use.(Coluzzi
- 101 2005) Many states have well-defined laser safety regulations, and information can be obtained from and
- 102 practitioners should contact their specifi state boards to obtain this information.
- 103

#### 104 <u>Benefits of lasers in pediatric dentistry</u>

- 105 One of the benefits of laser use in pediatric dentistry is the selective and precise interaction with diseased
- 106 tissues.(Coluzzi 2005 Coluzzi 2016) Less thermal necrosis of adjacent tissues is produced with lasers than
- 107 with electrosurgical instruments.(Coluzzi 2008Coluzzi 2016)During soft tissue procedures, hemostasis
- 108 can be obtained without the need for sutures in most cases.(Coluzzi 2005;Coluzzi 2016 Boj et al.
- 109 2011; Parker 2020) With the benefit of hemostasis during soft tissue treatments, This may allow wound
- 110 healing ean to occur more rapidly with less post-operative discomfort and a reduced need for
- 111 analgesics.(Martens 2011; Coluzzi 2016 Coluzzi 2008; Boj et al. 2011; Olivi et al. 2009; Parker 2020)
- Little to no local anesthesia is required for most soft-tissue treatments.(Martens 2011; Boj et al. 2011;
- 113 Olivi et al. 2009; Convissar & Goldstein 2003) Reduced operator chair time has been observed when soft
- tissue procedures have been completed using lasers.(Boj et al. 2011; Olivi et al. 2009) Lasers demonstrate
- decontaminating and bacteriocidal properties on tissues, requiring less prescribing of antibiotics post-
- operatively.(Martens 2011; Boj et al. 2011; Olivi et al. 2009;<u>Parker 2020</u>) Lasers can provide relief from
- 117 the pain and inflammation associated with aphthous ulcers and herpetic lesions without pharmacological
- 118 intervention.(Green et al. 2011; Boj et al. 2011; Olivi et al. 2009)
- 119
- 120 Laser therapeutics can occur without a photothermal event, and these effects are known as
- 121 photobiomodulating (PBM) or low-level laser effects. (Fornaini 2019) PBM therapy has been used in
- 122 children for prevention and treatment of oral mucositis associated with immunosuppressive therapy
- 123 (chemotherapy, radiation, and transplants). (AAPD Imunosuppressive therapy 2022, Elad 2020, Miranda-
- 124 Silva 2021, Zadik 2019) PMB may reduce postsurgical or traumatic oral pain(Formaini, 2019), and pain
- during cavity preparation.(Tanboga 2011) Laser therapy, (PBM as well as application of erbium and CO<sub>2</sub>
- 126 laser energy)(Yilmaz et al. 2017, Suter et al. 2017), can provide relief from the pain and inflammation
- 127 associated with aphthous ulcers and herpetic lesions without pharmacological intervention; (Green et al.
- 128 2011; Boj et al. 2011; Olivi et al. 2009, Bardellini 2020) however, more studies are needed to establish

the laser type and therapeutic parameters (e.g. applied energy, wavelength, power outlet) recommended
 for children. (Suter et al. 2017)

- 131
- 132 Nd:YAG, erbium, and 9300 nm CO<sub>2</sub> lasers have been shown to have an analgesic effect on hard tissues,
- reducing or eliminating the use of local anesthesia during tooth preparations.(Caprioglio 2017;Martens
- 134 2011; Olivi & Genovese 2011; van As 2004; Matsumoto et al. 2002; Den Besten, et al. 2001 Parker 2016)
- 135 The mechanism for laser analgesia is not known; however, proposed explanations include that the photo-
- 136 acoustic effect of laser energy acts within the gate control pathway blocking pain sensations, direct and
- 137 <u>indirect influences of laser energy on nerves and nociceptors, and modifications of the sodium/potassium</u>
- 138 pump systems inhibiting nerve transmission. (Poli 2020, Parker 2016) During restorative procedures.
- 139 conventional dental handpieces produce noise and vibrations which have been postulated as stimulating
- 140 discomfort, pain, and anxiety for the pediatric patient.(Martens 2011; Olivi & Genovese 2011; Takamori
- 141 <u>et al. 2003; Tanboga et al. 2011) The non-contact of lasers with hard tissue eliminates the vibratory</u>
- 142 effects of the conventional high-speed handpiece and may reduce anxiety related to rotary
- 143 <u>instruments.(Merigo et al. 2015)</u> Lasers can remove caries effectively with minimal involvement of
- surrounding tooth structure because caries-affected tissue has a higher water content than healthy
- 145 tissue.(Coluzzi 2008-Coluzzi 2016, Parker 2016) The noise and vibration of the conventional high-speed
- 146 dental handpiece has been postulated as stimulating discomfort, pain, and anxiety for the pediatric patient
- 147 during restorative procedures.(Martens 2011; Olivi & Genovese 2011; Takamori et al. 2003; Tanboga et
- 148 al. 2011) The non-contact of erbium lasers with hard tissue eliminates the vibratory effects of the
- 149 conventional high-speed handpiece allowing tooth preparations to be comfortable and less anxiety
- 150 provoking for children and adolescents.(Martens 2011; Olivi & Genovese 2011; Tanboga et al. 2011)
- 151 Nd:YAG ,and erbium have been shown to have an analgesic effect on hard tissues, eliminating injections
- and the use of local anesthesia during tooth preparations.(Martens 2011; Olivi & Genovese 2011; van As
- 153 2004; Matsumoto et al. 2002; Den Besten, et al. 2001)
- 154

#### 155 Limitations Disadvantages of lasers in pediatric dentistry

- 156 There are some disadvantages of <u>lL</u>aser use in pediatric dentistry has some disadvantages. Since different
- 157 wavelengths are necessary for various soft and hard tissue procedures, the practitioner may need more
- than one laser.(<u>Coluzzi 2005</u> <u>Coluzzi 2016</u>) Laser use requires additional training and education for the
- various clinical applications and types of lasers.(<u>Coluzzi 2005;Coluzzi 2016</u> Olivi et al. 2009; Olivi &
- 160 Genovese 2011; van As 2004) High start-up costs are required to purchase the equipment, implement the

## CCA-2022. P\_LasersUse-Final

- technology, and invest in the required education and training.(<u>Coluzzi 2005; Coluzzi 2016</u>; Olivi et al.
- 162 2009) <u>Laser manufacturers provide training on their own units</u>, but most laser education is obtained
- 163 through continuing education courses. Few dental schools and graduate programs provide comprehensive
- 164 laser education at this time. Most dental instruments are both side- and end-cutting; lasers are exclusively
- 165 end-cutting, and lasers are unable to ablate metallic restorations. (Coluzzi 2016, Parker 2016) Cavity
- 166 preparations are slower to make with a laser than with a highspeed handpiece, (Parker 2016) and When
- 167 using lasers, modifications in clinical technique along with additional preparation with high-speed
- 168 handpieces may be required to finish tooth preparations. (Coluzzi 2005; Coluzzi 2016; Olivi & Genovese
- **169** 2011)
- 170

### 171 Policy statement

- 172 The AAPD:
- recognizes the use of lasers as an alternative and complementary method of providing soft and
   hard tissue dental procedures for infants, children, adolescents, and persons with special health
   care needs.
- advocates the dental professional receive additional didactic and experiential education and
- training on the use of lasers before applying this technology on pediatric patients.
- encourages dental professionals to research, implement, and utilize the appropriate laser specific
   and optimal for the indicated procedure. <u>Understanding the technology and clinical implications</u>
   is necessary before practitioners utilize lasers in patient care.
- encourages additional research regarding the safety, efficacy and application of lasers for dental
   care for pediatric patients.
- <u>supports patient, visitor, and staff safety through identification of a laser safety officer</u>,
- 184 supplementation of infection control practices, and use of wavelength-specific protective eyewear
- 185 when a dental facility employs laser technology Endorses use of protective eyewear specific for
- 186 laser wavelengths during treatment for the dental team, patient, and observers.
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#### Table. LASER BASICS IN PEDIATRIC DENTISTRY

Laser type	Wavelength		Applications
Diode	450 - 655 nm	1.	Laser fluorescence - diagnostic applications, detection of
			occlusal caries, detecting calculus in periodontal pockets,
			detection of dysplastic cells during oral cancer screening
			(Coluzzi 2016, Parker 2016)
Diode	810 - 980 nm	1.	Soft tissue ablation procedures – gingival contouring for esthetic
			purposes, frenectomy, gingivectomy, operculectomy, biopsy
			(Boj 2011, Coluzzi 2016)
		2.	Photobiomodulation – proliferation of fibroblasts and enhancing
			the healing of oral lesions (mucositis, aphthous ulcers, herpetic
			lesions,), or surgical wounds (Fornaini 2019, Sutter et al., 2017)
		3.	Periodontal procedures - laser bacterial reduction, elimination of
			necrotic epithelial tissue during regenerative periodontal
			surgeries (Low 2016)
		4.	Enamel W-whitening (Suresh 2020)
Er, Cr:YSGG*	2,780 nm	1.	Hard tissue procedures -enamel etching, caries removal and
			cavity preparation in enamel and dentin (Boj 2011, Coluzzi
			<u>2016, Parker 2016)</u>
		2.	Osseous tissue procedures – bone ablation (Boj 2011, Coluzzi
			<u>2016)</u>
		3.	Soft tissue ablation procedures - incision, excision,
			vaporization, coagulation and hemostasis; gingival contouring
			for esthetic purposes, frenectomy, gingivectomy,
			operculectomy, biopsy
			(Boj 2011, Coluzzi 2016)
		4.	Endodontic therapy – pulp cap, pulpotomy, pulpectomy, root
			canal preparation
			(Nazemisalman 2015)
		5.	Periodontal procedures - laser bacterial reduction, elimination of
			necrotic epithelial tissue during regenerative periodontal
			surgeries (Low, 2016)

6. Treatment of oral ulcerative lesions (Yilmaz et al. 2017)

Er:YAG**	2,940 nm	1. Hard tissue procedures – caries removal and cavity preparation
		in enamel and dentin
		(Boj 2011, Coluzzi 2016, Parker 2016)
		2. Endodontic therapy – root canal preparation
		(Nazemisalman 2015)
CO2†	9,300 nm	1. Hard tissue procedures - <u>enamel etching</u> , caries removal and
		cavity preparation in enamel and dentin (Parker 2020)
		2. Osseous tissue procedures – bone ablation
		3. Soft tissue procedures <u></u>
		coagulation and hemostasis – gingival contouring for esthetic
		purposes, frenectomy, gingivectomy, operculectomy, biopsy
		(Boj 2011, Coluzzi 2016)
CO2	10,600 nm	1. Soft tissue ablation procedures – gingival contouring for esthetic
		purposes, frenectomy, gingivectomy, biopsy
		(Boj 2011, Coluzzi 2016, Nazemisalman 2015)
		2. Treatment of oral ulcerative lesions
		(Nazemisalman 2015, Suter et al. 2017)
		3. Periodontal procedures – elimination of necrotic epithelial tissue
		during regenerative periodontal surgeries
		(Nazemisalman 2015)
* Er, Cr:YSGG	– erbium, chromium	, yttrium, scandium, gallium, garnet. <b>**</b> Er:YAG – erbium, yttrium,

282 283

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281

aluminum, garnet. † CO<sub>2</sub>: Carbon dioxide.

## <sup>1</sup> Policy on Acute Pediatric Dental Pain Management

- 2
- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5
- 6 ABBREVIATIONS
- 7 **AAPD**: American Academy Pediatric Dentistry. **FDA**: U.S. Food and Drug Administration. **NSAIDs**:
- 8 Nonsteroidal anti-inflammatory drugs.
- 9

#### 10 Purpose

The American Academy of Pediatric Dentistry (AAPD) recognizes that children vary greatly in their 11 12 cognitive and emotional development, medical conditions, and responses to pain and interventions. This policy is not intended to provide clinical recommendations, which can be found in AAPD's best practice 13 14 on pain management; rather, the purpose of this document is to support efforts to prevent or alleviate 15 pediatric pain and complications from pain medications. Infants, children, adolescents, and those with special health care needs can and do experience pain;- and the majority of dental-related pain in most 16 patients in the dental setting can be prevented or substantially relieved. The AAPD further recognizes that 17 18 there are many therapeutics are available to treat pain with varying dosages and/or regimens. Recent

- 19 concerns have developed about toxicities associated with codeine <u>and the adverse effects of opioid</u>
- 20 <u>analgesics</u>.

21

#### 22 Methods

23 This policy was developed by the Council on Clinical Affairs, and adopted in 2012(AAPD 2012), and last

24 <u>revised in 2017(AAPD 2017)</u>. This document is an update of the previous version and is based on a

25 review of current dental and medical literature pertaining to pediatric pain management including a search

- 26 with PubMed<sup>®</sup>/MEDLINE using the terms: pediatric dental pain management, pediatric pain
- 27 management, pediatric postoperative pain management, pediatric analgesic overdose; fields: all; limits:
- within the last ten years, humans, all children zero to 18 years, English, clinical trials, and literature
- 29 reviews. The search returned 3,388 8,031 articles. The reviewers agreed upon the inclusion of 12 new 16
- 30 documents that met the defined criteria. Nine additional documents were retained from the previous
- 31 version of the policy for historical purposes. When data did not appear sufficient or were inconclusive,

- 32 information included in this police policy was based upon expert and/or consensus opinion by
- **33** experienced researchers and clinicians
- 34

#### 35 Background

- 36 Pain assessment is an integral component of the dental history and comprehensive evaluation. When
- 37 symptoms or signs of orofacial/dental pain are evident, a <u>A</u> detailed pain assessment should be conducted
- 38 and documented in the patient's record. This assessment helps the dentist to derive a clinical diagnosis,
- 39 develop a prioritized treatment plan, and better estimate analgesic requirements for the patient (deLeeuw
- 40 <u>2018</u>). Assessment of pain indicates the need for intervention and appropriateness of treatment (deLeeuw
- 41 2018). Assessment of pediatric pain may significantly improve the patient's comfort and quality of life.
- 42 (Zielinski, 2020) Research suggests that undertreatment of pediatric pain can amplify future pain
- 43 experience. (Cramton, 2012). Effective pain management is important in both the short and the long-term
- 44 (deLeeuw 2018). Children with an established dental home have better access for acute and chronic
- 45 <u>orofacial pain management. A dental home provides comprehensive care which can assess and manage</u>
- 46 acute and chronic oral pain and infection. (AAPD Policy on Dental Home 2018)
- 47
- 48 Pain is difficult to measure due to its subjectivity, especially in children, (Barrêtto et al. 2004; Jain 2012)
- 49 and often relies on the report of parents. There are several pain scale indicators that can be used with
- 50 children, including the Faces Pain Scale and the Wong-Baker FACES<sup>®</sup> Pain Rating Scale.(Barrêtto et al.
- 51 2004; Jain 2012) The method of assessing pain selected by the practitioner must accurately reflect pain
- 52 intensity. Pain experienced by children with special health care needs or developmental disabilities is
- 53 more challenging to assess accurately and may require utilization of scales that rely on observations such
- 54 as vocalization, facial expressions, and body movements.(IOM 2016; Feldt 2000; Merkel et al. 1997)
- 55
- 56 In addition to documenting pain severity, it is important to assess pain onset, pattern, location, and
- 57 quality; aggravating and relieving factors; previous treatment and its effect; and barriers to
- 58 assessment.(Chou et al. 2016) When assessing pain in a child, the patient's psychological status should be
- 59 considered. The dentist also should account for the intensity and duration of pain that may be perceived
- 60 from a given dental procedure.(AAP/APS 2001; Needleman et al. 2008)
- 61
- 62 Pain management may range from nonpharmacologic modalities to pharmacological treatment.
- 63 Nonpharmacologic therapy includes maintaining a calm environment, encouraging deep breathing, and
- 64 employing guided imagery, distraction, play therapy, <u>hypnotherapy</u>, <u>virtual reality</u>, and <u>other (e.g.</u>

65 <u>acupuncture, transcutaneous nerve stimulation) techniques</u> tell-show-do.(Lee et al. 2014, <u>BP-Pain 2022</u>)

- 66 Pharmacologic therapy may consist of administration of topical and local anesthesia, analgesic
- 67 medications, and/or mild, moderate, or deep sedation regimens.(Lee et al. 2014; AAPD BP\_Use of Local
- 68 Anesthesia) The extent of treatment affects post-operative pain. It has been reported that 95 percent of
- 69 children undergoing full mouth dental rehabilitation, regardless of extent of treatment, report pain of
- 70 moderate intensity.(Needleman et al. 2008) Pain scores usually are highest immediately postoperatively
- 71 while the patient is in the post-anesthesia recovery unit.(Needleman et al. 2008) Due to analgesics and/or
- 72 local anesthetics administered intra-operatively during dental rehabilitation, some patients may be delayed
- 73 in their pain response and report greater intensity of pain at home following the procedures. Patients who
- <sup>74</sup>had extractions, as well as those who had 12 or more dental procedures, were more likely to experience
- 75 pain at home.(Needleman et al. 2008) The selection of an appropriate Analgesic selection depends on the
- individual patient, the extent of treatment, the duration of the procedure, psychological factors, and the
- 77 patient's medical history (Laskarides 2016). Physiologic factors such as bleeding disorders, liver
- 78 problems, and kidney problems should be given particular attention since some analgesics may promote
- 79 bleeding.(Becker 2010) If moderate to severe postoperative pain is considered likely, an analgesic should
- 80 be administering an analgesic on a regular schedule during the first for 36 to 48 hours helps to maintain a
- 81 create stable plasma levels of the agent and analgesics and decreases risk for the chance of breakthrough
- 82 pain.(<u>Chou 2016</u>Becker 2010; Sutters et al. 2010)
- 83
- 84 Treatment of postoperative pain may include opioid analgesics and non-opioid analgesics.<u>Many</u>
- 85 therapeutics are available for the prevention of pain. Acetaminophen and Since most cases of
- 86 postoperative pain include an inflammatory component, nonsteroidal anti-inflammatory drugs (NSAIDs),
- 87 such as ibuprofen, are considered first line agents in the treatment of acute mild to moderate postoperative
- 88 pain.(Laskarides 2016<del>Becker 2010) Aspirin containing analgesics are contraindicated for pediatric pain</del>
- 89 management in most situations because, if administered during a viral illness, the potential exists for a
- 90 serious condition known as Reye syndrome.(Ruest & Anderson 2016) Acetaminophen lacks anti-
- 91 inflammatory properties but can be a non-opioid alternative when NSAIDs are contraindicated.(Becker
- 92 2010) Acetaminophen is found as a single agent and also in combination with other drugs. Overdose of
- 93 acetaminophen is a potential pediatric emergency, and the maximum daily dose should be observed,
- 94 especially when combination medications are used.(Am Assn Poison Control Centers 2006) Alternating
- administration of ibuprofen and acetaminophen is another strategy for pain management in children and
- 96 may allow lower doses of each individual medication to be used. (Chou et al. 2016; Liu & Ulualp 2015,
- 97 Moore, 2013) Many analgesics have multiple modalities of administration, such as oral, rectal or

98 intravenous, to accommodate a wide patient population. (Ruest & Anderson 2016) Consideration of these

- 99 modalities may be pertinent when treating patients in different environments such as an office-based
- 100 <u>outpatient setting versus in the hospital.</u>
- 101
- 102 <u>Certain analgesics are contraindicated in the pediatric population due to concerns for toxicity and adverse</u>
- 103 reactions. NSAIDs may prolong bleeding time and exacerbate kidney or liver impairment, and

104 <u>acetaminophen overuse may be associated with hepatotoxicy. (Laskarides 2016)</u> Aspirin-containing

105 analgesics are contraindicated for pediatric pain management in most situations because, if administered

- during a viral illness, the potential exists for a serious condition known as Reye syndrome, <u>a condition</u>
- 107 <u>that causes swelling of the liver and brain</u>.(Ruest & Anderson 2016). Practitioners may be hesitant to
- 108 prescribe opioid analgesics for pediatric patients for fear of addiction. Because opioid use for dental pain
- 109 should be of short duration, physical dependence is unlikely and its use should be considered.(Sutters et

110 al. 2010) <u>Although</u> opioid analgesics are <u>can be</u> effective for moderate to severe postoperative pain, <del>but</del>

111 have there are potential for adverse effects (e.g., nausea, emesis, constipation, sedation, respiratory

depression) and diversion.(Liu & Ulualp 2015; Yaksh & Wallace 2010; Dionne & Moore 2016) From

- 113 2006 to 2018, the opioid dispensing rate for the pediatric population steadily decreased. (Renny 2021)
- 114 Persistent opioid use among children and adolescents is a major concern and represents an important
- 115 pathway to opioid misuse. (Harbaugh, 2018) A 2013 systematic review found a combination of
- 116 acetaminophen and ibuprofen provided effective analgesia without the adverse side effects associated
- 117 with opioids; the combination of acetaminophen and ibuprofen was shown to be more effective in

118 combination than either medication alone. (Moore, 2013) In April, 2017, the United States Food and Drug

119 Administration (FDA) issued a warning to restrict the use of codeine and tramadol in children and

120 <u>breastfeeding mothers.( US FDA Codeine Use Breastfeeding)</u> Parental anxiety about postoperative pain

121 and potential adverse effects of pain medications may influence administration of analgesics at

122 home.(Chou et al. 2016; AAP/APS 2001) Strategies that educate parents about anticipated postoperative

123 discomfort and the benefits of pain medication have been associated with reduced reports of pain in

124 pediatric patients.(AAP/APS 2001) Parental education, expectation management, and effective use of

- 125 non-opioid analgesics are keys in reducing adverse effects of opioid analgesics. Opioid analgesics such as
- 126 hydrocodone and oxycodone are often combined with acetaminophen. Concomitant or alternating opioid
- 127 administration with ibuprofen can reduce opioid consumption. Codeine, a prodrug that is metabolized into
- 128 morphine in the liver, has been removed from many hospital formularies due to safety concerns.(US FDA
- 129 Codeine Use; Tobias et al. 2016; Crews et al. 2014) sIndividual response to codeine ranges from high
- 130 sensitivity to no effect at all due to genetic variability.(Tobias et al. 2016; Crews et al. 2014) A genetic

polymorphism of the liver cytochrome enzyme CYP2D6 causes some patients to be ultra-rapid 131 metabolizers of codeine.(US FDA Codeine Use) Ultimately, these patients convert codeine into high 132 133 levels of morphine very quickly. For this reason, postoperative use of codeine has been associated with 134 undesirable consequences including death in infants and children.(US FDA Codeine Use; Tobias et al. 135 2016; Crews et al. 2014) Another variant of CYP2D may cause patients to be poor metabolizers of codeine and, consequently, under respond to the opioid. (Crews et al. 2014) Repeated doses, of codeine-136 137 containing analgesics in these patients fail to result in adequate analgesia, since codeine is not effectively broken down into the active metabolite morphine.(Crews et al. 2014) Tests cleared by the U.S. Food and 138 Drug Administration (FDA) are available and could be considered to identify both ultra-rapid and poor 139 140 metabolizers of codeine and other opioid analgesics.(US FDA Codeine) Tramadol and, to a lesser extent, 141 hydrocodone and oxycodone, also are influenced by CYP2D6 activity. (Crews et al. 2014) In April, 2017, the FDA issued a warning to restrict the use of codeine and tramadol medicines in children and 142 breastfeeding mothers.(US FDA Tramadol) Morphine and non-opioid alter-natives are not influenced by 143 144 CYP2D6 metabolism.(Crews et al. 2014) 145 146 Policy statement 147 The AAPD recognizes that pediatric dental patients children may experience pain and exhibit as a direct result of their oral condition or secondary to invasive dental procedures. variability in the expression of 148 pain, and that Inadequate pain control management may have has the potential for significant physical and 149 psychological consequences, including altering future pain experiences for these children. Furthermore, 150 pharmacologic agents used in pediatric pain management have potential for toxicity and adverse 151 152 reactions, with narcotics at risk for diversion to unintended recipients. for the patient. Therefore, the 153 AAPD encourages health care professionals to: 154 • healthcare professionals to emphasize preventive oral health practices and to implement safe and 155 effective pre-, intra-, and post-operative approaches to minimize the patient's risk for pain. healthcare practitioners to follow evidence-based recommendations regarding analgesic use by 156 • pediatric patients to minimize untoward reactions and potential for substance misuse. 157 additional research to determine safe and effective treatment modalities for acute pain. 158 • 159 recognize, assess, and document symptoms of pain in the patient's record. 160 consider preoperative, intraoperative, and postoperative pain management options. 161 use non-pharmacologic and pharmacologic strategies to reduce pain experience. 162 utilize drug formularies in order to accurately prescribe medications for the management of pain.

163	<ul> <li>choose agents compatible with the patient's medical history.</li> </ul>
164	<ul> <li>comprehend the consequences, morbidities, and toxicities associated with the use of specific</li> </ul>
165	therapeutics.
166	<ul> <li>consider non-opioid analgesics as first line agents for pain management.</li> </ul>
167	• consider simultaneous use of analgesics with different mechanisms of action to optimize pain
168	management. Combining opioid analgesics with NSAIDs or acetaminophen for moderate to
169	severe pain may decrease overall opioid consumption.
170	• support additional clinical research to extend the understanding of the risks and benefits of both
171	opioid and nonopioid alternatives for orally-administered, effective agents for acute and chronic
172	pain. (Tobias et al. 2016)
173	
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<sup>1</sup> Policy on Model Dental Benefits for Infants, Children,

## 2 Adolescents, and Individuals with Special Health Care Needs

- 3
- 4 Revised
- 5 <del>2017</del> <u>2022</u>
- 6
- 7 ABBREVIATIONS
- 8 **AAPD**: American Academy Pediatric Dentistry.
- 9

### 10 Purpose

11 The American Academy of Pediatric Dentistry (AAPD) believes that all infants, children, adolescents,

12 and individuals with special health care needs must have access to comprehensive preventive and

13 therapeutic oral health care benefits that contribute to their optimal health and well-being. This policy is

14 intended to assist policy makers, third-party payors, and consumer groups/benefits purchasers to make

15 informed decisions about the appropriateness of oral health care services for these patient populations.

16

### 17 Methods

18 This policy was developed by the Council on Dental Benefit Programs and Council on Clinical Affairs.

19 and adopted in 2008 (AAPD 2008),- and last revised in 2017(AAPD 2017). This policy is based upon a

20 review of the AAPD's systematically-developed oral health policies, best practices, and clinical practice

21 guidelines as well as clinical practice guidelines that have been developed by other professional

22 organizations and endorsed by the AAPD.

23

## 24 Background

25 The AAPD advocates optimal oral health and health care for all infants, children, adolescents, and

26 individuals with special health care needs, regardless of race, ethnicity, religion, sexual or gender identity,

27 medical status, disability, family structure, or financial circumstances. (AAPD P. Vul Pop 2021) Oral

- diseases are progressive and cumulative; ignoring oral health problems can lead to needless pain and
- suffering, infection, loss of function, increased health care costs, and lifelong consequences in
- 30 educational, social, and occupational environments. A dental benefit plan should be actuarially sound and
- 31 fiscally capable of delivering plan benefits without suppressing utilization rates or the delivery of

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- 32 services. When a benefits plan, whether for a commercial or government program, is not actuarially sound
- and adequately underwritten, access and appropriate care under the plan are placed at risk. When oral
- health care is not accessible, the health implications, effects on quality of life, and societal costs are
- 35 enormous.(US DHHS 2000, National Institutes of Health. Oral Health in America: Advances and
- 36 <u>Challenges 2021</u>) The AAPD's oral health policies, best practices, and clinical practice guidelines(AAPD
- 37 Reference Manual <u>2022</u>) encourage the highest possible level of care to children and patients with special
- 38 health care needs. The AAPD also sponsors a national <del>consensus conference or</del> symposium each year on
- 39 pediatric oral health care and those proceedings are published in a special issue of *Pediatric Dentistry*.
- 40 Those sources, documents(AAPD Reference Manual; AAPD Restorative Consensus Conference 2015;
- 41 AAPD Symposium on Behavior Guidance 2014; AAPD Symposium on Prevention 2006; AAPD/AAE
- 42 Symposium on Pulp Therapy; 2008; AAPD Symposium on Trauma 2009; AAPD/AAE Symposium on
- 43 Trauma 2013) as well as clinical practice guidelines from other organizations with recognized
- 44 professional expertise and stature(AAPD Symposium on Trauma 2009; AAPD/AAE Symposium on
- 45 Trauma 2013; AAE Guide to Clinical Endodontics 2013; AAPeriod 2003; Caton et al 2018; ADA 2012;
- 46 ACP-CA 20092018; NFED 2003; Rozier et al. 2010; Clark & Slayton 2014; Carter et al. 2008), serve as
- 47 the basis for the recommendations below. Such recommendations ideally are evidence based but, in the
- 48 absence of conclusive evidence, may rely on expert opinion and clinical observations.
- 49

#### 50 Policy statement

- The AAPD encourages all policy makers and third-party payors to consult the AAPD in the development
  of benefit plans that best serve the oral health interests of infants, children, adolescents, and individuals
  with special health care needs. These model services are predicated on establishment of a dental home,
  defined as the ongoing relationship between the dentist (i.e., the primary oral health care provider) and the
  patient, inclusive of all aspects of oral health care, starting no later than 12 months of age.(AAPD
  D\_Dental Home 2021)
- 57

Value of services is an important consideration, and the AAPD encourages all stakeholders to recognize

- 59 that a least expensive treatment is not necessarily the most beneficial or cost effective plan in the long
- 60 term for the patient's oral health.
- 61
- 62 The following services are essential components in health benefit plans.
- 63 A. Preventive services:

64	1.	initial and periodic orofacial examination, including medical, dental, and social histories,
65		furnished in accordance with the attached periodicity schedule(AAPD Reference Manual 2022)
66		or when oral screenings by other health care providers indicate a risk of caries or other dental or
67		oral disease. (AAPD Best Practices for Caries-Risk Assessment and Management 2022; AAPD
68		Policy on Social Determinants 2022)
69	2.	education for the patient and the patient's family on measures that promote oral health as part of
70		initial and periodic well-child assessment. (AAPD Best Practice for Perinatal and Infant Oral
71		Health Care 2021; AAPD Best Practice for Periodicity, Anticipatory Guidance \ Counseling
72		2022; AAPD Best Practice for Management of Dental Patients with Special Healthcare Needs
73		<u>2022)</u>
74	3.	age-appropriate anticipatory guidance and counseling on non-nutritive habits, injury prevention,
75		intraoral \ perioral piercing, human papilloma virus, and tobacco use/substance abuse. (AAPD
76		Best Practice for Periodicity, Anticipatory Guidance \ Counseling 2022; AAPD Policy on
77		Intraoral \ Perioral Piercing and Oral Jewelry \ Accessories 2021; AAPD Policy on Human
78		Papilloma Virus Vaccinations 2021; AAPD Policy on Substance Misuse 2021; AAPD Policy on
79		Electronic Nicotine Delivery Systems 2021; AAPD Policy on Tobacco Use 2021; AAPD Policy
80		on Use of Pacifiers 2022)
81	4.	application of topical fluoride at a frequency based upon caries risk factors. (AAPD Policy on
82		Use of Fluoride 2021; AAPD Best Practices for Fluoride Therapy 2021)
83	5.	prescription of a high-concentration fluoridated toothpaste for patients over six years old who are
84		at moderate to high caries risk. (AAPD Best Practice for Fluoride Therapy 2021)
85	6.	prescription of dietary fluoride supplement (Clark & Slayton 2014) based upon a child's age and
86		caries risk as well as fluoride level of the water supply or supplies and other sources of dietary
87		fluoride.
88	7.	application of pit and fissure sealants on primary and permanent teeth based on caries risk factors,
89		not patient age.(Crall & Donly 2015: AAPD Clinical Practice Guidelines: Use of Pit-and-Fissure
90		Sealants 2016)
91	8.	dental prophylactic services at a frequency based on caries and periodontal risk factors.(AAPD
92		Best Practices Caries-risk, AAPD Policy on Role of Dental Prophylaxis in Pediatric Dentistry
93		2022; AAPD Best Practices for Risk Assessment and Management of Pediatric Periodontal
94		Conditions 2022)
95		

96	B. Diagnostic procedures consistent with guidelines developed by organizations with recognized
97	professional expertise and stature, including radiographs in accordance with recommendations by the
98	American Academy of Oral and Maxillofacial Radiology, U.S. Food and Drug Administration and the
99	American Dental Association.(ADA 2012; Carter et al. 2008; AAPD BP Radiographs 2021) When
100	necessary and appropriate, use of teledentistry for orofacial evaluation. (AAPD Policy on Teledentistry
101	<u>2021).</u>
102	
103	C. Medically-necessary care, Rrestorative and endodontic services to relieve pain, resolve infection,
104	restore teeth, and maintain dental function and oral health. This would include interim therapeutic
105	restorations, a beneficial provisional technique in contemporary pediatric restorative dentistry.(AAPD
106	Reference Manual 2022; AAPD Def. Medically-Necessary Care 2021; AAPD Policy on Medically-
107	Necessary Care 2021; AAPD Policy on Interim Therapeutic Restorations 2022)
108	
109	D. Orthodontic services including space maintenance and services to diagnose, prevent, intercept, and
110	treat malocclusions, including management of children with cleft lip or palate and/or congenital or
111	developmental defects, and obstructive sleep apnea (OSA). These services include, but are not limited to,
112	obturators, initial appliance construction, and replacement of appliances as the child grows. (AAPD
113	Reference Manual 2022; AAPD Policy on Obstructive Sleep Apnea (OSA)2021: Reisberg 2000; AAPD
114	Policy on Use of Pacifiers 2022)
115	
116	E. Dental and oral surgery including sedation/general anesthesia and related medical services performed
117	in an office, hospital, or ambulatory surgical care setting. (AAPD Policy on Hospitalization and Operating
118	Room Access 2021; AAPD Best Practices for Use of Anesthesia Providers in the Administration of
119	Office-Based Deep Sedation / General Anesthesia 2021)
120	
121	F. Periodontal services to manage gingivitis, periodontitis, and other periodontal diseases or conditions in
122	children. (AAPD Best Practice for Risk Assessment and Management of Pediatric Periodontal Conditions
123	<u>2022)</u>
124	
125	G. Prosthodontic services, including implants with restorations to restore oral function- as well as
126	maxillofacial prosthetics \ prosthodontics as recommended \ supported by a craniofacial team.
127	(Reisberg 2000; Wermker et al 2014)
128	

129	H. Diagnostic and therapeutic services related to the acute and long-term management of orofacial
130	trauma. When the injury involves a primary tooth, benefits should cover complications for the developing
131	succedaneous tooth. When the injury involves a permanent tooth, benefits should cover long-term
132	complications to the involved and adjacent or opposing teeth including cosmetic \ esthetic treatment that
133	could impact social health. (AAPD Best Practice for Pediatric Restorative Dentistry 2022)
134	
135	I. Drug prescription for preventive services, relief of pain, or treatment of infection or other conditions
136	within the dentist's scope of practice. (AAPD Useful Medications for Oral Conditions 2022; AAPD Best
137	Practice for Pain Management 2022; AAPD Best Practice for Use of Antibiotic Therapy 2022)
138	
139	J. Medically-necessary services for preventive and therapeutic care in patients with medical, physical, or
140	behavioral conditions. These services include, but are not limited to, the care of hospitalized patients,
141	sedation, and general anesthesia in outpatient or inpatient hospital facilities. (Best Practices for
142	Management of Dental Patients with Special Healthcare Needs 2021; AAPD Policy on Hospitalization and
143	Operating Room Access 2021)
144	
145	K. Behavior guidance services necessary for the provision of optimal therapeutic and preventive oral care
146	to patients with medical, physical, or behavioral conditions. These services may include both
147	pharmacologic and non-pharmacologic management techniques. (AAPD Best Practices for Behavior
148	Guidance for the Pediatric Dental Patient 2021)
149	
150	L. Consultative services provided by a pediatric dentist when requested by a general practitioner or
151	another dental specialist or medical care provider. (AAPD Policy on the Role of Pediatric Dentists as both
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153	
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314	
315	
316	Recommended Dental Periodicity Schedule on the next page.
317	

- 318 Recommended Dental Periodicity Schedule for Pediatric Oral Health Assessment, Preventive Services, and Anticipatory Guidance/Counseling
- 319 (AAPD Periodicity 2022, AAPD Recommended Periodicity Chart)

### 320Recommended Dental Periodicity Schedule for Pediatric Oral Health Assessment, Preventive Services, and Anticipatory321Guidance/Counseling

322 Since each child is unique, these recommendations are designed for the care of children who have no contributing medical conditions and are developing normally. These

recommendations will need to be modified for children with special health care needs or if disease or trauma manifests variations from normal. The American Academy of

Pediatric Dentistry emphasizes the importance of very early professional intervention and the continuity of care based on the individualized needs of the child. Refer to the text

of this best practiceguideline for supporting information and references. Refer to the text in the Recommendations on the Periodicity of Examination, Preventive Dental Services,

326 Anticipatory Guidance, and Oral Treatment for Infants, Children, and Adolescents (*www.aapd.org/policies/*) for supporting information and references.

			AGE		
	6 TO 12 MONTHS	12 TO 24 MONTHS	2 TO 6 YEARS	6 TO 12 YEARS	12 YEARS AND OLDER
Clinical oral examination <sup>1</sup>		•			
Assess oral growth and development <sup>2</sup>	•	•	•	•	•
Caries-risk assessment <sup>3</sup>	•	•	•	•	•
Radiographic assessment <sup>4</sup>	•	•	•	•	•
Prophylaxis and topical fluoride <sup>3,4</sup>	•	•	•	•	•
Fluoride supplementation <sup>5</sup>	•	•	•	•	•
Anticipatory guidance/counseling <sup>6</sup>	•	•	•	•	•
Oral hygiene counseling <u>3.7</u>	Parent	Parent	Patient /parent	Patient /parent	Patient
Dietary counseling <u>3.8</u>	•	•	•	•	•
Counseling for nonnutritive habits 9	•	•	•	•	•
Injury prevention and safety counseling <sup>910</sup>	•	•	•	•	-
-Counseling for nonnutritive habits <sup>10</sup>					•
Assess Counseling for speech/language development 11	•	•	•		
Assessment and treatment of developing malocclusion_12			•	•	•
Assessment for pit and fissure sealants <sup>134</sup>			•	•	•
Periodontal risk assessment <sup>3,14</sup>			<u>•</u>	<u>•</u>	<u>•</u>
Counseling for tobacco, vaping, and substance misuse				•	•
abuse counseling					
Counseling for human papilloma virus/vaccine				<u>•</u>	<u>•</u>
Counseling for intraoral/perioral piercing				•	•
Assessment and/or removal of third molars					•
Transition to adult dental care					•

327 328 1 First examination at the eruption of the first tooth and no later than 12 months. Repeat every 6 months or as indicated by the child's risk status/susceptibility to disease. Includes assessment of pathology and injuries. 329 2 By clinical examination.

- 330 3 Must be repeated regularly and frequently to maximize effectiveness.
- 331 4 Timing, typeselection, and frequency determined by child's history, clinical findings, and susceptibility to oral disease.
- 332 5 Consider when systemic fluoride exposure is suboptimal. Up to at least 16 years.
- 333 6 Appropriate discussion and counseling should be an integral part of each visit for care.
- 334 7 Initially, responsibility of parent; as child matures, jointly with parent; then, when indicated, only child.

335 336 8 At every appointment; initially discuss appropriate feeding practices, then the role of refined carbohydrates and frequency of snacking in caries development and childhood obesity. Monitor body mass index beginning at age 2.

337 338 9 At first, discuss the need for non-nutritive sucking: digits vs pacifiers; then the need to wean from the habit before malocclusion or deleterious effect on the dentofacial complex.occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.

339 340 10 Initially play objects, pacifiers, car seats, play objects, electric cords; secondhand smoke; when learning to walk; then with sports and routine playing, including the importance of mouthquards, then motor vehicles and high-speed activities.

341 342 343 10 At first, discuss the need for additional sucking: digits vs pacifiers; then the need to wean from the habit before malocclusion or skeletal dysplasia occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.

11 Observation for age-appropriate speech articulation and fluency as well as achieving receptive and expressive language milestones

344 12 Identify: transverse, vertical, and sagittal growth patterns; asymmetry; occlusal disharmonies; functional status including temporomandibular joint dysfunction; esthetic influences on self-image and emotional

345 development

346 13 For caries-susceptible primary molars, permanent molars, premolars, and anterior teeth with deep pits and fissures; placed as soon as possible after eruption.

347 14 Periodontal probing should be added to the risk-assessment process after the eruption of the first permanent molars.

348

349

<sup>1</sup> Policy on Third-Party Reimbursement of Medical Fees Related to

2 Sedation/General Anesthesia for Delivery of Oral Health Care

- 3 Services
- 4
- 5 Latest Revision
- 6 <del>2016</del> <u>2022</u>
- 7
- 8 ABBREVIATIONS

9 AAPD: American Academy Pediatric Dentistry. <u>ACA: Affordable Care Act.</u> ADA: American Dental

- 10 Association. ECC: Early childhood caries. QOL: Quality of life
- 11
- 12 Purpose

13 The American Academy of Pediatric Dentistry (AAPD), wants to ensure that all children have access to

14 the full range of oral health delivery systems.-, <u>It</u> advocates that if sedation or general anesthesia and

related facility fees are payable benefits of a healthcare plan, these same benefits shall apply for the

16 delivery of oral health services.

17

#### 18 Methods

19 This policy was developed by the Dental Care Committee, adopted in 1989 (AAPD 1989), and last

20 revised in 2016 (AAPD 2016) by the Council of Clinical Affairs. This document is an update of the

- 21 previous policy, revised in 2011, and is based on a review of the current dental literature related to
- 22 guidelines for sedation and general anesthesia, as well as issues pertaining to medically-necessary oral

23 health care. The update included a PubMed<sup>®</sup>/MEDLINE search using the terms: general anesthesia/

24 sedation costs, general anesthesia/sedation reimbursement, general anesthesia/ sedation insurance

25 coverage, general anesthesia and medically necessary dental care, and general anesthesia/oral health-

- related quality of life and limit: within the last 10 years, as well as relevant articles from dental and
- 27 medical literature. The search returned <u>300</u> 95 articles. The reviewers agreed upon the inclusion of 24

28 articles that met the defined criteria. Relevant policies and best practicesguidelines of the AAPD and the

29 American Dental Association (ADA) are included. Additionally, expert opinions and best current

30 practices were relied upon when clinical evidence was not available.

31

#### 32 Background

33	For some infants, children, adolescents, and persons with special health care needs, treatment under
34	sedation/general anesthesia in a hospital, outpatient facility, or dental office or clinic represents the
35	optimalonly viable method to deliver necessary oral health care.(AAPD D_Medically Necessary Care
36	2020; AAP 20132005; Glassman et al. 2009) The patient's age, dental treatment needs, limited
37	disabilities, medical conditions, and/or acute situational anxiety may preclude the patient from being
38	treated safely in a traditional outpatient setting.(Glassman et al. 2009; White et al. 2008; AAPD 2012;
39	Escanilla-Casal et al. 2014; AAPD Sedation Guideline; AAPD BP_Use of Anesthesia Personnel) These
40	patients may be denied access to oral health care when insurance companies refuse to provide
41	reimbursement for sedation/general anesthesia and related facility services. When oral health care is not
42	accessible, the health implications, effects on quality of life, and societal costs are enormous. (Oral Health
43	in America, 2021)
44	
45	Dental care is medically necessary to prevent and eliminate orofacial disease, infection, and pain, to
46	restore the form and function of the dentition, and to correct facial disfiguration or dysfunction.
47	Medically necessary care includes all supportive health care services that, in the judgment of the attending
48	dentist, are necessary for the provision of optimal quality therapeutic and preventive oral care.(AAPD
49	MNC) Some medical insurance plans may not view dental care and adjunctive services requiring
50	hospital/anesthesia related fees as Most denials cite the procedure as not medically necessary. This
51	determination appears to be based on inconsistent criteria.(White 1995; Cameron et al. 1995; Crall 2004)
52	For instance, Although medical policies often provide reimbursement for sedation/general anesthesia or
53	and facility fees related to myringotomy for a three-year-old child, but deny these benefits may be denied
54	when related to treatment of dental disease and/ or infection for the same patient. This determination at
55	times appears to be based on inconsistent and poorly-defined criteria.(White 1995; Cameron et al. 1995;
56	Crall 2004) While states or third-party payors may require prior authorization for such procedures in an
57	effort to control healthcare expenditures, this can be a time-consuming burden for practitioners. By
58	establishing well-defined criteria (e.g., patient's age, treatment requirements, behavior, and medically-
59	compromising condition; failed attempts at in-office treatment) and a streamlined preauthorization
60	process, the dental practitioner is provided an opportunity to justify the need for anesthesia services and
61	all parties can be assured of transparency, access to the full range of services available through a patient's
62	benefits plan, and improved timeliness of treatment and reimbursement.
63	

64	Delays in care can result in needless pain and suffering, infection, loss of function, and increased health
65	care costs. Additionally, indiscriminate prescription of antibiotics for infections contributes to antibiotic
66	resistance, and chronic use of acetaminophen for pain control can lead to hepatotoxicity. Less-effective
67	management of these patients may result in a higher disease burden for the patient (i.e., more teeth
68	requiring treatment and more invasive treatment needs) (Okuji 2021) as well as the patient's avoidance of
69	oral health professionals in the future and increased likelihood of seeking care in the emergency
70	department. (AAPD 2012) Furthermore, this could also place an increased demand on practitioners,
71	emergency departments, and hospitals to treat patients with urgent and emergent dental needs. In the
72	event the insurer denies the preauthorization or claim citing lack of medical necessity, an appeals process
73	to allow the practitioner to advocate on the patient's behalf through peer-to-peer conferences is essential.
74	
75	Some patients may have dental developmental disorders such as dentinogenesis imperfecta, osteogenesis
76	imperfecta, or molar-incisor hypoplasia which require extensive dental treatment that may exceed the
77	capability of the patient to be treated in the normal clinic setting. Dental rehabilitation of early childhood
78	caries (ECC) has shown a significant improvement in oral health-related quality of life (QOL) in
79	children.(White et al. 2008; Jankauskiene et al. 2010; Jankauskiene et al. 2015; Gaynor & Thomson 2012;
80	Yawary et al. 2016; Baghdadi et al. 2015; Malden et al. 208; Cantekin et al. 2014; Klaassen et al. 2009;
81	Antunes et al. 2013; Cunnion et al. 2010; Lanlan 2017) Children undergoing comprehensive dental
82	treatment under general anesthesia exhibited improvement in several areas such as sleeping, eating, and
83	pain.(White et al. 2008; Jankauskiene 2014; Gaynor & Thomson 2012; Yawary et al. 2016; Baghdadi
84	2015). Parents reported their children to have a better perceived QOL one to four weeks following dental
85	rehabilitation under general anesthesia.(Malden et al. 2008) Dental treatment under general anesthesia is
86	associated with significant improvements in the patient's QOL and Such treatment also has been reported
87	to have a positive impact on the family's quality of life.(Jankauskiene et al. 2010)
88	
89	ADA Resolution 1989-546 states that insurance companies should not deny benefits that otherwise would
90	be payable "solely on the basis of the professional degree and licensure of the dentist or physician
91	providing treatment, if that treatment is provided by a legally qualified dentist or physician operating
92	within the scope of his or her training and licensure".(ADA 2014) Recently, the ADA adopted Resolution
93	3-H (2021) which addressed anesthesia coverage under health plans. It "supports the position that all
94	health plans, including those governed by the Employee Retirement Income Security Act, should be
95	required to cover general anesthesia and/or hospital or outpatient surgical facility charges incurred by
96	covered persons who receive dental treatment under anesthesia, due to a documented complexity,

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- 97 <u>behavioral</u>, physical, mental or medical reason as determined by the treating dentist(s) and/or physician."
- 98 (ADA Unofficial 2021)
- 99
- 100 A majority of states have enacted legislation requiring medical insurers to reimburse for hospital charges 101 associated with provision of dental care for children in the operating room.(AAPD 2012) Such legislation has resulted in increased access to care, with more children receiving services in an operating room 102 103 setting after enactment of legislation. (White et al. 2008) However, this increased access has recently 104 come in jeopardy due to multiple factors including the implementation of the Essential Health Benefits package under the Affordable Care Act (ACA) (AAPD 2012; Grace 2014). While most ACA plans 105 106 included "oral health" as a benefit, oral health was not defined. States play a major role in determining the content of their ACA plans, and fewer states included dental anesthesia (15) than orthodontic care (32) as 107 a benefit for children.(Grace AM 2014) Lower reimbursement of hospital facility and anesthesia fees also 108 109 has reduced access to dental care under general anesthesia (Vo 2021). 110 111 Per an analysis commissioned by the AAPD, no suitable mechanism for billing rehabilitation services for Medicare or Medicaid beneficiaries having complex dental needs requiring operating room access exists. 112 (AAPD OHPRC 2021) Coding for dental procedures is limited to a miscellaneous code (CPT 41899) 113 which falls in the Ambulatory Payment Class 5161. The mean reimbursement nationally for this class 114 115 was less than \$250, which is grossly insufficient as this rate does not cover the facility's overhead, 116 equipment costs, or anesthesia services. (AAPD OHPRC 2021) Therefore, hospitals may have financial 117 incentive to provide operating room time to surgeons whose cases are associated with higher reimbursement levels. Hospital financial and staffing challenges including those caused by the severe 118 acute respiratory syndrome coronavirus 2 (SARS-Cov-2)/coronavirus disease 2019 (COVID-19) 119 120 pandemic have limited patient care and severely decreased hospital revenue. (AAPD POHRPC 2021; Berlin 2020; Best 2020.). Due to these obstacles, dental cases reportedly have been delayed as long as six 121 months to a year. (AAPD POHC 2021; Vo, 2021) 122 123 Regardless of the insurer and hospital challenges, with dental caries as the most common chronic disease 124 125 of childhood, access to dental care remains one of the most frequently cited unmet needs. (Benjamin 2010) Less availability of the operating room for pediatric dental patients has far reaching implications. Until 126 127 this situation is rectified, third party payors may be faced with patients seeking medically-necessary oral 128 health care in more expensive locations such as emergency departments. (Moron 2019; Owens 2018 Cohen 2003) 129 CCA-2022. P\_3rdPartyGA-Final

130

#### 131 Policy statement

- 132 The AAPD encourages all policy makers and third party payors to consult the AAPD in the development
- 133 of benefit plans that best serve the oral health interests of infants, children, adolescents, and individuals
- 134 with special health care needs.

135

- 136 The AAPD strongly believes that the treating dentist determines the medical necessity for
- sedation/general anesthesia consistent with accepted guidelines on sedation and general
- anesthesia.(AAPD D\_Medically Necessary Care; AAPD Sedation Guideline)
- 139
- 140 The AAPD strongly encourages third-party payors to:
- recognize that sedation or general anesthesia is necessary to deliver compassionate, quality oral
   health care to some infants, children, adolescents, and persons with special health care needs.
- 143 2. include sedation, general anesthesia, and related facility services as benefits of health insurance144 without discrimination between the medical or dental nature of the procedure.
- 145 3. end denial of reimbursement for sedation, general anesthesia, and facility costs related to the146 delivery of oral health care.
- 147 4. regularly consult the AAPD and the ADA with respect to the development of benefit plans that best
  148 serve the oral health interests of infants, children, adolescents, and patients with special care
  149 needs.(AAPD P Model Dental Benefits)
- 150
- 151 The AAPD encourages all states to enact general anesthesia legislation that requires third party payors to 152 reimburse for facility and/<del>or</del> <u>sedation/general</u> anesthesia costs associated with providing oral health care 153 for children.
- 154

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### <sup>1</sup> Policy on Third-Party Fees Capping of Non-Covered Services

- 2
- 3 Latest Revision
- 4 <del>2017</del> <u>2022</u>
- 5

#### 6 ABBREVIATIONS

- 7 **ADA**: American Dental Association. **AAPD**: American Academy Pediatric Dentistry.
- 8

#### 9 Purpose

10 The American Academy of Pediatric Dentistry (AAPD) supports dental benefit plan provisions designed

11 to meet the oral health needs of patients by facilitating, beginning at birth, the delivery of diagnostic,

12 preventive, and therapeutic services in a comprehensive, continuously accessible, coordinated and family-

13 centered manner.(AAPD P\_DentalHome) A well-constructed dental benefit plan respects and meets the

14 needs of the plan purchaser, subscriber/patient, and provider.

15

#### 16 Methods

17 This policy was developed by the Council on Dental Benefits Programs, and adopted in 2012 (AAPD

18 2012), and last revised by the Council on Clinical Affairs in 2017 (AAPD 2017). This revision by the

19 Council of Clinical Affairs included a review and analysis of state laws and pending legislation

20 prohibiting the capping of non-covered services by third-party providers, related federal legislation, and

- the American Dental Association's Policy on Maximum Fees for Non-Covered Services. (ADA 2015)
- 22

### 23 Background

24 The American Dental Association (ADA) defines covered service as "any service for which

25 reimbursement is actually provided on a given claim" and noncovered service as "any service for which

26 the third-party provides no reimbursement". Capping of non-covered services occurs when an insurance

27 carrier sets a maximum allowable fee for a service ineligible for third-party reimbursement. While most

- 28 contractual matters between insurers and providers are those of a private business relationship, this
- 29 particular business practice is contrary to the public interest for the following reasons.:
- ILarger dental benefit carriers with greater market share and more negotiating power are favored
   in this arrangement. While dentists may refuse to contract with smaller plans making this
   requirement, they are unable to make the same decision with larger plans controlling greater

33	numbers of enrollees. Eliminating this practice levels the playing field for all insurers and
34	encourages greater competition among dental plans. If smaller plans and insurers are unable to
35	survive, the group purchaser and subscriber are ultimately left with less market choice and
36	potentially higher insurance cost.

- i<u>I</u>t is unreasonable to allow plans to set fees for services in which they have no financial liability,
   and that may not cover the overhead expense of the services being provided. When this provision
   precludes dentist participation in a reimbursement plan, subscribers realize less choice in their
   selection of available providers. In many cases, especially in rural or other areas with limited
   general or specialty practitioners, this adversely affects the care. This is particularly true for
   vulnerable populations, including individuals with special health care needs.
- 43 <u>#F</u>or dentists forced to accept this provision, the artificial pricing of uncovered services results in
   44 cost-shifting from those covered under a particular plan to uncovered patients. Thus, the
   45 uninsured and those covered under traditional indemnity or other plans will shoulder the costs of
   46 these provisions. Capping of non-covered services is not cost saving; it is cost-shifting often to
   47 the most vulnerable populations and to those least able to afford healthcare.
- 48 <u>\$\mathbf{T}\$</u> he ability to cap non-covered services allows insurance plans to interfere with the patient-doctor relationship.
- 50

51 The House of Delegates of the ADA in <u>2020</u>2009 adopted Resolution 59H <u>19H-2020 Maximum Fees for</u>

<u>Non-Covered Services</u> which opposed third party contract provisions that establish fee limits for non covered services and called for state and federal legislation to prohibit such practices.(ADA 20102020,)
 Legislation to prohibit a dental insurer or dental service plan from limiting fees for services not covered
 under the plan, is the law in 4135-states(AAPD 2016, ADA 2020) and has been introduced in most other

- states. Such legislation allows the dentist to utilize the usual and customary fee for services not covered
  by the plan.
- 58

#### 59 Policy statement

- 60 The AAPD believes that dental benefit plan provisions which establish fee limitations for non-covered
- 61 services are not in the public's interest and should not be imposed through provider contracts.

62

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### 1 Policy on Using Harvested Dental Stem Cells

- 2
- 3 Latest Revision

members.

- 4 <del>2017</del> <u>2022</u>
- 5
- 6 Abbreviations: **DPSC**: dental pulp stem cells. **MSCs**: Mesenchymal stem cells.
- 7

#### 8 Purpose

9 The American Academy of Pediatric Dentistry recognizes the emerging field of regenerative medicine

10 and encourages dentists to follow evidence-based literature in order to educate parents about the

11 collection, storage, viability, and use of dental stem cells with respect to autologous regenerative

12 therapies. The American Academy of Pediatric Dentistry also recognizes that harvested dental stem cells

is an emerging science which may have application for oral health care but at present there are no

treatments available using harvested dental stem cells in humans. This policy is related to the use of

- 15 harvested dental stem cells from a tooth or follicle.
- 16

#### 17 Methods

18 This policy was developed by the Council on Clinical Affairs<u>, and</u> adopted in 2010<u>(AAPD 2010)</u>, and

19 <u>last</u>. This document is an update of the previous version, revised in 20132017(AAPD 2017). This revision

20 included a review of current dental and medical literature and sources of recognized professional

21 expertise related to dental stem cells. A literature search of the PubMed<sup>®</sup>/MEDLINE data-base was

conducted using the terms: dental stem cell, harvested tooth cell; fields: all; limits: within the last 10

23 years, humans, English, birth through age 99-, resulting in 151 papers that were reviewed by title and

24 <u>abstract.</u> Twenty articles matched these criteria. Papers for review were chosen from this list and from the

references within selected articles. Expert and/or consensus opinion by experienced researchers and

26 clinicians was also considered.

27

#### 28 Background

29 Stem cells are pluripotential cells that can divide and multiply for an extended period of time,

30 differentiating into a diverse range of specialized cell types and tissues. Adult mesenchymal stem cells,

31 of which dental stem cells are a subset, are highly proliferative and have the ability to differentiate into

32 many cell lines.(Govindasamy et al. 2011) Dental stem cells are a minor population of mesenchymal stem

cells existing in specialized dental tissues, such as dental pulp, periodontium, apical papilla, and dental 33 34 follicle. (Govindasamy et al. 2011; Shuai et al. 2018) Numerous types of stem cells have been isolated 35 from dental tissue, such as dental pulp stem cells (DPSC), stem cells isolated from human pulp of 36 exfoliated deciduous teeth (SHED cells), periodontal ligament stem cells (PDLSC), stem cells from apical 37 papilla (SCAP), and dental follicle cell. All these cells can regenerate the tissue of tooth to provide theoretical basis for clinical treatments. (Zhai et al 2018),(Bansal and Jain 2015) The most familiar 38 application of adult stem cell therapy is bone marrow transplantation to treat hematopoietic cancers, 39 metabolic disorders, and congenital immunodeficiency syndromes. Stem cell therapy is undergoing 40 elinical testing for other conditions such as Parkinson's disease, diabetes, and brain trauma/spinal cord 41 42 injuries.(Kadar et al. 2009; Nourbakhsh et al. 2011) Suggested applications related to oral health care have included wound healing and regeneration of dental and periodontal tissues as well as craniofacial 43 structures (e.g., repair of cleft lip/palate).(Nishino et al. 2011) DPSC have received special attention 44 because they represent a readily accessible source of stem cells. Their high plasticity and multipotential 45 46 capacity to differentiate and produce a variety of dental tissues can be explained by its neural crest origin, which supports applications beyond the scope of oral tissues. (Anitua, Troya Zalduendo 2018). Stem cells 47 used for regenerative endodontics and scaffolding have shown successful regeneration in laboratory and 48 animal studies.(Conde et al. 2016; Hynes et al. 2015; Yang et al. 2016) Dental stem cells-based 49 50 regenerative medicine provides the possibility to repair damaged dental tissues or generate new teeth. 51 (Shuai et al 2018; Morsczek and Reichert 2018) Clinical studies for pulpal regeneration and periodontal 52 tissue generation using dental tissue-derived stem cells have been published, and evidence that these cells 53 could be beneficial in therapies beyond oral tissues is growing. (Campanela 2018). 54 55 Due to their differentiation potential, oral-derived mesenchymal stem cells are promising for tissue engineering and regenerative medicine. (Tatullo, Codispoti, Paduano et al. 2019), (Morsczek and Reichert 56 57 2018). The most familiar application of adult stem cell therapy is bone marrow transplantation to treat hematopoietic cancers, metabolic disorders, and congenital immunodeficiency syndromes. Dental stem 58 cells with high potentials such as ability of self-renewal, mesenchymal stem cell characteristics, 59 multilineage differentiation, and immunomodulation are promising tools for *in vitro* and *in vivo* 60 differentiation studies as well as the therapy of immune-related diseases. (Ayadin, Sahin 2019). Dental 61 mesenchymal stem cells (MSCs) are not only easily accessible but are also expandable in vitro with 62 relative genomic stability for a long period of time.(Gan et al 2020)Several preclinical studies and clinical 63 trials have been performed using dental MSCs in the treatment of multiple ailments, ranging from dental 64 diseases to nondental diseases, (Gan et al 2020); these are a promising treatment alternative for 65

- 66 <u>neurological disease including stroke. (Gancheva et al 2019)</u> Some clinical trials with dental MSCs have
- 67 demonstrated the efficacy and safety of dental MSC-based therapy for oral diseases. (Gan et al 2020).
- 68 Human exfoliated deciduous teeth stem cells have shown promise in an initial small safety-phase clinical
- 69 <u>trial for treating a non-dental disease. (Li et al 2021)</u>
- 70
- 71 Parents may elect to preserve umbilical cord blood of their child for future harvesting of stem cells if
- 72 autologous regenerative therapies are indicated. Pulpal tissue of exfoliating primary teeth, oral mucosa
- fibroblasts, (Miyoshi et al. 2010) surgically removed third molars, periodontal ligament, (Wada et al. 2011)
- and gingival fibroblasts(Wada et al. 2011) may serve as a source of mesenchymal stem cells.(Hynes et al.
- **75** 2015; Eslaminejad 2010)
- 76

77 The public is increasingly aware of this emerging science, and more parents are expressing interest in

harvesting/banking dental stem cells. While sources of dental stem cells are readily accessible, those cells

79 must be secured and stored properly to maintain the potential to proliferate and differentiate.(Perry et al.

- 80 2008; Yildirim et al. 2016) Ongoing clinical trials using human dental pulp stem cells may be searched
- 81 <u>using the web-based resources of the National Library of Medicine at the National Institutes of Health</u>
- 82 <u>(NIH).</u>
- 83

#### 84 Policy statement

85 While no treatment using harvested dental stem cells in humans is currently available, the American

86 Academy of Pediatric Dentistry recognizes that this is an emerging science which may have application

87 for oral healthcare. As the technology continues to evolve, the process of procurement of dental stems

cells should be accomplished only with deliberate integrity and appropriate informed consent to assure the

- 89 highest ethical standards and quality of outcomes.
- 90

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- 1 Policy on Pacifiers
- 2
- 3 Adopted
- 4 2022
- 5
- 6 Abbreviations
- 7 AAP: American Academy of Pediatrics; AAPD: American Academy of Pediatric Dentistry; SIDS:
- 8 Sudden infant death syndrome
- 9
- 10 Purpose:

11 The American Academy of Pediatric Dentistry (AAPD) encourages health care providers to follow

- 12 evidence-based literature to educate parents about the safe practices, benefits, and risks of pacifier use by
- 13 infants and children in order to promote healthy growth and development.
- 14

#### 15 Methods:

16 This policy, developed by the Council on Clinical Affairs, is based on review of current dental and

- 17 medical literature, including a search of the PubMed®/MEDLINE database using the terms: pacifier
- 18 AND emotional development, safety, benefits, malocclusion, crossbite, open bite, fields: all; limits:
- 19 within the last 10 years, English. Five hundred forty-nine articles met these criteria. Papers for review
- 20 were chosen from this list and from references within selected articles.
- 21

### 22 Background:

23 Sucking behaviors in infants can be a natural reflex to satisfy a physiological (i.e., nutritive) or

- 24 psychological (i.e., non-nutritive) need. The non-nutritive drive may be satisfied by sucking a finger or
- thumb or an available object such as a pacifier. Pacifier use is common among infants in the United States
- 26 (Sexton 2009). Cultural background may play a role in pacifier introduction. (Feştilă 2014)
- 27 Considerations when counseling parents on introducing pacifiers include-safety and potential risks and
- 28 benefits of pacifier use. Although the American Academy of Pediatrics (AAP) has recommended
- 29 delaying pacifier use in breastfed infants until breastfeeding is established to prevent breastfeeding
- 30 disruption (AAP 2012), a recent Cochrane systematic review found pacifier use, whether started from
- 31 birth or after lactation, did not affect the prevalence or duration of breastfeeding in healthy, term infants
- **32** up to four months of age (Jaafar 2016).

33 The controlled action of sucking promotes feelings of security (Staufert Gutierrez) and allows infants to 34 self-soothe (Staufert Gutierrez; Augustyn 2009) and to initiate the process of self-regulation.(Augustyn 35 2009) Pacifiers continue to provide comfort in the toddler years. Cessation may be carried out either 36 through self-implementation or caregiver mediation. (Borrie 2015) A recent review found evidence that 37 psychological interventions such as positive and negative reinforcement effectively improve non-nutritive 38 sucking habits in children.(Borrie) Positive reward for pacifier cessation (e.g., recognition or incentive 39 for each day of non-use) is preferable to negative reinforcement (e.g., criticism, restraint) which can 40 inadvertently cause power struggles and extend the duration of non-nutritive sucking habits.(Augustyn 41 2009)

- 42
- 43 Pacifier risks

44 Practitioners can provide counseling and anticipatory guidance regarding pacifier selection and safe usage

45 to parents of infants and children who utilize a pacifier. Pacifiers of single piece construction are less

46 likely to break apart and become a choking hazard.(AAP 2018) For safety, AAP recommends a pacifier

47 shield be firm, have ventholes, and measure at least 1.5 inches across (i.e., large enough not to pass

48 completely into the mouth).(AAP 2018) Additionally, the U.S. Consumer Product Safety Commission

49 prohibits straps, cords, or attachments that could pose a danger to infants or children.(US CPSC) Regular

50 inspection of the pacifier by caregivers is recommended to evaluate for any structural wear that poses a

51 safety risk.(AAP 2018)

CCA-2022. P\_Pacifiers-Final

52

53 Pacifier use is a risk factor for otitis media in infants and children. (Stone 2000, Warren et al 2001, Gederi 54 2013, Salah 2013, Nowak 2021) The AAP suggests the incidence of acute otitis media may be reduced 55 by decreasing or eliminating use of a pacifier in the second six months of life. (Lieberthal 2013) Evidence 56 linking pacifier use to issues with speech development or speech delay is limited. (Nelson 2012, Burr 57 2021) Recent research suggested that while prolonged day-to-day pacifier use lasting several hours may 58 have significance with atypical speech errors, a strong speech-related justification against pacifier use is 59 not evident.(Strutt 2020) The U.S. Food and Drug Administration recommends that infants and young 60 children not be given pacifiers containing or dipped in honey.(FDA) Honey contains spores of a particular 61 bacterium, *Clostridium botulinum*, that produces a neurotoxin capable of causing respiratory difficulty, 62 paralysis, and even death.(FDA) Recent cases of infant botulism in Texas were attributed to 63 commercially-available honey-filled pacifiers.(FDA)

64

- 65 Pacifiers can serve as a reservoir for microbes, and their use is linked to oral yeast infections.(Comina
- 66 2006, Nowak 2021) Sterilization/disinfection, either by boiling in water for 15 minutes or preferably
- 67 spraying an antimicrobial agent (e.g., 0.12 percent chlorhexidine), can minimize and eliminate microbes
- such as Staphylococcus, *Candida albicans*, and *Streptococcus mutans*. (Nelson 2012, Lopes et al 2019,
- 69 Nelson-Fihlo 2015)
- 70
- 71 Children using a pacifier 36 months or longer had a significantly higher incidence of anterior open bite
- 72 compared to those not using a pacifier. (Warren 2002, Gederi 2013, Duncan 2008, Larsson 1994, Adair
- 73 1995, Zardetto 2002, Germa 2016, Bueno 2013, Schmid 2018, Lima 2017, Ling 2018) Pacifier usage
- 74 beyond one year (Adair 1995) lead to a significantly higher incidence of anterior open bite. An anterior
- 75 open bite will improve after elimination of the pacifier before age three. (Warren 2002, Duncan 2008,
- 76 Bishara 2006).
- 77

78 Increased pacifier use also leads to posterior crossbite (Warren 2002, Gederi 2013, Duncan 2008, Adair

- 79 1995, Schmid 2018, Zardetto 2002, Montaldo 2011, Lima 2017, Lima Vasquez 2013), including
- 80 crossbite with midline deviation.(Melink 2010, Lopes Freire 2016, Larsson 2001, Cenci 2015) Increased
- 81 overjet and a class II malocclusion are more strongly associated with a finger habit versus a pacifier
- 82 habit.(Bishara 2006, Cenci 2015) A prospective study examining pacifier use beyond age four concluded
- the transverse occlusal relationship should be evaluated before three years of age (Bishara 2006). To
- 84 limit the development of a posterior crossbite, discontinuing or limiting pacifier use when canines emerge
- 85 (approximately 18 months of age) (Melink 2010) has been recommended (Larsson 2001, Bishara 2006)
- 86 The malocclusion was affected by duration more than frequency, (Cenci 2015, Lima 2017) and the
- 87 percentage of open bite was significantly greater as the duration of non-nutritive sucking continued
- 88 beyond three years of age. (Montaldo 2011)
- 89

A systematic review noted orthodontic pacifiers induce less open bite compared to conventional pacifiers
(Schmid 2018). While one study showed conventional pacifiers use exhibited higher odds of posterior
crossbite and anterior open bite compared to orthodontic pacifiers(Lima 2017), another found pacifier
usage had a significantly higher incidence of posterior crossbite versus non-habit children although the
difference between pacifier types with regards to posterior crossbite was not significant.(Zardetto 2002)
A prospective study introduced a pacifier with a thin neck to children (average age 20 months) who had a
diagnosed anterior open bite and already used a conventional pacifier; the study group was compared to

97 not only the original pacifier group but also to children not using any pacifier for at least three

98 months.(Wagner 2016) A significant difference regarding overbite and overjet changes between pacifier 99 groups was reported, p less than 0.001 (i.e. the thin neck pacifier resulted in less increase in the overbite 100 and open bite compared to the conventional pacifier); however, no improvement in either pacifier group 101 compared to cessation of pacifier use was found. (Wagner 2016) Two reviews comparing orthodontic 102 versus conventional pacifiers stated evidence was insufficient to support a preference for orthodontic 103 pacifiers preventing malocclusions.(Correa 2016, Medeiros 2018) 104 105 The pacifier design (orthodontic, conventional, or physiologic) and shield design (conventional or flare) 106 have implications for the use and function of different brand pacifiers. Pacifiers interact with the palate 107 differently based on their fit (i.e., design and size) regardless whether they are labeled conventional or 108 orthodontic.(Tesini et al 2022) Pacifier sizing has been brought into focus for the role it plays in

- 109 providing palatal support to prevent loss of transverse palatal dimensions and causing palatal collapse.
- 110 (Lindner 1991, Warren 2002, Levrini 2006, Lima 2016, Tesini 2022) Palatal collapse contributes to the
- early development of posterior crossbites.(Levrini 2007,Dogrammaci 2016, Schmid 2018) The use of
- biometrics to aid pacifier selection has shown promise in recent research. (Lee 2022, Tesini et al 2022)
- 113

#### 114 Benefits of pacifiers use:

Based on "good-quality patient-oriented evidence", the AAP recommends offering a pacifier when an infant is placed to sleep due to its protective effect on the incidence of sudden infant death syndrome (SIDS), but a pacifier should not be forced on resistant infants.(AAP SIDS 2016). This recommendation is supported by other organizations such as the International Society for the Study and Prevention of Perinatal and Infant Death (ISPID) and the Safe to Sleep® campaign of the U.S. Department of Health and Human Services (USDHHS 2017).

121

122 Pacifier use may be beneficial when mothers cannot breastfeed due to medication or severe illness, if 123 infants need early oral stimulation to develop or maintain the sucking reflex, or in neonatal intensive care 124 environments when infants need calming, pain relief, or decreased stress. (Lubbe 2017) The benefits of 125 pacifier use also include adjunctive pain relief in newborns and infants undergoing common, minor 126 procedures in the emergency department and reducing the likelihood of a digit-sucking habit.(Gederi 127 2013, Campos 1994, Marter 2007, Nelson 2009, Sexton 2009, Vu-Ngoc et al 2020). Children who started 128 using an orthodontic pacifier before four months old had a lower risk of developing a finger/thumb 129 sucking habit compared to children who began after four months. (Caruso 2019) Because early forced

- 130 cessation of pacifier usage has been associated with prolonged finger sucking, allowing the habit to
- 131 continue beyond 14 months of age may help prevent a persistent finger habit. (Fukumoto 2013)
- 132

#### 133 Policy Statement.

134The AAPD supports parents in the decision to introduce a pacifier based on their infant's needs and

- parental preference. During the first few months of life, pacifiers may be beneficial in helping premature
- infants develop the sucking reflex, offering comfort and soothing, providing an analgesic effect during
- 137 minor invasive procedures, decreasing the incidence of SIDS, and preventing a persistent finger-sucking
- habit. However, a prolonged pacifier habit after 12 months of age can increase the risk of acute otitis
- 139 media. Pacifier use beyond 18 months can influence the developing orofacial complex, leading to anterior
- 140 open bite, posterior crossbite, and class II malocclusion. Understanding the safety, benefits, and risks is
- 141 critical to counseling parents on the use of pacifiers.
- 142

143 The AAPD encourages additional research regarding the biometrics for pacifier selection to minimize144 disturbances of the developing orofacial complex.

145

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- 1 Policy on Diversity, Equity, and Inclusion
- 2
- 3 Adopted
- 4 2022
- 5

Abbreviations: AAPD: American Academy of Pediatric Dentistry. DEI: Diversity, equity, and
 inclusion.

8

#### 9 Purpose

10 The American Academy of Pediatric Dentistry (**AAPD**) advocates for the health and well-being of all

11 infants, children, and adolescents, regardless of their race, ethnicity, religion, sexual or gender identity,

12 medical status, family structure, or financial circumstances (AAPD P. Vul Pop 2021) and supports efforts

13 to increase health equity among youth. Diversity, equity, and inclusion (**DEI**) are critical to achieve the

14 AAPD'S vision of optimal oral health for all children (AAPD Def & Scope of Ped Dent 2021). The

15 intent of this policy is to review the published literature on how race, ethnicity, and other identifiers are

16 related to children's oral health and health inequities, to identify barriers to DEI within the dental

17 profession, and to encourage clinicians, educators, researchers, and policy makers to advance DEI within

18 the specialty of pediatric dentistry.

19

### 20 Methods

21 This policy was developed by the Council on Clinical Affairs. A review of current dental and medical

22 literature and sources of recognized professional expertise related to diversity, equity, and inclusion was

23 completed. The literature search of the PubMed®/MEDLINE database was conducted using the terms:

24 diversity, equity, inclusion; fields: all; limits: within the last 10 years, English. Papers for review were

25 chosen from this list and from the references within selected articles. Expert and/or consensus opinion by

26 experienced researchers and clinicians was also considered.

27

#### 28 Definitions

29 Diversity constitutes "a broad range of individual, population, and social characteristics, including but not

30 limited to age; sex; race; ethnicity; sexual orientation; gender identity; family structures; geographic

31 locations; national origin; immigrants and refugees; language; physical, functional, and learning abilities;

- 32 religious beliefs; and socioeconomic status" (AACN Position Statement 2017). In addition, other
- 33 characteristics of diversity include body/size image, veteran status, housing status, and mental health
- 34 status (Buchanan & O'Connor 2020).
- 35

Equity is defined as "the state, quality or ideal of being just, impartial and fair." The concept of equity is synonymous with fairness and justice. To be achieved and sustained, equity needs to be thought of as a structural and systemic concept (Race Equity and Inclusion Action Guide 2015). Moreover, health equity is described as the "attainment of the highest level of health for all people. Achieving health equity requires valuing everyone equally with focused and ongoing societal efforts to address avoidable inequalities, historical and contemporary injustices, and the elimination of health and health care disparities" (Healthy People 2020).

43

44 Inclusion is "a dynamic state of operating in which diversity is leveraged to create a fair, healthy, and
 45 high-performing organization, or community. An inclusive environment ensures equitable access to

46 resources and opportunities for all. It also enables individuals and groups to feel safe, respected, engaged,

47 motivated, and valued, for who they are and for their contributions toward organizational and societal

48 goals" (O'Mara & Richter 2017).

49

#### 50 Background

51 Marked oral health disparities exist by race and ethnicity for children and adolescents in the United

52 States. (Lau 2012; McLaren 2016; Como 2019; CDC 2021). Children of American Indian and/or Alaska

53 Native descent and Native Hawaiian children have the highest documented prevalence of early childhood

54 caries, and a significantly higher percentage of non-Hispanic Black and Mexican American children have

55 dental caries, compared to non-Hispanic white children (Edelstein & Chinn 2009; Deguchi et al. 2013;

56 Matsuo et al. 2015; Holve et al. 2021). Reasons such as consumption of more added sugars and less

57 utilization of preventive dental care have been used to explain the higher caries risk assigned to racial and

58 ethnic minorities (Chi et al. 2015; Choi et al. 2022). While behavior modification strategies are important

59 to improve oral health, the overarching role of social determinants of health must be addressed if oral

60 heath disparities are to be reduced in a long-lasting and meaningful way (AAPD SDOH 2021; NIH 2021).

61

62 Structural racism (i.e., processes that are embedded in laws, policies, and institutions) (Williams et al.

63 2019; National Academics of Sciences, Engineering, and Medicine 2021) impacts social determinants of

oral health (Jamieson et al 2021; Bastos et al. 2021). Discriminatory policies such as unfair lending

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- 65 practices, employment standards, and workplace policies heavily influence factors such as income level,
- 66 insurance coverage, quality of education, food security, housing, chronic stress, and neighborhood
- 67 resources that lead to poorer oral health outcomes for marginalized populations (Lee 2014; Braveman
- 68 2014: Mclaren 2016: Como 2019: AAPD SDOH 2021). Access to dental services, nutritious food, and
- 69 safe and fluoridated drinking water (Como 2019; Braveman 2014) are significantly hindered by barriers
- such as housing instability, food deserts, inflexible work schedules, lack of transportation, and high costs
- of care that disproportionately affect non-Caucasian families (Cao 2017; da Fonseca & Avenetti 2017;
- 72 Chi 2018; Vujicic & Fosse 2022). Recognition of the influence of discrimination on the social
- 73 determinants of oral health is necessary to advocate for greater health equity (Braveman 2014; Evans &
- 74 Smith 2021).
- 75

76 Available literature has discussed more direct effects of bias on oral health. Racial minorities often

- receive lower quality health care than their white counterparts even when accounting for factors related to
- access, socioeconomic status, and education. (Smith 2007; Como 2019) Negative effects on self-
- 79 perceptions of oral health status (Smith 2007; Schwartz et al. 2019), diminished oral health-related self-
- 80 efficacy (Gibson 2016), and avoidance of dental appointments due to fear of maltreatment (Schwartz
- 81 2017; Como 2019) have been reported. Caregivers of minority children have expressed unmet dental
- 82 needs and inattentiveness from dental providers (Como 2019).
- 83
- 84 Heightened awareness of oral health inequities and related social injustices have inspired professional 85 efforts to enhance diversity and inclusion in the pediatric dental workforce and to combat discrimination 86 that leads to oral health inequities. Dental schools and professional organizations have created strategies 87 to increase diversity among their students, members, and leadership (ADEA ADI Strategic Framework 88 2018; ADEA 2019-22 Strategic Framework; ADA 2020-25 D&I Plan). The increased presence of 89 underrepresented populations among healthcare professionals is important for building trust between 90 providers and marginalized families (Como 2019, NIH 2021). AAPD legislative priorities align with 91 aims to increase professional diversity and health equity through the support of provider training 92 programs, recommended Medicaid reform, and expansion of the dental workforce (AAPD Legislative
- 93 Priorities 2021; AAPD P. on Workforce Issues 2021).
- 94
- 95 Both intentional and non-intentional provider biases affect the health care that children receive. The
- 96 Surgeon General's Report *Oral Health in America: Advances and Challenges* calls for a new framework
- 97 in dental education that emphasizes the social determinants of health, inequities, and population diversity

- 98 (NIH 2021). Improved cultural competency training in practices, residency programs, dental schools,
- 99 universities, and other institutions relevant to the practice of dentistry is necessary to address
- 100 discriminatory assumptions and behaviors among dental providers (ADEA 2019-22 Strategic Framework;
- 101 Goodman et al. 2020, Forsyth et al. 2020; Nousi et al. 2020; CODA Standards 2021). Relevant training
- 102 may encourage providers to be mindful of the ways in which personal and professional biases influence
- 103 practice settings, treatment decisions, office policies, and patient relationships (Jamieson et al. 2021) and
- 104 motivate them to create an inclusive and respectful environment for all children in their care. Barriers to
- 105 implementation of DEI principles, including lack of social supports to help manage children's needs, have
- 106 been reported (HHS Action Plan; Olzmann 2020).
- 107

#### 108 Policy statement

109 The AAPD acknowledges and celebrates the increasing diversity of children, including their racial and

- 110 ethnic backgrounds, national origin and citizenship, languages spoken, religious beliefs, abilities, gender
- 111 and sexual identities, and cultural norms. Additionally, the AAPD welcomes greater diversity within the
- 112 profession and appreciates the personal experiences, skills, and knowledge possessed by each of its
- 113 individual members. The AAPD supports broader inclusivity in leadership, membership, education, and
- 114 practice and deeper engagement with communities to promote necessary collaboration, respect, and
- 115 dignity for all children and families. Programs, initiatives, and policies that address and overcome social
- barriers, including racism and other forms of discrimination, are necessary to achieve greater health
- 117 equity and the AAPD's vision of optimal oral health for children.
- 118
- 119 Recognizing the importance of DEI to pediatric dentistry, the AAPD:
- supports social and economic policies, research, and initiatives to address social determinants of
   oral health that result in racial and ethnic oral inequities.
- encourages providers to implement diversity, equity, and inclusion training within the dental
   office.
- urges dental educators to implement strategies to mitigate bias in applicant and trainee evaluation
   processes and to enhance institutional DEI curricula.
- 126

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- <sup>1</sup> Periodicity of Examination, Preventive Dental Services,
- 2 Anticipatory Guidance/Counseling, and Oral Treatment for
- 3 Infants, Children, and Adolescents
- 4
- 5 Latest Revision
- 6 <del>2018</del> <u>2022</u>
- 7
- 8 Abstract

9 This best practice presents recommendations about anticipatory guidance and timing of other clinical 10 modalities which promote oral health during infancy, childhood, and adolescence. The guidance, though 11 modifiable to children with special health needs, focuses on healthy, normal-developing children and 12 addresses comprehensive oral examination, assessment of caries risk, professional preventive 13 procedures, fluoride supplementation, radiographic examination, anticipatory guidance, preventive 14 counseling, sealant placement, treatment of dental disease, trauma, treatment of developing 15 malocclusions, evaluation of third molars, and transition to adult care. These preventive 16 recommendations may be applied for the following age groups: six to 12 months, 12 to 24 months, 24 months to six years, six to 12 years, and 12 years and older. The guidance emphasizes the importance 17 18 of very early professional intervention and continuity of care based upon the individualized needs of the 19 child. 20 21 The document was developed through a collaborative effort of the American Academy of Pediatric 22 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and 23 recommendations regarding preventive oral health services and counseling for pediatric dental patients. 24 25 KEYWORDS: ANTICIPATORY GUIDANCE, PERIODICITY OF EXAMINATION, PREVENTIVE 26 DENTISTRY, ADOLESCENT DENTISTRY, CARIES RISK ASSESSMENT, FLUORIDE 27 SUPPLEMENT, ORAL HYGIENE COUNSELING, DENTAL REFERRAL 28 29 ABBREVIATIONS 30 AAP: American Academy of Pediatrics. BMI: Body mass index. CRA: Caries-risk assessment. ECC: 31 Early childhood caries. HPV: Human papilloma virus. PRA: Periodontal risk assessment. SHCN: 32 Special health care needs. 33

#### 34 Purpose

- 35 The American Academy of Pediatric Dentistry (AAPD) intends these recommendations to help
- 36 practitioners make clinical decisions concerning preventive oral health interventions, including
- anticipatory guidance and preventive counseling, for infants, children, and adolescents.
- 38

#### 39 Methods

- 40 This document was developed by the Clinical Affairs Committee, and adopted in 1991 (AAPD 1991),
- 41 <u>and</u>. This document is a revision of the previous version, last revised by the Council on Clinical Affairs in
- 42 201<u>8</u>3(AAPD 2018). Th<u>ise</u> update used electronic database and hand searches of articles in the medical
- 43 and dental literature using the terms: periodicity of dental examinations, dental recall intervals, preventive
- 44 dental services, anticipatory guidance and dentistry, caries risk assessment, early childhood caries, dental
- 45 caries prediction, dental care cost effectiveness and children, periodontal disease and children and
- 46 adolescents U.S., pit and fissure sealants, dental sealants, fluoride supplementation and topical fluoride,
- 47 dental trauma, dental fracture and tooth, non-nutritive oral habits, treatment of developing malocclusion,
- 48 removal of wisdom teeth, removal of third molars; fields: all; limits: within the last 10 years, humans,
- 49 English, and clinical trials; birth through age 18. From this search, 2,5021,884 articles matched these
- 50 criteria and were evaluated by title and/or abstract. Information from 49 articles was chosen for review to
- 51 update this document. When data did not appear sufficient or were inconclusive, recommendations were
- 52 based upon expert and/ or consensus opinion by experienced researchers and clinicians.
- 53

#### 54 Background

- 55 Professional dental care is necessary to maintain oral health.(US DHHS 2003) The AAPD emphasizes the
- 56 importance of initiating professional oral health intervention in infancy and continuing through
- 57 adolescence and beyond.(AAPD BP Perinatal/Infant) The periodicity of professional oral health
- intervention and services is based on a patient's individual needs and risk indicators. (Pienihakkinen 2005;
- 59 Beil & Rozier 2010; Fontana 2016; Fontana González-Cabezas 2015; Patel et al. 2010; Pahel et al. 2011)
- 60 Each age group, as well as each individual child, has distinct developmental needs to be addressed at
- 61 specific intervals as part of a comprehensive evaluation.(AAPD BP Perinatal/Infant; AAPD
- 62 BP Adolescent; AAPD P Prophylaxis; Ramos-Gomez et al. 2010) Continuity of care is based on the
- 63 assessed needs of the individual patient and assures appropriate management of all oral conditions, dental
- disease, and injuries.(AAPD BP Restorative; AAPD BP Acquired TMD; AAPD BP Oral Surgery;
- 65 AAPD P Orofacial Injuries; Bourguignon et al 2020; Fouad 2020; Day 2020Diangelis et al. 2012;

Andersson et al. 2012; Malmgren et al. 2012) The early dental visit to establish a dental home provides a 66 67 foundation upon which a lifetime of preventive education and oral health care can be built. The early 68 establishment of a dental home has the potential to provide more effective and less costly dental care 69 when compared to dental care provided in emergency care facilities or hospitals.(AAPD P Dental Home; 70 AAP 2014; AAP 2005; Berg& Stapleton 2012; Kempe et al. 2000) Anticipatory guidance and counseling 71 are essential components of the dental visit.(AAPD BP Perinatal/Infant; AAPD BP Adolescent; AAPD 72 P Prophylaxis; AAPD P Dental Home; AAP 2014; Berg & Stapleton 2012; AAPD P ECC-73 Classifications; AAPD BP Caries Risk Assessment; AAPD P Dietary Recommendations; AAPD BP Developing Dentition) The dental home also can influence general health by instituting additional 74 practices related to general health promotion, disease prevention, and screening for non-oral health related 75 concerns. For example, oral health professionals can calculate and monitor body mass index (BMI) to 76 help identify children at risk for obesity and provide appropriate referral to pediatric or nutritional 77 78 specialists.(AAPD P Dietary 2022) 79 80 Collaborative efforts and effective communication between medical and dental homes are essential to prevent oral disease and promote oral and overall health among children. Medical professionals can play 81 an important role in children's oral health by providing primary prevention and coordinated care. Equally, 82 83 dentists can improve the overall health of children not only by treating dental disease, but also by 84 proactively recognizing child abuse, preventing traumatic injuries through anticipatory guidance, 85 preventing obesity by longitudinal dietary counseling, and monitoring of weight status. (Tseng et al. 2010) 86 In addition, dentists can have an important significant role in assessing immunization status and developmental milestones for potential delays, as well as making appropriate referral for further 87 neurodevelopmental evaluations and therapeutic services.(Scharf et al. 2016) The unique opportunity that 88 dentists have to help address overall health issues strengthens as children get older since frequency of 89 90 well child medical visits decreases at the same time the frequency of dental recall visits increases. Research shows that children aged six- to 12-years are, on average, four times more likely to visit a 91 92 dentist than a pediatrician.(Brown 2006; Selden 2016)

#### 93

### 94 Recommendations

95 This document addresses periodicity and general principles of examination, preventive dental services,

96 anticipatory guidance/ counseling, and oral treatment for children who have no contributory medical

97 conditions and are developing normally. Accurate, comprehensive, and up-to-date medical, dental, and

98 social histories are necessary for correct diagnosis and effective treatment planning. Recommendations

- 99 may be modified to meet the unique requirements of patients with special health care needs
- 100 (SHCN).(AAPD BP\_SHCN)
- 101

#### 102 Clinical oral examination

- 103 The first examination is recommended at the time of the eruption of the first tooth and no later than 12
- 104 months of age.(AAPD BP\_Perinatal/Infant; AAPD P\_Dental Home; AAP 2014; Berg & Stapleton 2012)
- 105 The developing dentition and occlusion should be monitored throughout eruption at regular clinical
- 106 examinations.(AAPD BP Developing Dentition) Evidence-based prevention and early detection and
- 107 management of caries/oral conditions can improve a child's oral and general health, well-being, and
- school readiness.(Fontana 2016; AAPD P ECC-Classification; AAPD P ECC-Challenges; Clarke et al.
- 109 2006; Dye et al. 2007<del>2004</del>; Jackson et al. 2011) It has been reported that tThe number and cost of dental
- 110 procedures among high-risk children is less for those seen at an earlier age versus later, confirming the
- 111 fact that the sooner a child is seen by a dentist, the less treatment needs they are likely to have in the
- 112 future.(Nowak et al. 2014) On the other hand, delayed diagnosis of dental disease can result in
- 113 exacerbated problems which lead to more extensive and costly care.(Pahel et al. 2011; AAPD P\_ECC-
- 114 Challenges; Davis et al. 2010; Kobayashi et al. 2005; Lee et al. 2006; AAP 2011) Guidance of eruption
- and development of the primary, mixed, and permanent dentitions contributes to a stable, esthetic, and
- 116 <u>functional occlusion</u>. Early diagnosis of developing malocclusions may allow for timely therapeutic
- 117 intervention.(AAPD BP\_Adolescent; AAPD BP\_Developing Dentition)
- 118
- 119 Components of a comprehensive <u>clinicaloral</u> examination include assessment of:
- general health/growth assessment (e.g., height, weight, BMI calculation, vital signs);-
- Pain<u>assessment;</u>
- extraoral soft tissue examination;s.
- 123 temporomandibular joint <u>assessment;s.</u>
- intraoral soft tissue examination;s.
- oral hygiene and periodontal <u>riskhealth assessment;</u>-
- intraoral hard tissue examination;s.
- <u>assessment of the</u> developing occlusion;-
- 128 radiographic assessment, if indicated;
- caries risk assessment; and-
- assessment of cooperative potential/behavior of child-. (AAPD BP Recordkeeping)

- 131
- 132 Based upon the visual examination, the dentist may employ additional diagnostic aids (e.g., radiographs,
- 133 photographs, pulp vitality testing, laboratory tests, study casts).(Pahel et al. 2011; AAPD BP Acquired
- 134 TMD; AAPD BP Recordkeeping; Dean 2016; Fontana 2018)
- 135
- 136 The interval of examination should be based on the child's individual needs or risk status/susceptibility to
- disease; some patients may require examination and preventive services at more or less frequent intervals,
- based upon historical, clinical, and radiographic findings. (Beil & Rozier 2010; Patel et al. 2010; Pahel et
- al. 2011;Bourguignon et al 2020, Day et al.2020 <del>Diangelis et al. 2012; Malmgren et al. 2012</del>; AAPD
- 140 P ECC-Classifications; AAPD BP Radiographs; ADA 2012; Califano 2003; Clerehugh 2008; AAPD BP
- 141 Perio Diseases) While the prevalence of caries has decreased in primary teeth, the prevalence of having
- 142 no caries in the permanent dentition remains unchanged; caries remains a Caries and its sequelae are
- 143 among the most prevalent health problems facing infants, children, and adolescents in America.(Dye et al.
- 144 20107) Caries lesions are cumulative and progressive and, in the primary dentition, are highly predictive
- of caries occurring in the permanent dentition.(Fontana & González-Cabezas 2015; Tagliaferro et al.
- 146 2006) Reevaluation and reinforcement of preventive activities contribute to improved instruction for the
- 147 caregiver of the child or adolescent, continuity of evaluation of the patient's health status, and repetitive
- 148 exposure to dental procedures, potentially allaying anxiety and fear for the apprehensive child or
- adolescent.(AAPD BP Behavior Guidance) Individuals with SHCN may require individualized
- 150 preventive and treatment strategies that take into consideration the unique needs and disabilities of the

151 patient.(AAPD BP\_SHCN)

152

### 153 Caries-risk assessment (CRA)

154 Risk assessment is a key element of contemporary preventive care for infants, children, adolescents, and

155 persons with SHCN. <u>CRA</u>It should be <u>performed</u> carried out as soon as the first primary <u>tooth</u> erupts

- and be reassessed periodically by dental and medical providers.(Fontana & González-Cabezas 2015;
- 157 AAPD BP\_Caries Risk Assessment) <u>TheIts</u> goal is to prevent disease by (1) identifying <u>patients</u>ehildren

158 at high risk for caries and, (2) developing individualized preventive measures and caries management, as

- 159 well as (3) aiding the practitioner in determining appropriate periodicity of services.(AAPD BP Caries
- 160 Risk Assessment; Crall et al. 2016; Fontana & Zero 2006) Given that the etiology of dental caries is
- 161 multifactorial and complex, current caries-risk assessment models entail a combination of factors
- 162 including diet, fluoride exposure, host susceptibility, and microflora analysis and consideration of how

163 these factors interact with social, cultural, and behavioral factors. More comprehensive models that

- include social, political, psychological, and environmental determinants of health also are
- available.(AAPD P\_Social Determinants; Fisher-Owens et al. 2007; Lee & Divaris 2014; Seow 2012)
- 166 Caries risk assessment forms and caries management protocols are available and aim to simplify and
- 167 clarify the process.(AAPD BP\_Caries Risk Assessment; Domejean et al. 2011; Ramos-Gomez & Ng
- 168 2011<u>; Fontana & Gonzalez-Cabezas</u>)
- 169

Sufficient evidence demonstrates certain groups of children at greater risk for development of early
 childhood caries (ECC) would benefit from infant oral health care.(AAPD P\_ECC-Classifications;

172 AAPD P\_ECC-Challenges; Harris et al. 2004; Ramos-Gomez 2014; Southward et al. 2008; Nunn et al.

**173** 2009; Weber-Gasparoni et al. 2010) Infants and young children have unique caries-risk factors such as

174 ongoing establishment of oral flora and host defense systems, susceptibility of newly erupted teeth, and

development of dietary habits. Because the etiology of ECC is multi-factorial and significantly influenced

176 by health behaviors, (Jiang et al 2020Albino & Tiwari 2016) preventive messages for expectant parents

- and parents of very young children should target factors known to place children at a higher risk for
- developing caries (e.g., early Mutans streptococci transmission, poor oral hygiene habits, nighttime

179 feeding, high sugar consumption frequency).(AAPD P\_ECC-Classifications; AAPD P\_ECC-Challenges;

180 Seow 2012; Plutzer & Keirse 2011) Motivational problems may develop when parents/patients are not

181 interested in changing behaviors or feel that the changes require excessive effort. Parental attitude, self-

182 <u>efficacy</u>, and intention have a strong correlation to oral hygiene practices in preschoolers. (Jiang et al)

183 Therefore, it is important that health care professionals should utilize preventive approaches based on

184 psychological and behavioral strategies. Moreover, they should be sensitive to how they can effectively

185 communicate their recommendations so that parents/patients ean perceive them their recommendations as

186 behaviors worth pursuing. <u>Two eExamples of effective motivational approaches used for caries</u>

187 prevention that share similar psychological philosophies are motivational interviewing and self-

determination theory.(Halvari et al. 2012; Harrison et al. 2012; Ismail et al. 2011; Miller & Rollnick

189 2012; Riedy et al. 2015; Weber-Gasparoni, Reeve et al. 2013; Weber-Gasparoni, Warren et al. 2013)

190

191 Studies <del>consistently</del> have reported caries experience in the primary dentition as a predictor of future

192 caries.(Mejàre et al. 2014, Lin, Chou, Lin 2021) Early school-aged children are at a transitional phase

193 from primary to mixed dentition. These children face challenges such as unsupervised toothbrushing and

194 increased consumption of cariogenic foods and beverages while at school, placing them at a higher risk

195 for developing caries.(AAPD P Snacks; Marshall et al. 2003; Chankanka et al. 2011) Therefore, special

- 196 attention should be given to school-aged children regarding their oral hygiene and dietary practices. The
- 197 use of newer technology including cellular telephones (e.g., text messaging, apps) may provide an
- 198 additional intervention to improve adherence to oral hygiene protocols in children and adolescents.(Sharif
- 199 <u>et al 2019</u>)
- 200
- 201 Adolescence can be a time of heightened caries activity due to an increased number of tooth surfaces in
- the permanent dentition and intake of cariogenic substances, as well as low priority for oral hygiene
- 203 procedures.(AAPD BP Adolescent; Fisher-Owens et al. 2007; Lee & Divaris 2014) Risk assessment can
- assure preventive care (e.g., water fluoridation, professional and home-use fluoride and antimicrobial
- agents, frequency of dental visits) is tailored to each individual's needs and direct resources to those for
- whom preventive interventions provide the greatest benefit.(AAPD BP Adolescent; Featherstone J,
- 207 <u>Chaffee 2018</u>) Because a child's risk for developing dental disease can change over time due to changes
- 208 in habits (e.g., diet, home care), oral microflora, or physical condition, risk assessment must be
- documented and repeated regularly and frequently to maximize effectiveness.(Ramos-Gomez et al. 2010;
- 210 AAPD BP\_Caries Risk Assessment)
- 211

### 212 <u>Periodontal risk assessment (PRA)</u>

213 Periodontal risk assessment is an important component of the routine examination of pediatric patients.

- 214 The gingival and periodontal tissues are subject to change due to normal growth and development. PRA
- 215 identifies risk factors that place individuals at increased risk of developing gingival and periodontal
- 216 diseases and pathologies, as well as factors that influence the progression of the disease. Risk factors for
- 217 periodontal disease may be biological, environmental (social), and behavioral (Elangovan et al, 2019).
- 218 Probing assessments should be initiated after the eruption of the first permanent molars and incisors as
- tolerated by the child.(BP\_Risk Perio) Probing of primary teeth may be indicated when clinical and
- 220 radiographic findings indicate the presence of periodontal pathology. Bleeding on probing primary teeth
- during early childhood, even at a low number of sites, is indicative of high susceptibility to periodontal
- diseases due to the age-dependent reactivity of the gingival tissues to plaque.(Bimstein, 2013) PRA can
- 223 improve clinical decision making and allow the implementation of individualized treatment planning and
- 224 proactive targeted interventions.(Douglass 2006) Maintenance of gingival and periodontal health during
- childhood and adolescence can help assure healthy periodontal health as an adult. (AAPD BP Periodon)
- 226
- 227 Prophylaxis and professional topical fluoride treatment

The interval for frequency of professional preventive services is based upon assessed risk for caries and 228 229 periodontal disease. (Pienihakkinen et al. 2005; Beil & Rozier 2010; Patel et al. 2010; Pahel et al. 2011; 230 AAPD P Prophylaxis; Ramos-Gomez et al. 2010; AAPD BP Caries Risk Assessment; Domejean et al. 231 2011; Ramos-Gomez & Ng 2011; Harris et al. 2004; BP Perio Risks) Prophylaxis aids in plaque, stain, 232 and calculus removal, as well as in educating the patient on oral hygiene techniques and facilitating the clinical examination.(AAPD P Prophylaxis) Gingivitis is common, which is nearly universal in children 233 234 and adolescents and, usually responds to the implementation of therapeutic measures and routine 235 maintenance.(AAPD BP Perio). thorough removal of bacterial deposits and improved oral hygiene.(Califano 2003; AAPerio 2001/2003; AAPerio 2011) Hormonal fluctuations, including those 236 237 occurring during the onset of puberty and pregnancy, can modify the gingival inflammatory response to dental plaque.(Califano 2003; Clerehugh 2008; AAPD BP Pregnant Adolescent) Children can develop 238 239 any of the several forms of periodontitis, with aggressive periodontitis occurring more commonly in children and adolescents than adults.(Califano 2003; Clerehugh 2008; AAPerio 2011)Therefore it is 240 241 important to recognize modifying factors that may result in the development of periodontal disease. 242 (AAPD BP Perio) 243 Children who exhibit higher risk of developing caries and/ or periodontal disease would benefit from 244 245 recall appointments at greater frequency than every six months (e.g., every three months). (Pienihakkinen et al. 2005; Beil & Rozier 2010; Pahel et al. 2011; AAPD P Prophylaxis; Ramos-Gomez et al. 2010; 246

247 AAPD BP\_Caries Risk Assessment; Ramos-Gomez & Ng 2011; AAPD BP\_Perio Risk) This allows

248 increased professional fluoride therapy application, professional assessment of oral hygiene, and

249 <u>opportunity to foster</u> improvement of oral health by demonstrating proper oral hygiene techniques, in

addition to microbial monitoring, antimicrobial therapy reapplication, and reevaluating behavioral

changes for effectiveness. (Pienihakkinen et al. 2005; AAPD P\_Prophylaxis; Clerehugh 2008; Ramos-

Gomez & Ng 2011; Anderson & Shi 2006; Featherstone 2006; Clerehugh & Tugnait 2001) An

individualized preventive plan increases the probability of good oral health by demonstrating proper oral

hygiene methods/ techniques and removing plaque, stain, and calculus.(Beil & Rozier 2010; Clerehugh

255 2008; Clerehugh & Tugnait 2001)

256 Fluoride contributes to the prevention, inhibition, and reversal of caries.(AAPD BP\_Fluoride Therapy;

Adair 2006; Tinanoff 2016) Professional topical fluoride treatments should be based on caries risk

assessment.(AAPD P\_Dental Home; AAPD BP\_Caries Risk Assessment; Adair 2006; Weyant et al.

259 2013) Plaque and the enamel pellicle are not a barrier to topical fluoride uptake-in enamel.(AAPD

260 P\_Prophylaxis) Consequently, there is no evidence of a difference in caries rates or fluoride uptake in

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- 261 patients who receive rubber cup <u>dental</u> prophylaxis or a tooth-brush prophylaxis before fluoride treatment
- 262 <u>exhibit no differences in caries rates</u>.(Azarpazhooh & Main 2009; Weyant et al. 2013) Precautionary
- 263 measures should be taken to prevent swallowing of any professionally-applied topical fluoride. Children
- at high caries risk should receive greater frequency of professional topical fluoride applications (e.g.,
- every three months).(AAPD BP\_Fluoride Therapy; Weyant et al. 2013; Featherstone et al. 2003;
- Axelsson et al. 2004; Källestål 2005) Ideally, this would occur as part of a comprehensive preventive
- 267 program in a dental home.(AAPD P\_Dental Home)
- 268

#### 269 Fluoride supplementation

- 270 The AAPD encourages optimal fluoride exposure for every child, recognizing fluoride in the community
- 271 water <u>fluoridation supplies</u> as the most beneficial and cost-effective preventive intervention.(AAPD
- 272 BP\_Fluoride Therapy) Fluoride supplementation should be considered for children at moderate to high
- 273 caries risk when fluoride exposure is not optimal.(AAPD BP\_Fluoride Therapy, BP\_Caries risk
- assessment) Determination of dietary fluoride sources (e.g., drinking water, toothpaste, foods, beverages)
- 275 before prescribing supplements is required and can help reduce intake of excess fluoride.(AAPD
- 276 BP\_Fluoride Therapy) In addition, supplementation should be in accordance with the guidelines
- 277 recommended by the AAPD(AAPD BP\_Fluoride Therapy) and the American Dental Association.(ADA
- **278** 2006; Rozier et al. 2010)
- 279

#### 280 Radiographic assessment

- 281 Radiographs are a valuable adjunct in the oral health care of infants, children, and adolescents to diagnose
- and monitor oral diseases and evaluate dentoalveolar trauma, as well as monitor dentofacial development
- and the progress of therapy.(AAPD BP\_Radiographs, <u>ADA/FDA</u>) Timing of initial radiographic
- examination should not be based on the patient's age, but upon each child's individual
- circumstances.(AAPD BP\_Radiographs; ADA 2012) The need for dental radiographs can be determined
- only after consideration of the patient's medical and dental histories, completion of a thorough clinical
- examination, and assessment of the patient's vulnerability to environmental factors that affect oral
- health.(AAPD BP\_Radiographs) Every effort must be made to minimize the patient's radiation exposure
- by applying good radiological practices (e.g., use of protective aprons, and thyroid collars, rectangular
- 290 <u>collimation</u>-when appropriate) and by following the as low as reasonably achievable (ALARA
- 291 principle).(AAPD BP\_Radiographs<u>; Campbell et al</u>)
- 292

#### 293 Anticipatory guidance/counseling

Anticipatory guidance is the process of providing practical and developmentally-appropriate information 294 295 about children's health to prepare parents for significant physical, emotional, and psychological milestones.(AAPD BP Perinatal/Infant; AAPD BP Adolescent; AAPD P Dental Home; AAP 2014; 296 297 Casamassimo & Nowak 2016; Sigurdsson 2013) Individualized discussion and counseling should be an 298 integral part of each visit. Topics should include oral hygiene practices, to be included are oral/dental 299 development and growth, speech/language development, nonnutritive habits, diet and nutrition, injury 300 prevention, tobacco/nicotine product use, substance misuse/abuse, and intraoral/perioral piercing and oral jewelry/accessories. (AAPD BP Perinatal/Infant; AAPD BP Adolescent; AAPD P Orofacial Injuries; 301 302 AAPD P Dental Home; AAPD BP Developing Dentition; Casamassimo & Nowak 2016; Sigurdsson 303 2013; AAPD P Tobacco; AAPD P Electronic Cigarettes; AAPD P Piercing; AAPD P Substance 304 Misuse; American Speech-Language-Hearing Association (no date); Lewis et al. 2000; AAPD 305 P Emergency OralCare; American Lung Association (no date)) 306 Anticipatory guidance regarding the characteristics of a normal healthy oral cavity should commence 307 308 occur-during infant oral health visits and continue throughout follow-up dental visits. This allows parents to quantify<del>measure against</del> any changes such as, but not limited to, growth delays, traumatic injuries, and 309 poor oral hygiene or presence of caries lesions. Educating parents or guardians regarding <del>T</del>tooth 310 311 development and chronology of eruption can help themparents better understand the implications of 312 delayed or accelerated tooth emergence. Parents also need to be informed about the benefits-and the role 313 of topical fluorides for<del>in</del> newly erupted teeth which<del>that</del> may be at greater<del>higher</del> risk of developing caries, 314 especially during the post-eruption maturation process.(Casamassimo & Nowak 2016) Assessment of each child's developmental milestones (e.g., fine/gross motor skills, language, social interactions) is 315 316 crucial for early recognition of potential delays and appropriate referral to therapeutic services. (Scharf et 317 al. 2016) Speech and language are integral components of a child's early development. (American Speech-318 Language-Hearing Association (no date)) Abnormal delays in speech and language production can be

recognized early with referral made to address these concerns. Communication and coordination of

appliance therapy with a speech and language professional can assist in the timely treatment of speech

321 disorders.(American Speech-Language-Hearing Association (no date)

322

323 Oral habits (e.g., nonnutritive sucking: digital and pacifier habits; bruxism; tongue thrust swallow and

324 abnormal tongue position; self-injurious/self-mutilating behavior) may apply forces to teeth and

dentoalveolar structures. Although early use of pacifiers and digit sucking are considered normal, pacifier

326 <u>use beyond 18 months can influence the developing orofacial complex(AAPD P\_Pacifier). Increased</u>

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327 overjet and class II malocclusion are more strongly associated with a finger habit versus a pacifier

- 328 <u>habit.(Bishara 2006, Cenci 2015)</u>. Children having a non-nutritive sucking habit beyond age three have a
- 329 <u>higher incidence of malocclusions.</u> habits of sufficient frequency, intensity, and duration can contribute to
- 330 deleterious changes in occlusion and facial (AAPD BP\_Developing Dentition<u>; AAPD Pacifier</u>) It is
- 331 important to discuss the need for early pacifier and digit sucking, then the need to wean from the habits
- 332 before malocclusion or skeletal dysplasias occur.(AAPD BP Developing Dentition) Early dental visits
- provide an opportunity to <u>counsel</u> encourage parents to help their children stop sucking habits age three
- 334 <u>years or younger before malocclusion or skeletal dysplasias occur.(AAPD P\_Pacifiers, BP\_Devel</u>
- 335 <u>Dentition</u>) For school-aged <del>children</del> and adolescent patients, counseling regarding any existing habits
- 336 (e.g., fingernail biting, clenching, bruxism), including the potential immediate and long-term effects on
- 337 the craniofacial complex and dentition, is appropriate.(AAPD BP Developing Dentition) Parents should
- 338 be provided with information regarding the potential immediate and long term effects on the craniofacial

339 complex and dentition from a habit. If treatment is indicated, it Management of an oral habit can include

340 patient/parent counseling, behavior modification techniques, appliance therapy, or referral to other

- 341 providers including, but not limited to, orthodontists, psychologists, or otolaryngologists.(AAPD
- 342 BP Developing Dentition)
- 343

Oral hygiene counseling involves the parent and patient. Initially, oral hygiene is the responsibility of the parent. As the child develops, home care <u>can beis</u> performed jointly by parent and child. When a child demonstrates the understanding and ability to perform personal hygiene techniques, the health care professional should counsel the child. The effectiveness of home care should be monitored at every visit and includes a discussion on the consistency of daily oral hygiene preventive activities, including adequate fluoride exposure.(Pienihakkinen 2005; Beil & Rozier 2010; AAPD BP\_Adolescent; AAPD BP\_Caries Risk Assessment; AAPD BP\_Fluoride Therapy; AAPD P\_Fluoride)

351

The development of dietary habits and childhood food preferences appears to be established early and 352 353 may affect the oral health as well as general health and well-being of a child.(Kranz et al. 2006) The 354 establishment of a dental home no later than 12 months of age allows dietary and nutrition counseling to 355 occur early. This helps parents to-develop proper oral health habits early in their child's life, rather than trying to change established unhealthy habits later. During infancy, counseling should focus on 356 357 breastfeeding, bottle or no-spill cup usage, concerns with nighttime feedings, frequency of in-between 358 meal consumption of sugar-sweetened beverages (e.g., sweetened milk, 100 percent juice, soft drinks, 359 fruit-flavored drinks, sports drinks) and snacks, as well as special diets.(AAPD P Dietary

#### CCA-2022. BP\_Periodicity-Final

Recommendations, Lott 2019) Excess consumption of carbohydrates, fats, and sodium contribute to poor 360 361 systemic health.(Drewnowski 2010; Ervin et al. 2012; Mobley et al. 2009) Dietary analysis and the 362 impact role of dietary choices on oral health, malnutrition, and obesity(Davidson et al, Schroth et al), as 363 well as quality of life, should be addressed through nutritional and preventive oral health counseling at 364 periodic visits.(AAPD P Dietary Recommendations; USDA 2020<del>2015</del>) The U.S. Departments of Health and Human Services and Agriculture provide dietary guidelines forevery five years to help Americans 365 two years of age and older every five years to promote a healthy diet and make healthy choices to help 366 367 prevent chronic diseases and guidance for parents and their children and promote a healthy diet. (US 368 DHHS <u>2015</u>2016) 369 370 Traumatic dental injuries in the primary and permanent dentition occur with great frequency with a

prevalence of one third of pre-school children and one fourth of school age children.(Glendor 2008, Day 371 2020) Traumatic dental injuries that occur in preschool, school age children, and young adults comprise 372 373 five percent of all injuries for which treatment is sought.(Andreasen et al. 2007) Facial trauma that results in fractured, displaced, or lost teeth can have significant negative functional, esthetic, and psychological 374 375 effects on children. (Lee & Divaris 2009) Practitioners should provide age-appropriate injury prevention counseling for orofacial trauma.(AAPD P Orofacial Injuries; Sigurdsson 2013) Initially, discussions 376 377 wshould include advice regarding play objects, pacifiers, car seats, and electrical cords. As motor 378 coordination develops and the child grows older, the parent/patient should be counseled on additional 379 safety and preventive measures, including use of protective equipment (e.g., athletic mouthguards helmets 380 with faceshields) for sporting and high-speed activities (e.g., baseball, bicycling, skiing, four-wheeling). Dental injuries could have improved outcomes not only if the public were aware of first-aid measures and 381 382 the need to seek immediate treatment, but also if the injured child had access to emergency care at all 383 times. Caregivers report that, even though their children had a dental home, they have experienced 384 barriers to care when referred outside of the dental home for emergency services. (Meyer et al. 2017) Barriers faced by caregivers include availability of providers and clinics for delivery of emergency care 385 and the distance one must travel for treatment. Therefore, it is important that all primary care providers 386 387 should inform parents about ways to access emergency care for dental injuries and provide telephone 388 numbers to access a dentist, including for after-hours emergency care.(AAPD P Emergency Oral Care) Teledentistry may serve as an adjunct with time-sensitive injuries or when unexpected circumstances 389 390 result in difficulties accessing care.(AAPD P Teledentistry) 391

392	Smoking and smokeless tobacco use almost always are initiated and established in adolescence.
393	(American Lung Association (no date); Albert et al. 2006; US DHHS 2012) In 2020, 6.72016, 7.2 percent
394	of middle school students and 23.620.2 percent of high school students reported current tobacco product
395	use.(CDC 20202017) The most common tobacco products used by middle school and high school
396	students were reported to be e-cigarettes, cigarettes, cigars, smokeless tobacco, hookahs, pipe tobacco,
397	and bidis (unfiltered cigarettes from India).(CDC 20202017) E-cigarette deceased from 27.5 to 19.6
398	percent among high school students and from 5.3 to 4.7 percent among middle school students from 2019
399	to 2020.(CDC 2020) The recent decline reversing previous trends may be attributable to multiple factors
400	including increasing the age of sale of tobacco products from 18 to 21 years.(CDC 2020) E-cigarette use
401	rose from 1.5 percent to 16.0 percent among high school students and from 0.6 percent to 5.3 percent
402	among middle school students from 2011 to 2015.(CDC 2017) During this time period, eChildren may be
403	exposed to opportunities to experiment with other substances that negatively impact their health and well-
404	being. Practitioners should provide education regarding the serious health consequences of tobacco use
405	and exposure to secondhand smoke.(AAPD P_Tobacco; CDC 20202017) The practitioner may need to
406	obtain information regarding tobacco use and alcohol/ drug misuseabuse confidentially from an
407	adolescent patient(AAPD BP_Adolescent; AAPD P_Substance Misuse) When tobacco or substance
408	abuse has been identified, practitioners should provide brief interventions for encouragement, support,
409	and positive reinforcement for avoiding substance use.(AAPD P_Tobacco; AAPD P_Substance Misuse)
410	If indicated, dental practitioners should provide referral to primary care providers or behavioral-
411	health/addiction specialists for assessment and/or treatment of substance use disorders.(AAPD
412	P_Substance Misuse)
413	
414	Human papilloma virus (HPV) is associated with several types of cancers, including oral and
415	oropharyngeal cancers. (Jiang, National Cancer Institute HPV, 2021) Seventy percent of oropharyngeal
416	cancers in the United States are caused by HPV, and the number of oropharyngeal cancers is increasing
417	annually.(National Cancer Institute HPV, 2021) Evidence supports the HPV vaccine as a means to lessen
418	the risk of oral HPV infection.(Jiang, National Cancer Institute, Oral 2021) The vaccine provides the
419	greatest protection when administered at 9-12 years of age.(National Cancer Institute HPV, 2021) As
420	adolescent patients tend to see the dentist twice yearly and more often than their medical care provider,
421	this is a window of opportunity for the dental professional to counsel patients and parents about HPV's
422	link to oral cancer and the potential benefits of receiving the HPV vaccine. (AAPD P_HPV).
423	

- 424 Complications from intraoral/perioral piercings can range from pain, infection, and tooth fracture to life-
- 425 threatening conditions of bleeding, edema, and airway obstruction.(AAPD P Piercing) Education
- 426 regarding pathologic conditions and sequelae associated with piercings should be initiated for the preteen
- 427 child/parent and reinforced during subsequent periodic visits. The AAPD strongly opposes the practice of
- 428 piercing intraoral and perioral tissues and use of jewelry on intraoral and perioral tissues due to the
- 429 potential for pathological conditions and sequelae associated with these practices.(AAPD P Piercing)
- 430

#### 431 Treatment of dental disease/injury

- Health care providers who diagnose oral disease or trauma should either provide therapy or refer the
- 433 patient to an appropriately-trained individual for treatment.(AAPD P\_Ethical Responsibility) Immediate
- 434 intervention is necessary to prevent further dental destruction, as well as more widespread health
- 435 problems. Postponed treatment can result in exacerbated problems that may lead to the need for more
- 436 extensive care.(Berg & Stapleton 2012; Clarke et al. 2006; Dye et al. 2004; Lee et al. 2006) Early
- 437 intervention could result in savings of health care dollars for individuals, community health care
- 438 programs, and third-party payors.(AAP 2005; Scharf et al. 2016; Brown 2006; Clarke et al. 2006)
- 439

#### 440 Treatment of developing malocclusion

441 Guidance of eruption and development of the primary, mixed, and permanent dentitions is an integral 442 component of comprehensive oral health care for all pediatric dental patients.(AAPD BP Developing 443 Dentition) Dentists have the responsibility to recognize, diagnose, and manage or refer abnormalities in 444 the developing dentition as dictated by the complexity of the problem and the individual clinician's training, knowledge, and experience.(AAPD P Ethnical Responsibility) Early diagnosis and successful 445 treatment of developing malocclusions can have both short-term and long-term benefits, while achieving 446 the goals of occlusal harmony and function and dentofacial esthestics.(Dean & Walsh 2020Kranz et al. 447 2006; Drewnowski 2010; Ervin et al. 2012; Mobley et al. 2009; USDA 2015) Early treatment is 448 beneficial for many patients, but is not indicated for every patient. When there is a reasonable indication 449 450 that an oral habit will result in unfavorable sequelae in the developing permanent dentition, any treatment 451 must be appropriate for the child's development, comprehension, and ability to cooperate. Use of an appliance is indicated only when the child wants to stop the habit and would benefit from a reminder. 452 (AAPD BP Developing Dentition) At each stage of occlusal development, the objectives of intervention/ 453 treatment include: (1) reversing managing adverse growth, (2) preventing correcting dental and skeletal 454 455 disharmonies, (3) improving esthetics of the smile and the accompanying positive effects on, (4)

456 improving-self-image, and (54) improving the occlusion.(AAPD BP\_Developing Dentition)

457

#### 458 Sealants

- A 2016 systematic review concluded sealants are effective in preventing and arresting pit-and-fissure
   occlusal caries lesions of primary and permanent molars in children and adolescents and can minimize the
   progression of noncavitated occlusal caries lesions.(Wright et al. 2016) They are indicated for primary
   and permanent teeth with pits and fissures that are predisposed to plaque retention.(Beauchamp et al.2008)
- 463 <u>Wright et al. 2016</u>) At-risk pits and fissures should be sealed as soon as possible. Because caries risk may
- 464 increase at any time during a patient's life due to changes in habits (e.g., dietary, home care), oral
- 465 microflora, or physical condition, unsealed teeth subsequently might benefit from sealant application.
- 466 (Sasa & Donly 2010) The need for sealant placement should be reassessed at periodic preventive care
- 467 appointments. Sealants should be monitored and repaired or replaced as needed.(Beauchamp et al. 2008;
- 468 Sasa & Donly 2010; AAPD P\_Third Party Reimbursement/Dental Sealants)
- 469

#### 470 Third molars

- 471 Panoramic or periapical radiographic assessment is indicated during late adolescence to assess the
- 472 presence, position, and development of third molars.(AAPD BP Radiographs; ADA 2012) Impacted third
- 473 molars are potentially pathologic; a 2016 study found the incidence of cysts or tumors associated with
- 474 <u>impacted mandibular third molars to be 0.41 0.71 percent in patients younger than 30 years.(Shin et al)</u>
- 475 A decision to remove or retain third molars should be made before the middle of the third
- decade.(Lieblich et al. 2017; AAOMS 2016) Impacted third molars are potentially pathologic. Pathologic
- 477 conditions generally are more common with an increase in age. Evaluation and treatment may re-quire
- 478 removal, exposure, and/or repositioning. In selected cases, long-term clinical and radiographic monitoring
- 479 may be needed. Treatment should be provided before pathologic conditions adversely affect the patient's
- 480 oral and/or systemic health.(Dean 2016; Lieblich et al. 2017; AAOMS 2016)5 Consideration should be
- 481 given to removal when there is a high probability of disease or pathology exists and/ or the risks
- 482 associated with early removal are less than the risks of later removal.(AAPD BP\_Developing Dentition;
- 483 Klene et al 2020 Dean 2016; AAOMS 2016) Treatment should be provided before pathologic conditions
- 484 adversely affect the patient's oral or systemic health.(Lieblich et al. 2017; AAOMS 2016) Postoperative
- 485 complications for removal of impacted third molars are low when performed at an early age.(Blondeau &
- 486 Daniel 2007) A Cochrane review in 2012 reported there was no difference in late lower incisor crowding
- 487 with removal or retention of asymptomatic impacted third molars.(Mettes et al. 2012) When the decision
- 488 is made to maintain disease free impacted wisdom teeth, clinical and radiographic monitoring is
- 489 appropriate to prevent undesirable outcomes.(Ghaeminia et al)

#### 491 Referral for regular and periodic dental care

492	As adolescent patients approach the age of majority, it is important to educatinge the patient and parent on					
493	the value of transitioning to a dentist who is experienced knowledgeable in adult oral health and can help					
494	minimize disruption of high-quality, developmentally-appropriate health care. At the time agreed upon by					
495	the patient, parent, and pediatric dentist, the patient should be referred to a specific practitioner in an					
496	environment sensitive to the adolescent's individual needs.(AAPD BP_Adolescent; AAPD					
497	P_Transitioning) Until the new dental home is established, the patient should maintain a relationship with					
498	the current care provider and have access to emergency services. For the patient with SHCN, in cases					
499	where it is not possible or desired to transition to another practitioner, the dental home can remain with					
500	the pediatric dentist and appropriate referrals for specialized dental care should be recommended when					
501	needed.(AAPD P_Transitioning) Proper communication and records transfer allow for consistent and					
502	continuous care for the patient.(AAPD BP_Recordkeeping)					
503						
504	Recommendations by age					
505	Six to 12 months					
506	1.	Complete the clinical oral examination with adjunctive diagnostic tools (e.g., radiographs as				
507		determined by child's history, clinical findings, and susceptibility to oral disease) to assess oral				
508		growth and development, pathology, and/or injuries; provide diagnosis.				
509	2.	Complete a caries risk assessment.				
510	3.	Provide oral hygiene counseling for parents, including the implications of the oral health of the				
511		caregiver.				
512	4.	Clean teeth and remove supra- and sub-gingival stains or deposits as indicated.				
513	5.	Assess the child's exposure to systemic and topical fluorides status (including type of infant				
514		formula used), if any, and exposure to fluoridated toothpaste) and provide counseling regarding				
515		fluoride.				
516	6.	Assess appropriateness of feeding practices, including bottle and breast-feeding, and provide				
517		counseling as indicated; provide dietary counseling related to oral health.				
518	7.	Provide age-appropriate injury prevention counseling for orofacial trauma.				

- **519** 8. Provide counseling for nonnutritive oral habits (e.g., digit, pacifiers).
- 520 9. Provide required treatment and/or appropriate referral for any oral diseases or injuries.
- 521 10. Provide anticipatory guidance.

11. Assess overall growth and development, and make appropriate referral to therapeutic services if 522 523 needed. 524 12. Consult with the child's physician as needed. 525 13. Determine the interval for periodic reevaluation. 526 527 12 to 24 months 528 Repeat the procedures for ages six to 12 months every six months or as indicated by the child's 1. 529 individual needs or risk status/susceptibility to disease. Assess appropriateness of feeding practices (including bottle, breast-feeding, and no-spill training 530 2. 531 cups) and provide counseling as indicated. Review patient's fluoride status and provide parental counseling. 532 3. 533 4. Provide topical fluoride treatments every six months or as indicated by the child's individual needs 534 or risk status/susceptibility to cariesdisease. 535 Two to six years 536 Repeat the procedures for 12 to 24 months every six months or as indicated by the child's 537 1. individual needs or risk status/susceptibility to disease, including periodontal conditions. Provide 538 539 age-appropriate oral hygiene instructions. 540 2. Assess diet and body mass index to identify patterns placing patients at increased risk for dental 541 caries or obesity. Provide counseling or appropriate referral to a pediatric or nutritional specialist 542 as indicated. 3. Scale and clean the teeth every six months or as indicated by individual patient's needs. 543 43. Provide pit and fissure sealants for caries-susceptible anterior and posterior primary and permanent 544 545 teeth. 54. Provide counseling and services (e.g., mouthguards) as needed for orofacial trauma prevention. 546 65. Assess developing dentition and occlusion and Pprovide assessment/treatment or referral of 547 developing malocclusion as indicated by individual patient's needs. 548 549 76. Provide required treatment and/or appropriate referral for any oral diseases, habits, or injuries as 550 indicated. <u>8</u>7. Assess speech and language development and provide appropriate referral as indicated. 551 552 553 Six to 12 years

- Repeat the procedures for ages two to six years every six months or as indicated by child's
   individual needs.
- 2. <u>Complete a periodontal risk assessment that may include radiographs and periodontal probing with</u>
- 557 <u>eruption of first permanent molars.</u>
- 558 <u>3.</u> Provide substance <u>misuseabuse</u> counseling (e.g., smoking, smokeless tobacco) and/or referral to
   559 primary care providers or behavioral health/addiction specialists if indicated.
- 560 <u>4.</u> Provide education and counseling regarding HPV and the benefits of the HPV vaccine.
- 561 <u>53</u>. Provide counseling on intraoral/perioral piercing.
- 562

### 563 12 years and older

- Repeat the procedures for ages six to 12 years every six months or as indicated by the child's
   individual needs or risk status/susceptibility to disease.
- During late adolescence, assess the presence, position, and development of third molars, giving
  consideration to removal when there is a high probability of disease or pathology and/or the risks
  associated with early removal are less than the risks of later removal.
- 3. At an age determined by patient, parent, and pediatric dentist, refer the patient to a general dentistfor continuing oral care.
- 571

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### Recommended Dental Periodicity Schedule for Pediatric Oral Health Assessment, Preventive Services, and Anticipatory Guidance/Counseling

Since each child is unique, these recommendations are designed for the care of children who have no contributing medical conditions and are developing normally. These recommendations will need to be modified for children with special health care needs or if disease or trauma manifests variations from normal. The American Academy of Pediatric Dentistry emphasizes the importance of very early professional intervention and the continuity of care based on the individualized needs of the child. Refer to the text of this <u>best practiceguideline</u> for supporting information and references. Refer to the text in the Recommendations on the Periodicity of Examination, Preventive Dental Services, Anticipatory Guidance, and Oral Treatment for Infants, Children, and Adolescents (*www.aapd.org/policies/*) for supporting information and references.

	AGE				
	6 TO 12 MONTHS	12 TO 24 MONTHS	2 TO 6 YEARS	6 TO 12 YEARS	12 YEARS AND OLDER
	_				
	•	•	•	•	•
	•	•	•	•	•
	•	•	•	•	•
Radiographic assessment	•	•	•	•	•
	•	•	•	•	•
Fluoride supplementation <sup>3</sup>	•	•	•	•	•
Anticipatory guidance/counseling	•	•	•	•	•
Oral hygiene counseling 3.4	Parent	Parent	Patient /parent	Patient /parent	Patient
Dietary counseling 3.8	•	•	•	•	•
Counseling for nonnutritive habits <sup>9</sup>	<u>•</u>	<u>•</u>	<u>•</u>	<u>•</u>	<u>•</u>
Injury prevention <u>and safety</u> counseling <sup>910</sup>	•	•	•	•	•
-Counseling for nonnutritive habits <sup>40</sup>					•
<u>Assess</u> Counseling for speech/language development 11	•	•	•		
Assessment and treatment of developing malocclusion 12			•	•	•
Assessment for pit and fissure sealants <sup>134</sup>			•	•	•
Periodontal risk assessment <sup>3,14</sup>			<u>•</u>	<u>•</u>	<u>•</u>
Counseling for tobacco, vaping, and substance misuse				•	•
abuse counseling					
Counseling for human papilloma virus/vaccine				<u>•</u>	<u>•</u>
Counseling for intraoral/perioral piercing				•	•
Assessment and/or removal of third molars					•
Transition to adult dental care					•

1 First examination at the eruption of the first tooth and no later than 12 months. Repeat every 6 months or as indicated by the child's risk status/susceptibility to disease. Includes assessment of pathology and injuries.

2 By clinical examination.

3 Must be repeated regularly and frequently to maximize effectiveness.

4 Timing, typeselection, and frequency determined by child's history, clinical findings, and susceptibility to oral disease.

5 Consider when systemic fluoride exposure is suboptimal. Up to at least 16 years.

6 Appropriate discussion and counseling should be an integral part of each visit for care.

7 Initially, responsibility of parent; as child matures, jointly with parent; then, when indicated, only child.

8 At every appointment; initially discuss appropriate feeding practices, then the role of refined carbohydrates and frequency of snacking in caries development and childhood obesity. Monitor body mass index beginning at age 2.

9 At first, discuss the need for non-nutritive sucking: digits vs pacifiers; then the need to wean from the habit before malocclusion or deleterious effect on the dentofacial complex.occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.

10 Initially play objects, pacifiers, car seats, play objects, electric cords; secondhand smoke; when learning to walk; then-with sports and routine playing, including the importance of mouthguards, then motor vehicles and high-speed activities.

10 At first, discuss the need for additional sucking: digits vs pacifiers; then the need to wean from the habit before malocclusion or skeletal dysplasia occurs. For school-aged children and adolescent patients, counsel regarding any existing habits such as fingernail biting, clenching, or bruxism.

11 Observation for age-appropriate speech articulation and fluency as well as achieving receptive and expressive language milestones

12 Identify: transverse, vertical, and sagittal growth patterns; asymmetry; occlusal disharmonies; functional status including temporomandibular joint dysfunction; esthetic influences on self-image and emotional development

13\_For caries-susceptible primary molars, permanent molars, premolars, and anterior teeth with deep pits and fissures; placed as soon as possible after eruption.

14 Periodontal probing should be added to the risk-assessment process after the eruption of the first permanent molars.

### <sup>1</sup> Caries-risk Assessment and Management for Infants, Children,

### 2 and Adolescents

- 3
- 4 Latest Revision
- 5 <del>2019</del> <u>2022</u>
- 6
- 7 Abstract
- 8 This best practice reviews caries-risk assessment and patient care pathways for pediatric patients.
- 9 Caries-related topics presented include caries-risk assessment, active surveillance, caries prevention,
- 10 sealants, fluoride, diet, radiology, and non-restorative treatment. Caries-risk assessment forms are
- 11 organized by age: 0-5 years and ≥6 years old, incorporating three factor categories: (social/
- 12 <u>behavioral/medical, clinical, and biological risk indicators</u>, protective factors) and <u>clinical findings\_disease</u>
- 13 indicators appropriate for the patient age. Each factor category lists specific conditions to be graded yes if
- 14 <u>applicableor no</u>, with the answers tallied to render a caries-risk assessment score of high, moderate, or
- 15 low. The care management pathway presents clinical care options beyond surgical or restorative choices
- 16 and promotes individualized treatment regimens dependent on patient age, compliance with preventive
- 17 strategies, and other appropriate strategies. Caries management forms also are organized by age: 0-5
- 18 years and ≥6 years old, addressing risk categories of high, moderate, and low, based on treatment
- 19 categories of diagnostics, interventions (fluoride, diet counseling, sealants), and restorative care. Caries-
- 20 risk assessment and clinical management pathways allow for customized periodicity, diagnostic,
- 21 preventive, and restorative care for infants, children, adolescents, and individuals with special needs.
- 22
- 23 This document was developed through a collaborative effort of the American Academy of Pediatric
- 24 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and
- 25 recommendations regarding assessment of caries risk and risk-based management protocols.

### 26 KEYWORDS: CARIES-RISK ASSESSMENT, CARIES PREVENTION, CLINICAL MANAGEMENT 27 PATHWAYS, DENTAL SEALANTS, FLUORIDE

28

### 29 Purpose

- 30 The American Academy of Pediatric Dentistry recognizes that caries-risk assessment and <u>caries</u>
- 31 management protocols, also called care pathways, can assist clinicians with decisions regarding treatment
- based upon child's age, caries risk, and patient compliance and are essential elements of contemporary

- 33 clinical care for infants, children, and adolescents. These recommendations are intended to educate
- 34 healthcare providers and other interested parties on the assessment of caries risk in contemporary
- 35 pediatric dentistry and aid in clinical decision-making regarding evidence- and risk-based diagnostic,
- 36 fluoride, dietary, and restorative protocols.
- 37

#### 38 Methods

- 39 This document was developed by the Council on Clinical Affairs and adopted in 2002 (AAPD Use of a
- 40 CAT, 2002) and last revised in 2014 2019(AAPD Caries-risk Assessment 2014 2019). To update this best
- 41 practices document, an electronic search was conducted of publications from 2012 to 2021 that included
- 42 systematic reviews/meta-analyses or reports from expert panels, clinical guidelines, and other relevant
- 43 reviews was conducted from 2012 to 2018 2021 using the terms: caries risk assessment with, diet,
- 44 sealants, fluoride, radiology, non-restorative treatment, active surveillance, caries prevention. There were
- 45 four systematic reviews that informed this update on caries risk assessment.(ADA 2018; Fontana 2015;
- 46 Cagetti et al. 2018; Mover 2014 ; Schmoeckel 2020 )There were 10 systematic reviews and clinical
- 47 practice guidelines that inform this update on care pathways for caries.(FDA/ADA/DHHS 2012; Rotter et
- 48 al. 2012; Santos et al. 2013; Weyant et al. 2013; Wright et al. 2014; Scottish Intercollegiate Guidelines
- 49 Network 2014; Crystal et al. 2017; Slayton et al. 2018; Wright et al. 2016; Vercammen et al. 2018
- 50 ,Canares 2018) Five hundred ninety-two articles met these criteria. Papers for review were chosen from
- 51 this list and from references within selected articles. When data did not appear sufficient or were
- 52 inconclusive, recommendations were based upon expert and/or consensus opinion by experienced
- 53 researchers and clinicians.

54

#### 55 Background

#### 56 Caries-risk assessment

- 57 Risk assessment procedures used in medical practice generally have sufficient data to accurately
- 58 quantitate a person's disease susceptibility and allow for preventive measures. However, in dentistry there
- 59 still are limited is a lack of sufficiently validated multivariate screening tools to determine which children
- are at a higher risk for dental caries.(Cagetti et al. 2018; Moyer 2014) <u>Two caries risk assessment tools</u>
- 61 have been validated in clinical trials and clinical outcomes studies, namely the Cariogram and CAMBRA
- 62 tools. (Bratthall 2005, Featherstone 2007) Several other published caries- risk assessment tools utilize
- 63 similar components but have not been clinically validated. (Bratthall 2005, Featherstone 2021)
- 64 Nevertheless, caries-risk assessment:
- 1. fosters the treatment of the disease process instead of treating the outcome of the disease.
- allows an understanding of the disease factors for a specific patient and aids in individualizingpreventive discussions.
- 68 3. individualizes, selects, and determines frequency of preventive and restorative treatment for a
- 69 patient.
- 70 4. anticipates caries progression or stabilization.
- 5. determines different treatment plan options and tailors the specific individualized self-management
   goals.
- 73
- 74 <u>Caries-risk assessment is part of a comprehensive treatment plan approach based on age of the child,</u>
- 75 <u>starting with the age one visit.</u> Caries-risk assessment models currently involve a combination of factors
- cultural, and behavioral factors. Caries-risk assessment is the determination of the likelihood of the
- 78 increased incidence of caries (i.e., the number of new cavitated or incipient lesions) during a certain time
- 79 period (Santos et al. 2013-Fontana 2020) or the likelihood that there will be a change in the size or
- 80 activity of lesions already present. With the ability to detect caries in its earliest stages (i.e., non-cavitated
- 81 or white spot lesions), health care providers can help prevent cavitation.(ADA 2018)
- 82
- 83 Caries risk factorsindicators are variables that are thought to cause the disease directly (e.g., microflora) 84 or have been shown useful in predicting it (e.g., life-time poverty, low health literacy) and include those 85 variables that may be considered protective factors. The most commonly used caries risk factorsindicators include-presence of caries lesions, low salivary flow, visible plaque on teeth, high frequency sugar 86 consumption, presence of appliance in the mouth, health challenges, socio-demographic factors, access to 87 care, and cariogenic microflora.(ADA 2018). The presence of caries lesions, either non-cavitated or 88 89 cavitated, also has been shown in numerous studies to be a strong indicator of caries risk. Clinical observation of existing caries lesions or restorations recently placed as a result of such lesions are best 90
- 91 thought of as disease indicators rather than risk factors since these lesions do not cause the disease
- 92 <u>directly or indirectly but, very importantly, indicate the presence of the factors that cause the disease.</u>
- 93 Protective factors in caries risk include a child's receiving optimally-fluoridated water, having teeth
- 94 brushed daily with fluoridated toothpaste, receiving topical fluoride from a health professional, and
- 95 having regular dental care.(ADA 2018, <u>Machiulskiene, 2020</u>)
- 96
- 97 Some <u>limitations</u> with the <del>current</del> risk <u>factors</u> include the following:

98	• <u>pP</u> ast caries experience is not particularly useful in young children and activity of lesions may be
99	more important than number of lesions.
100	• Low salivary flow is difficult to measure and may not be relevant in young children.(Alaluusua
101	and Malmivirta 1994)
102	• Frequent sugar consumption is hard to quantitate.
103	• Socio-demographic factors are just a proxy for various exposures/behaviors which may affect
104	caries risk.
105	• Predictive ability of various risk factors across the life span and how risk changes with age have
106	not been determined.(Divaris(ADA 20168)
107	• Furthermore, <u>gG</u> enome-level risk factors may account for substantial variations in caries
108	risk. <u>(Divaris<del>(ADA</del> 201<u>6</u>8)</u>
109	
110	Risk assessment tools can aid in the identification of specific behaviors or risk factors for each individual
111	reliable predictors and allow dentists and other health care professionals dental practitioners, physicians,
112	and other non-dental health care providers to become more actively involved in identifying and referring
113	high-risk children. Tables 1 and 2 incorporate available evidence into practical tools to assist dental
114	practitioners, physicians, and other non-dental health care providers in assessing levels of risk for caries
115	development in infants, children, and adolescents. As new evidence emerges, these tools can be refined to
116	provide greater predictably of caries in children prior to disease initiation. Furthermore, the evolution of
117	caries-risk assessment tools and care pathways can assist in providing evidence for and justifying
118	periodicity of services, modification of third-party involvement in the delivery of dental services, and
119	quality of care with outcomes assessment to address limited resources and work-force issues.
120	
121	Care pathways for caries management
122	Care pathways are documents designed to assist in clinical decision-making; they provide criteria
123	regarding diagnosis and treatment and lead to recommended courses of action. (Rotter et al. 2012) The
124	pathways are based on evidence from current peer-reviewed literature and the considered judgment of
125	expert panels, as well as clinical experience of practitioners. Care pathways for caries management in
126	children aged 0-2 and 3-5 years old were first introduced in 2011.(Ramos-Gomez and Ng 2011) Care
127	pathways are updated frequently as new technologies and evidence develop.
128	

- 129 Historically, the management of dental caries was based on the notion that it was a progressive disease 130 that eventually destroyed the tooth unless there was surgical/restorative intervention. Decisions for 131 intervention often were learned from unstandardized dental school instruction and then refined by 132 clinicians over years of practice. It is now known that surgical intervention of dental caries alone does not 133 stop the disease process. Additionally, many lesions do not progress, and tooth restorations have a finite longevity. Therefore, modern management of dental caries should be more conservative and includes 134 early detection of non-cavitated lesions, identification of an individual's risk for caries progression, 135 136 understanding of the disease process for that individual, and active surveillance to apply preventive 137 measures and monitor carefully for signs of arrest or progression. 138
- 139 Care pathways for children further refine the decisions concerning individualized treatment and treatment 140 thresholds based on a specific patient's risk levels, age, and compliance with preventive strategies (Tables 3 and 4). Such clinical pathways yield greater probability of success, fewer complications, and more

141

142 efficient use of resources than less standardized treatment.(Rotter et al. 2012)

143

144 Content of the present caries management protocol is based on results of systematic reviews and expert 145 panel recommendations that provide better understanding of and recommendations for diagnostic, 146 preventive, and restorative treatments. Recommendations for the use of fluoridated toothpaste are based 147 on the threefour systematic reviews, (Santos et al. 2013; Wright et al. 2014; Scottish Intercollegiate 148 Guidelines Network 2014, Walsh 2019) and dietary fluoride supplements are based on the Centers for 149 Disease Control and Prevention's fluoride guidelines; (CDC 2001) professionally-applied and prescription strength home-use topical fluoride are based on two systematic reviews; (Weyant et al. 2013; Scottish 150 151 Intercollegiate Guidelines Network 2014) the use of silver diamine fluoride to arrest caries lesions also is 152 based on two systematic reviews. (Crystal et al. 2017; Slavton et al. 2018) Radiographic diagnostic 153 recommendations are based on the uniform guidelines from the three-national organizations.(FDA/ADA/ DHHS 2012) Recommendations for pit and fissure sealants, especially regarding primary teeth, are based 154 on the American Dental Association Council on Scientific Affairs' systematic review of the use of pit-155 156 and-fissure sealants. (Wright et al. 2016, Ahova-Saloranta 2017) Dietary interventions are based on a 157 systematic review of strategies to reduce sugar-sweetened beverages. (Vercammen et al. 2018) Caries risk is assessed at both the individual level and tooth level. Treatment of caries with interim therapeutic 158 159 restorations is based on the American Academy of Pediatric Dentistry policy and recommended best practices.(AAPD P ITR 2018 2022; AAPD Pediatric Restorative Dentistry 20192022) Active 160 surveillance (prevention therapies and close monitoring) of enamel lesions is based on the concept that 161

- treatment of disease may only be necessary if there is disease progression, (Parker 2004) and that caries
- 163 can arrest without treatment.(Ekstrand et al. 2010)
- 164
- 165 Other approaches to the assessment and treatment of dental caries will emerge with time and, with
- 166 evidence of effectiveness, may be included in future guidelines on caries-risk assessment and care
- 167 pathways.
- 168

#### 169 Recommendations

- 170 1. Dental caries-risk assessment, based on a child's age, social/<u>behavioral/medical-biological</u> factors,
- 171 protective factors, and clinical findings, should be a routine component of new and periodic
- examinations by oral health and medical providers.
- 173 2. While there is not enough information at present to have quantitative caries-risk assessment analyses,
- estimating children at low, moderate, and high caries risk by a preponderance of risk and protective
- 175 factors <u>and disease indicators</u> will enable a more evidence-based approach to medical provider
- 176 referrals for referrals by medical providers , as well as establish periodicity and intensity of
- 177 diagnostic, preventive, and restorative services.
- Care pathways, based on a child's age and caries risk, provide health providers with criteria and
   protocols for determining the types and frequency of diagnostic, preventive, and restorative care for
   patient-specific management of dental caries.
- 181

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275	

#### 276 Table 1. Caries-risk Assessment Form for 0-5 Years Old (Ramos-Gomez et al. 2007)

278 Use of this tool will help the health care provider assess the child's risk for developing caries lesions. In

279 addition, reviewing specific factors will help the practitioner and parent understand the variable influences

280 that contribute to or protect from dental caries.

281

277

	High risk	Moderate risk	Low risk
Risk factors, social <u>/behavioral/medical</u> / <del>biological</del>			
Mother/primary caregiver has active dental caries	Yes		
Parent/caregiver has lifetime of poverty, low health literacy	Yes		
Child has frequent exposure (>3 times/day) between-meal sugar-	Yes		
Child uses bettle er per enill eur centeining netural er edded euror	Vee		
frequently, between meals and/or at bedtime	res		
Child is a recent immigrant		Yes	
Child has special health care needs <sup></sup>		Yes	
Risk factors, clinical			
Child has visible plaque on teeth	Yes		
Child presents with dental enamel defects	<u>Yes</u>		
Protective factors			
Child receives optimally-fluoridated drinking water or fluoride supplements			Yes
Child has teeth brushed daily with fluoridated toothpaste			Yes
Child receives topical fluoride from health professional			Yes
Child has dental home/regular dental care			Yes
<u>Disease indicators<sup>β</sup>-Clinical findings</u>			
Child has non-cavitated (incipient/white spot) caries <del>or enamel</del> defects	Yes		
Child has visible <del>cavities <u>caries</u> lesions or fillings or missing teeth due to caries</del>	Yes		
Child has recent restorations or missing teeth due to caries visible	Yes		
plaque on teeth			

282

a Practitioners may choose a different risk level based on specific medical diagnosis and unique circumstances, especially conditions that affect motor coordination or cooperation.

<u>B</u> While these do not cause caries directly or indirectly, they indicate presence of factors that do.

#### **INSTRUCTIONS**

Circle YES that corresponds with those Circling those conditions applying to a specific 290 patient helps the practitioner and parent understand the factors that contribute to or 291 protect from caries. Risk assessment categorization. Use the circled responses to visualize 292 the balance among risk factors, protective factors, and disease indicators. Use this 293 balance or imbalance, together with clinical judgment, to assign a caries risk level of low, 294 moderate, or high is based on the preponderance of factors for the individual. However, 295 e<u>C</u>linical judgment may justify the <u>weighting use</u> of one factor (e.g., <u>heavy plaque on the</u> 296 teethfrequent exposure to sugar-containing snacks or beverages, more than one decayed 297 missing filled surfaces [dmfs]) in determining overall risk.more than others. 298

299 Overall assessment of the child's dental caries risk: High 
Moderate 
Low

300 301 Adapted with permission from the California Dental Association, (Ramos-Gomez et al. 2007) Copyright © 302 October 2007.

303 304

#### 305 Table 2. Caries-risk Assessment Form for ≥6 Years Old (Featherstone et al. 2007)

#### 306 (For Dental Providers)

Use of this tool will help the health care provider assess the child's risk for developing caries lesions. In 307

308 addition, reviewing specific factors will help the practitioner and patient/parent understand the variable

309 influences that contribute to or protect from dental caries.

Factors	High risk	Moderate risk	Low risk
Risk factors, social/ <u>behavioral/medical/biological</u>			
Patient has lifetime of poverty, low health literacy	Yes		
Patient has frequent exposure (>3 times/day) between-meal sugar-	Yes		
containing snacks or beverages per day			
ChildPatient is a recent immigrant		Yes	
Patient uses hyposalivatory medication(s)		Yes	
Patient has special health care needs <sup>a</sup>		Yes	
<u>Risk factors, clinical</u>			
Patient has low salivary flow	Yes		
Patient has visible plaque on teeth	Yes		
Patient presents with dental enamel defects	Yes		
Patient wears an intraoral appliance		<u>Yes</u>	
Patient has defective restorations		Yes	
Protective factors			
Patient receives optimally-fluoridated drinking water			Yes
Patient has teeth brushed daily with fluoridated toothpaste			Yes
Patient receives topical fluoride from health professional			Yes
Patient has dental home/regular dental care			Yes
<u>Disease indicators<sup>β</sup> Clinical findings</u>			
Patient <u>has</u>	Yes		
Patient has <u>new</u> non-cavitated (white spot) caries or enamel defects	Yes		
Patient has new cavitated caries lesions or lesions into dentin	Yes		
<u>radiographically</u>			
Patient has restorations that were placed in the last 3 years (new	Yes		
patient) or in the last 12 months (patient of record)			
Patient has low salivary flow	Yes		
Patient has defective restorations		Yes	
Patient wears an intraoral appliance		Yes	

especially conditions that affect motor coordination or cooperation.

311 312 313 β While these do not cause caries directly or indirectly, they indicate presence of factors that do.

315 INSTRUCTIONS

316 Circle YES that corresponds with those Circling those conditions applying to a specific patient

helps the practitioner and parent understand the factors that contribute to or protect from caries. 317

Risk assessment categorization. Use the circled responses to visualize the balance among risk 318

319 factors, protective factors, and disease indicators. Use this balance or imbalance, together with

320 clinical judgment, to assign a caries risk level of low, moderate, or high is based on the

321 preponderance of factors for the individual. However, cClinical judgment may justify the

322 weighting-use of one factor (e.g., heavy plaque on the teethfrequent exposure to sugar-

containing snacks or beverages, more than one decayed missing filled surfaces [dmfs]) in 323

324 determining overall risk.more than others.

325

310

314

#### 326 Overall assessment of the dental caries risk: High Moderate Low

327

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#### 330 Table 3. Example of a Caries Management Pathways for 0-5 Years Old

331						
	Risk	Diagnostics	Interventions			Restorative
	category		Fluoride	Dietary counseling	Sealants	
	Low risk	<ul> <li>Recall every six to</li> <li>12 months</li> <li>Radiographs every</li> <li>12 to 24 months</li> </ul>	<ul> <li>Drink optimally fluoridated water</li> <li>Twice daily brushing with fluoridated toothpaste</li> </ul>	Yes	Yes	– Surveillance
	Moderate risk	<ul> <li>Recall every six months</li> <li>Radiographs every six to 12 months</li> </ul>	<ul> <li>Drink optimally-fluoridated water <u>(alternatively, take</u> <u>fluoride supplements with</u> <u>fluoride-deficient water</u> <u>supplies</u>)</li> <li>Twice daily brushing with fluoridated toothpaste</li> <li><u>Fluoride supplements</u></li> <li>Professional topical treatment every six months</li> </ul>	Yes	Yes	<ul> <li>Active surveillance of non-cavitated (white spot) caries lesions</li> <li>Restore of cavitated or enlarging caries lesions</li> </ul>
332	High risk	<ul> <li>Recall every three months</li> <li>Radiographs every six months</li> </ul>	<ul> <li>Drink optimally fluoridated water (alternatively, take fluoride supplements with fluoride-deficient water supplies)</li> <li>Twice daily brushing with fluoridated toothpaste</li> <li>Professional topical treatment every three months</li> <li>Silver diamine fluoride on cavitated lesions</li> </ul>	Yes	Yes	<ul> <li>Active surveillance of non-cavitated (white spot) caries lesions</li> <li>Restore of cavitated or enlarging caries lesions</li> <li><u>Interim therapeutic</u> restorations (ITR) may be used until permanent restorations can be placed</li> </ul>
332	Refer to	notes helow Table 4				
334	Notes fo	r caries management	<u>pathways tables:</u>			
335	Twice da	ily brushing: Parental s	supervision of a "smear" amour	nt of fluoridate	ed toothpas	te twice daily for
336	<u>children u</u>	under age 3, pea-size a	amount for children ages 3-6.			
337		noo, Doriodio monitorin	a for since of series presses	and Anticon accord		ative management
338	<u>Surveillai</u>	nce: Periodic monitorin	g for signs of carles progressio	on; Active sur	veillance: A	<u>Active measures</u>
370	nrogressi	ion		environment		POSSIBLE CALLES
341	progress					

### 342 Silver diamine fluoride: Use of 38 percent silver diamine fluoride to assist in arresting caries lesions; 343 Informed consent particularly highlighting expected staining of treated lesions.

344
 345 <u>Sealants: The decision to seal primary and permanent molars should account for both the individual-level</u>
 346 and tooth-level risks.

#### 347 Table 4. Example of a Caries Management Pathways for ≥6 Years Old

348						
0.0	Risk	Diagnostics	Interventions			Restorative
	category		Fluoride	Dietary counseling	Sealants	
	Low risk	<ul> <li>Recall every six to</li> <li>12 months</li> <li>Radiographs every</li> <li>12 to 24 months</li> </ul>	<ul> <li>Drink optimally fluoridated water</li> <li>Twice daily brushing with fluoridated toothnaste</li> </ul>	Yes	Yes	– Surveillance
	Moderate risk	<ul> <li>Recall every six</li> <li>months</li> <li>Radiographs every</li> <li>six to 12 months</li> </ul>	<ul> <li>Drink optimally fluoridated water <u>(alternatively, take fluoride supplements with fluoride-deficient water supplies)</u></li> <li>Twice daily brushing with fluoridated toothpaste</li> <li>Fluoride supplements</li> <li>Professional topical treatment every six months</li> </ul>	Yes	Yes	<ul> <li>Active surveillance of non- cavitated (white spot) caries lesions</li> <li>Restore of cavitated or enlarging caries lesions</li> </ul>
349	High risk	<ul> <li>Recall every three months</li> <li>Radiographs every six months</li> </ul>	<ul> <li>Drink optimally fluoridated water <u>(alternatively, take fluoride-deficient water supplies)</u></li> <li>Brushing with 0.5 percent fluoride gel/paste</li> <li>Professional topical treatment every three months</li> <li>Silver diamine fluoride on cavitated lesions</li> </ul>	Yes	Yes	<ul> <li>Active surveillance of non- cavitated (white spot) caries lesions</li> <li>Restore of cavitated or enlarging caries lesions</li> <li><u>Interim therapeutic</u> restorations (ITR) may be used until permanent restorations can be placed</li> </ul>
351	Notes fo	or caries managemer	nt pathways tables:			
352 353 354	Twice da <del>children</del>	illy brushing: Parental <del>under age 3,</del> pea-size	supervision of a " <del>smear" amo</del> amount for children <del>ages 3-</del> 6	ount of fluoridation of age	ated toothp	aste twice daily for
355 356 357	Optimize dietary fl	dietary fluoride levels uoride supplements, i	b: Ideally by consuming optima n a non-fluoridated area, for c	ally-fluoridate hildren at hig	d water; alt h caries risl	ernatively by <del>&lt;.</del>
358	Surveilla	nce <u>and active surveil</u>	llance: Periodic monitoring for	signs of carie	es progress	ion <u>; and Active</u>
359 360 361	<u>surveilla</u> environm	nce: a <u>A</u> ctive measure nent and monitor poss	es by parents and oral health p ible caries progression.	orofessionals	to reduce o	ariogenic
362	Silver dia	amine fluoride: Use of	38 percent silver diamine fluc	oride to assist	in arresting	g caries lesions.
363	Informed	i consent particularly h	nighlighting expected staining	of treated les	ions.	

364

365 Interim therapeutic restorations: also may be called protective restorations. (AAPD P\_ITR 2018)

366 367 Sealants: Although studies report unfavorable cost/benefit ratio for sealant placement in low caries risk

368 children, expert opinion favors sealants in permanent teeth of low-risk children based on possible

369 changes in risk over time and differences in tooth anatomy. The decision to seal primary and permanent

370 molars should account for both the individual-level and tooth-level risks.

Pain Management in Infants, Children, Adolescents, and

### 2 Individuals with Special Health Care Needs

3

#### 4 Abstract

5	This statement provides dentists and stakeholders with current best pra	ctices for pediatric pain
6	management. Infants, children, adolescents, and individuals with specia	al health care needs may
7	experience pain resulting from dental/orofacial injury, infection, and den	tal procedures. Dental pain is an
8	inflammatory condition that can be categorized as somatic (i.e., periodo	ntal, alveolar, mucosal) or visceral
9	(i.e., pulpal). Dental professionals should consider pain assessment for	all patients. Inadequate pain
10	management may lead to significant physical and psychological consec	uences for patients. Perioperative
11	pain management approaches include pre-emptive pain management (	e.g., anesthetics), use of local
12	anesthesia during general anesthesia for post-operative pain control, no	on-pharmacological anxiolytic
13	interventions (e.g., providing a calm environment, emotional support), d	istraction and imagery (e.g.,
14	counting, video games), and pharmacological pain control agents inclue	ding non-opioid analgesics (e.g.,
15	nonsteroidal anti-inflammatory drugs, acetaminophen) and opioid analg	esics. Acetaminophen and
16	nonsteroidal anti-inflammatory medications are first line pharmacologic	therapies for pain management.
17	Use of opioids for pediatric dental patients should be rare, and steps to	mitigate opioid misuse are
18	discussed.	
19		
20	This document was developed through a collaborative effort of the Ame	erican Academy of Pediatric
21	Dentistry Councils on Clinical Affairs and Scientific Affairs to offer update	ted information and guidance on
22	pain management in infants, children, adolescents, and individuals with	special health care needs.
23		
24	KEYWORDS: FACIAL PAIN; TOOTHACHE; PAIN MANAGEMENT; A	ACUTE PAIN; CHRONIC PAIN;
25	PAIN, POSTOPERATIVE	
26		
27		
28	Adopted <u>Revised</u>	
29	<del>2018</del>	
30		
31	ABBREVIATIONS	
32	AAP: American Academy of Pediatrics. AAPD: American Academy of F	Pediatric Dentistry. <b>APAP</b> : Acetyl-
33	para-aminophenol. APS: American Pain Society. CDC: Centers for Disc	ease Control and Prevention.
34	COX: cyclo-oxygenase. CNS: Central nervous system. FDA: U.S. Food	I and Drug Administration. IV:

Intravenous. NSAIDs: Nonsteroidal anti-inflammatory drugs. VAS: Visual analogue scale. WHO: World
 Health Organization

37

#### 38 Purpose

39 The American Academy of Pediatric Dentistry (AAPD) recognizes that infants, children, 40 adolescents, and individuals with special health care needs can and do experience pain due to 41 dental/orofacial injury, infection, and dental procedures, and that inadequate pain management 42 may have significant physical and psychological consequences for the patient. Appreciation of 43 pediatric pain can help practitioners develop clinical approaches to prevent or substantially 44 relieve dental pain. When pharmacological intervention is necessary to manage pain, the 45 practitioner must understand the consequences, morbidities, and toxicities associated with the 46 use of specific therapeutic agents. These recommendations are intended to provide dental 47 professionals and other stakeholders with current best practices for pain management in pediatric 48 dentistry.

49

#### 50 Methods

51 This document was developed by the Council on Clinical Affairs and adopted in 2018 (AAPD 52 BP Pain 2018). It is based on a review of current dental and medical literature pertaining to pain 53 management in pediatric dental patients. Review of existing federal and professional pain 54 management guidelines and consensus statements were used to assist with this document. An 55 electronic search was conducted in the PubMed<sup>®</sup>/MEDLINE database using the terms: dental 56 pain management, pediatric pain assessment, pre-emptive analgesia, paracetamol, pediatric and 57 acetaminophen, adolescent and acetaminophen, pediatric and nonsteroidal anti-inflammatory 58 drugs (**NSAIDs**), adolescent and NSAIDs, pediatric and opioids, adolescent and opioids, opioid 59 risk, adolescent orofacial pain, pediatric and adolescent chronic pain, non-pharmacologic pain 60 management; fields: all; limits: within the last 10 years, humans, English, systematic review, and 61 clinical trials. There were 3.698 <del>1395</del> articles that met these criteria. Papers for review were 62 chosen from this list and from references within selected articles. When data did not appear 63 sufficient or were inconclusive, recommendations were based upon expert and/or consensus 64 opinion by experienced researchers and clinicians.

65

#### 66 Background

67	Pain is defined by the International Association of the Study of Pain as "an unpleasant sensory
68	and emotional experience associated with, or resembling that associated with, actual or potential
69	tissue damage. or described in terms of such damage."(Raja et al. 2020 IASP 2018) Their
70	expanded definition includes six items that provide further context to the complex topic of pain:
71	pain is always a personal experience; pain is different from nociception; pain is learned through
72	life experiences; a person's report of pain should be respected; pain can have adverse effects on
73	function and well-being; and, verbal description is one of several behaviors used to express pain.
74	<u>(Raja et al. 2020 IASP 2020).</u>
75	
76	Intraoral pain presenting as a toothache is a common source of orofacial pain in children. (Santos
77	2022) An estimated 95 percent of orofacial pain results from odontogenic causes (Tecco et al.
78	2018) and, according to a recent systematic review and meta-analysis, an overall pooled
79	prevalence of toothache in children and adolescents was 36.2 percent. (Santos 2022) Pain
80	experienced during dental procedures can be distressing for the provider, the child, and his
81	parents and can also lead to difficult behavior, dental fear, and avoidance behavior in the child.
82	(Randall et al. 2020) Moreover, pPain experience in childhood may shape future pain
83	experiences in adulthood.(Baccei & Fitzgerald 2013).
84	
85	Pain from dental pulp arises when functional nerves are stimulated by bacteria or trauma (De
86	Leeuw 2018). Periodontal pain occurs when infectious or traumatic insults to the gingiva,
87	periodontal ligament, and alveolar bone stimulate free nerve endings (De Leeuw 2018). Other
88	sources of orofacial pain include temporomandibular disorders (e.g., joint pain, masticatory
89	muscle pain), headaches (e.g., migraine, tension type), or other non-odontogenic causes (e.g.,
90	pathologic jaw lesions, oral ulcers, neuralgia). Pain may be divided into diagnostic categories
91	such as somatic, visceral, and neuropathic Zeltzer et al. 2019) Pain encountered in dentistry is
92	typically inflammatory and categorized as somatic (i.e., periodontal, alveolar, mucosal) or
93	visceral (i.e., pulpal) pain.(De Leeuw & Klasser 20183)
94	

95 Pain management includes pharmacologic and nonpharmacologic strategies to treat both acute and chronic pain. Due to the increased appreciation for pediatric pain and because of the national 96 97 opioid crisis, recommendations for professional education and approaches for therapeutic 98 management are being reviewed at the national, state, and local levels. (Schaefer et al. 2016; 99 AAP/APS 2001; Assn. Paediatric Anaesthetists. 2012; Pogatzki-Zahn et al. 2007) This document 100 discusses pain processing, pain assessment, pain categories, pre-emptive analgesia, non-101 pharmacologic pain management, pharmacologic pain management, and best practices for 102 prescribing opioids. 103 **Pain processing** Understanding nociception (i.e., pain processing) is essential for the 104 105 management of pain. Pain experience in childhood may shape future pain experiences in 106 adulthood.(Baccei & Fitzgerald 2013). Dental pain is an inflammatory condition resulting from 107 tissue damage, infection, or invasive treatment.(Drew 2015) Swelling, hyperthermia, and 108 activation of biochemical cascades are hallmarks of inflammatory pain.(Drew 2015; Brennan 109 2011) Following tissue injury, infection, or invasive treatment, tThermal, mechanical, and 110 chemical stimuli activate receptors on free nerve endings in vital structures in the orofacial 111 region.( Latremolier & Woolf 2009.(Dostrovsky 2014; Dawes et al. 2013) In turn, sSensory 112 signals travel along afferent trigeminal nerve fibers and relay information to the brainstem and 113 higher structures involved with the perception of pain. (Kaufman et al. 2005) Under normal 114 conditions the perception of pain persists until the stimulus is removed. Peripheral sensitization 115 Sensitization of central and peripheral nervous system circuits occurs following significant tissue 116 damage or prolonged neuronal stimulation (Latremolier & Woolf 2009). Terminal nerve endings 117 at the site of tissue injury exhibit an enhanced neuronal response to noxious stimuli in the 118 peripheral nervous system.( Latremolier & Woolf 2009.(Dostrovsky 2014) This local increase in 119 nerve membrane excitability is referred to as peripheral sensitization. (Latremolier & Woolf 120 2009) The exaggerated response to stimuli in the region of tissue damage is called primary 121 hyperalgesia.(Kaufman et al. 2005, Latremolier & Woolf 2009) 122 *Central sensitization* Central sensitization refers to enhanced functional status of pain circuits 123 and pain processing at the level of the central nervous system (CNS).(Brennan 2011; 124 Latremoliere & Woolf 2009; Woolf 2011) Both secondary hyperalgesia, which is an increase in

- pain intensity to noxious stimuli outside of the area of tissue damage, and allodynia, which refers to pain perception following innocuous stimuli such as light touch, are characteristics of central
- 127 sensitization.(Woolf 2011) *Pain modulation* Modulation of pain pathways occurs through CNS
- 128 excitatory and inhibitory processes. Ascending facilitating and descending inhibitory processes
- 129 enhance or suppress the pain experience, respectively.(Latremoliere & Woolf 2009) Both
- 130 pharmacologic and nonpharmacologic methods target these processes to alter pain
- 131 processing.(Stinson et al. 2016; Buvanendran et al. 2013)
- 132
- 133 Pain assessment Ethnic, cultural, and language factors may influence expression and assessment
- 134 of pain.(Lee et al. 2014) Pain assessment is an integral component of the dental history and
- 135 comprehensive evaluation. When symptoms or signs of orofacial/dental pain are evident, a
- 136 detailed pain assessment helps the dentist to derive a clinical diagnosis, develop a prioritized
- 137 treatment plan, and better estimate analgesic requirements for the patient. Pain is difficult to
- 138 measure due to its subjectivity, especially in children, (Jain 2012; Randall et al. 2020) and often
- 139 relies on the report of parents or caregivers. In clinical practice, pain assessment is largely non-
- 140 standardized and based on signs and symptoms rather than specific tools. (Randall et al. 2020)
- 141

142 Pain is can be assessed using self-report, behavioral (vocalization, facial expression, body 143 movement), and biological (heart rate, transcutaneous oxygen, sweating, stress response) 144 measures.(McGrath & Unruh, 2013) Direct questioning or a structured, comprehensive pain 145 assessment can be clinically beneficial for pediatric and adolescent patients. (McGrath & Unruh 146 2013; Gouri et al. 2010) Conducting a structured interview begins with asking specific questions 147 regarding pain onset, provoking factors, palliative factors, quality or character, region or 148 location, severity or intensity, timing or duration, and impact on daily activities(Chou 2016). 149 Obtaining information through self-report can be aided by asking the child to make comparisons, 150 using temporal anchors and facilitating communication through objects or gestures.(McGrath & 151 Unruh 2013) Assessing behavioral reactions and physiological reactions to pain are required in 152 non-verbal patients, and young patients, and patients with special health care needs. (McGrath & 153 Unruh 2013) Pain experienced by children with special health care needs or developmental 154 disabilities is more challenging to assess accurately, and assessment may benefit from the

155	utilization of scales that rely on observations such as vocalization, facial expressions, and body
156	movements.(Jain 2012)Four- to 12-years-old patients can likely quantify pain based on a series
157	of faces.(McGrath et al. 2008) Patients older than seven should be able to mark pain using a
158	visual analogue scale (VAS) or numeric scale.(McGrath et al. 2008; Hauer & Jones 2020)
159	Validated instruments available for assessing pain in verbal or nonverbal patients include: Wong-
160	Baker FACES <sup>®</sup> , Faces Pain Scale (Revised), visual analogue scale (VAS), numeric rating scale
161	(NRS), Faces, Legs, Activity, Cry, and Consolability score (FLACC), Revised Faces, Legs,
162	Activity, Cry and Consolability (r-FLACC), and the McGill Pain Questionnaire.(McGrath et al.
163	2008; Hain et al. 2012; AAPD P_Pain Management; Jain 2012; Hauer & Jones 2020)
164	Additionally, Eethnic, cultural, and language factors may influence the expression and
165	assessment of pain.(Lee et al. 2014)
166	
167	Pain categories Pain may be divided into diagnostic categories such as somatic, visceral, and
168	neuropathic.(Kent et al. 2017; Fillingim et al. 2014; Betsch et al. 2017; Zeltzer et al. 2016) Pain
169	encountered in dentistry is typically inflammatory and categorized as somatic (i.e., periodontal,
170	alveolar, mucosal) or visceral (i.e., pulpal) pain.(De Leeuw & Klasser 2013)
171	
172	Pain also may be categorized as acute or chronic. Acute pain that fails to respond to treatment
173	may become chronic over time.(Batoz et al. 2016) Chronic pain refers to pain that is
174	dysfunctional and persists beyond the time for typical tissue healing.(IASP 1986, Palmero et al.
175	2012; Dowell et al. 2016; Grégoire & Finley 2013; Sessel 2014) Chronic pain is a costly public
176	health problem that is difficult to treat (Gewandter 2015, WHO Guideline 2021).
177	Temporomandibular disorder (TMD) is an example of a chronic pain condition encountered in
178	dentistry.(AAPD BP_Acquired TMD)
179	
180	Pain management
181	Pre-emptive pain management
182	Pre-emptive pain management refers to the administration of an anesthetic agent, medication, or
183	technique prior to a surgical event with the goal of decreasing pain. Goals of pre-emptive pain
184	management include attenuating central sensitization, decreasing postoperative pain, improving

185	recovery, and reducing postoperative analgesic consumption.(Kaufman et al. 2005; Buvanendran
186	et al. 2013; Raslan and Zouzou 2021; Ashley et al. 2016) Postoperative pain management in
187	pediatric patients has been suboptimal in large part because of the misconception that children do
188	not feel pain as severely as adults do parents frequently do not adequately treat pain that is
189	experienced at home (Rony et al. 2010Kankkunen et al. 2003) and the fear of adverse
190	events.(Finley et al. 2005) Pain after dental treatment under general anesthesia frequently is
191	related to the total number of teeth treated. (Hu et al. 2018). It has been shown that nNearly 50
192	percent of patients undergoing dental rehabilitation describe moderate to severe pain(Wong et al.
193	2015), and data supports pre-emptive measures to optimize pain control for a variety of dental
194	and surgical procedures.(Chou et al. 2016; Raslan and Zouzou 2021; Kaye et al. 2017, Kharouba
195	2019) However, level of evidence is low due to sparse well-controlled trials.(Shirvani et al. J
196	Oral Rehab 2017; Ashley et al. 2016; Kaye et al. 2017)
197	
198	Achieving profound anesthesia prior to initiating invasive treatment decreases central
199	sensitization.(Chou et al. 2016) Topical anesthetics are used in a dentistry to minimize pain;
200	however, these medicaments alone may not be sufficient for dental procedures.(Boyce et al.
201	2016; Shavit et al. 2017) Topical anesthetics and over-the-counter products containing
202	benzocaine have been used for minor procedures and to manage oral pain, teething, and ulcers
203	(Malamed 2019). However, benzocaine use in children has been linked to methemoglobinemia, a
204	life-threatening condition. (Gutenberg et al. 2013) In 2018, the U.S. Food and Drug
205	Administration (FDA) issued a post-market warning against the use of these products for
206	children younger than two years and that the products must have warning labels regarding
207	methemoglobinemia. (US FDA Risks) Local anesthetic administration techniques, the
208	anesthetic properties, and the needle used during injection Other factors that may contribute to a
209	patient's pain experience are the anesthetic properties and the needle used during the
210	injection.(Glass et al. 2015) Distraction techniques made at the time of the injection (e.g. jiggling
211	the patient's cheek, applying pressure to the palate with a mirror handle) take advantage of Aß-
212	fiber signal dominance and can significantly reduce the intensity of pain-related C-fiber
213	signaling.(Glass et al. 2015, Malamed 2019) Buffering or decreasing acidity of local anesthetic
214	using sodium bicarbonate can decrease injection site pain and postoperative discomfort by

- 215 increasing the pH of the anesthetic. (Tirupathi 2020). A recent systematic review demonstrated
- 216 lower pain scores following inferior alveolar block injections in children when buffered versus
- 217 non -buffered local anesthesia was used; however, there was no difference in observer-reported
- 218 pain behavior (Tirupathi 2020). This is a well-accepted technique in medicine but has not been
- 219 commonly used in dentistry.(Glass et al. 2015; Malamed et al. 2013) Finally, decreasing
- 220 anesthetic delivery rate also has demonstrated pain reduction during injection.(Garret-Bernardin
- et al. 2017)
- 222
- 223 In one study, the <u>The</u> use of pre-emptive analgesics in conjunction with local anesthetics <u>has</u>
- 224 <u>been shown to increase increased</u> the ability to achieve pulpal anesthesia in patients with
- irreversible pulpitis when compared with placebo-(Shirvani et al. Clin Oral Investig 2017) and to
- 226 <u>suppress the intensity of injection pain and reduce pain following extractions. (Raslan and</u>
- 227 <u>Zouzou 2021, Kharouba 2019</u>) The pre-emptive analgesics most commonly used in dentistry are
- 228 NSAIDs (e.g., ibuprofen) and acetaminophen, either alone or in combination.(Baygin 2011
- 229 Raslan and Zouzou 2021) Analgesics with sedative properties are often administered during the
- 230 pre-, peri-, <u>orand</u> post-operative periods when moderate to severe pain is anticipated.(Pacheco &
- Ferayorni 2013; AAPD BP\_Use of N2O; Laskarides 2016; Conner et al. 2017)
- 232

#### 233 Use of local anesthesia during general anesthesia

- Although pain is not experienced during general anesthesia, central sensitization occurs when
- peripheral nerves are stimulated.(Chou et al. 2016; Needleman et al. 2008; Keles &
- 236 Kocaturk2017) Operating without local anesthesia may result in priming of CNS neurons and
- 237 increased future pain sensitivity.(Baccei & Fitzgerald 2013) Central sensitization is minimized
- 238 with pre-emptive analgesia or anesthesia. For this reason, regional block or infiltration anesthesia
- 239 is commonly performed prior to surgical procedures to decrease postoperative pain.(Kaufman et
- al. 2005; Townsend et al. 2009; AAPD BP Local Anesthesia) However, pharmacologic and
- 241 cardiac considerations along with avoiding the numb sensation and potential for self-inflicted
- oral trauma are reasons providers may choose not to provide local anesthesia during general
- 243 anesthesia.(AAPD BP\_Local Anesthesia; Parekh et al. 2014)
- 244

#### 245 Non-pharmacologic approaches to pain management

246 Studies suggest that nonpharmacologic interventions may be effective alone or as adjuncts to 247 pharmacological interventions in managing procedure-related pain, anxiety, and distress with 248 minimal risk of adverse effects.(Dostrovsky 2014; Landier & Tse 2010; Fein et al. 2012; Lewin 249 & Dahl 1999) Fear and anxiety activate circuits within the CNS that facilitate pain. (Palmero et 250 al. 2012) Creating a safe, friendly environment may help a child feel more comfortable and less 251 stressed.(Fein et al. 2012; Ruest & Anderson 2016) The American Academy of Pediatrics (AAP) 252 and the American Pain Society (APS) recommend that providers reduce distress-producing 253 stimulation and provide a calm environment for procedures to improve pain management. 254 (AAP/APS 2001) Emotional support is a key component in creating a comfortable environment. 255 (Sinha et al. 2006) Although there is no evidence that the presence of parents decreases pain, 256 there is data to support that it may decrease the child's anxiety and distress.(Ruest & Anderson 257 2016) Conversely, parental catastrophizing has been associated with poor outcomes for pediatric 258 pain management.(Rabbitts et al. 2017) The AAP and APS jointly advise expectation 259 management for parents along with preparation for comforting their children when pain is 260 anticipated.(AAP/APS 2001) Individual studies have shown the efficacy of psychologic 261 techniques, including preparation and information, parent coaching or training, suggestion, 262 memory alteration or change, and coping self-statements.(Lyons 2009; Birnie et al. 2018 Uman 263 et al. 2007; Goettems 2017) However, a 2013 Cochrane review concluded that there is no strong 264 evidence available to support the efficacy of preparation and information, combined cognitive or 265 behavioral strategies, parent coaching plus distraction, or suggestion for reducing needle-related 266 pain and distress.(Uman et al. 2013)

267

#### 268 Distraction and imagery

Distraction is an effective method of pain management in the pediatric population.(Lee et al.
2014; Davidson et al. 2016) It can be cognitive (e.g., counting, non-procedural talk) or
behavioral (e.g., videos, games), both of which aim to shift attention away from pain. Distraction
techniques such as bubbles, counting, conversation, music, television, toys, and video games
may be used by health care providers or the child's caregiver.(Fein et al. 2012; Ruest Anderson
2016) There is sStrong evidence supportsing the efficacy of distraction techniques for needle-

- 275 related pain and distress in children and adolescents.(Uman et al. 2013) Distraction has been 276 shown to be is significantly effective when measuring pulse rates, respiratory rates, and self-277 reported pain.(AAP/APS 2001; Ruest & Anderson 2016) Additionally, distraction intervention 278 has been shown to lower the perception of pain distress in younger children as reported by 279 parents.(Sinha et al. 2006) Distraction techniques may be of great use with patients with special 280 needs that who have shortened attention spans and are unable to cannot understand verbal 281 reasoning or reassurance.(Lyons 2009) Distraction, hypnosis, combined cognitive behavior 282 therapy (CBT), and breathing interventions have been effective in reducing children's needle-283 related pain or distress, or both. (Birnie et al. 2018) 284 285 Imagery guides the child's attention away from the procedure by harnessing imagination and 286 storytelling. Imagery in combination with distraction hasve been shown to be helpful in 287 decreaseing postoperative pain in children.(Davidson et al. 2016; Bukola & Paula 2017) This 288 technique requires the active cooperation of the patient and is most effective when used for 289 children over eight years old.(Landier & Tse 2010) 290 291 *Hypnosis Hypnotherapy* 292 Hypnotherapy aims to alter sensory experiences and dissociate from pain experiences, and 293 hypnosis is best for children of school age or older (Birnie et al 2018, Kohen 2014). school-aged 294 or older children.(Zeltzer et al. 2016) There is strong evidence that hypnosis hypnotherapy is 295 effective in reducing needle-related pain and distress in children and adolescents:-(Birnie et al 296 2018, Uman et al. 2013; Ramírez-Carrasco et al. 2017) however, tThere is no evidence that 297 hypnosis-hypnotherapy alone is capable of producing an anesthetic effect necessary for invasive 298 dental procedures.; therefore, it should always be combined with profound local 299 anesthesia.(Ramírez-Carrasco et al. 2017) 300 301 *Virtual reality and smart phone applications* 302 The use of digital distraction can provide distraction and reduction in pain and distress for 303 children undergoing painful procedures. (Gates et al. 2020; Cunningham et al. 2021) The use of
  - 304 virtual reality, video games, and smartphone applications has shown a reduction in self-reported

- 305 and observer-reported pain and distress during common procedures such as venipuncture and
- 306 dental and burn treatments. (Gates et al. 2020). Further studies are needed to assess the benefits
- 307 of distraction with a tablet compared to audiovisual glasses during dental procedures.
- 308 (Cunningham et al. 2021)
- 309
- 310 Other techniques
- 311 Studies have shown efficacies for pediatric pain management with other techniques such as
- 312 relaxation and breathing exercises, transcutaneous electrical nerve stimulation, acupuncture,
- 313 counterstimulation, virtual reality, video modelling, and music therapies. (Goettems et al. 2017;
- Davidson et al. 2016; Eccleston et al. 2014; Brown et al. 2017; Munshi et al. 2000; Kasat et al.
- 315 2014; Aminabadi 2008 Monteiro et al. 2020; Klassen et al. 2008) Additional research is need on
- 316 these interventions to measure their effectiveness. (Monteiro et al. 2020)
- 317

#### 318 Pharmacologic/therapeutic aAgents

- 319 Management of pain in children is changing rapidly as a result of improvements in the
- 320 appreciation of pediatric pain and pharmacologic knowledge. However, randomized controlled
- trials are lacking in children so the use of many pain medications are is still considered off
- 322 label.(Hartling et al. 2016; Walco et al. 2017) Acetaminophen, ibuprofen, and opioids are
- 323 common medication choices for the treatment of acute pain in children.(Lee et al. 2014; Hartling
- 324 et al. 2016). Acetaminophen and ibuprofen are recommended as first-line medication choices for
- 325 the treatment of acute pain in children. (Kelley JAM 2021; Lee et al. 2014; Hartling et al. 2016;
- 326 Koh et al. 2019). Both have been shown to have good efficacy and safety and are also cost-
- 327 effective analgesics. (Koh et al 2019; Timmerman and Parashos 2020) The use of opioids in
- 328 <u>children carries risks. (Kelley JAM 2021, Teoh 2020; Scrivani et al. 2021)</u>
- 329 Non-opioid analgesics
- 330 Nonsteroidal anti-inflammatory drugs. NSAIDs are among the most commonly used class of
- drugs and have anti-inflammatory, analgesic, antipyretic, and antiplatelet properties.(Kokki
- 332 2003) They inhibit prostaglandin synthesis, with specific action on cyclooxygenase (COX), the
- 333 enzyme responsible for converting arachidonic acid into pro-inflammatory mediators that drive
- 334 postoperative pain, swelling, and hyperalgesia.(Laskarides 2016; Teoh 2020) Representatives of

335 the major categories of NSAIDs are salicylic acids (aspirin), acetic acids (ketorolac), proprionic 336 acids (ibuprofen, naproxen), and cyclooxygenase-2 selective (celecoxib). Ibuprofen in oral or 337 intravenous (IV) form is a safe and commonly used analgesic and antipyretic agent in pediatrics. 338 (Kokki 2003; Koh et al. 2019). Ketorolac, an IV or intranasal NSAID, is useful in treating 339 moderate to severe acute pain in patients unable or unwilling to swallow oral NSAIDs.(Zeltzer et 340 al. 2019<del>2016</del>; Keles & Kocaturk 2017; Neri et al. 2013) Some of the adverse effects associated 341 with NSAIDs include: rash, inhibition of bone growth and healing, gastritis with pain and bleeding, decreased renal blood flow and kidney dysfunction, reversible inhibition of platelet 342 343 function, hepatic dysfunction, and increased incidence of cardiovascular events.(Zeltzer et al. 344 2019<del>2016</del>; Gosnel and Thikkurissy 2019) A specific concern with NSAIDs is the potential to 345 exacerbate asthma due to a shift in leukotrienes.(Hartling et al. 2016). Due to shared pathways, 346 combined NSAIDs and corticosteroid steroidal anti-inflammatory medications (e.g. prednisone) 347 use may increase the potential for gastrointestinal bleeding should not routinely be co-348 administered.(Moore 2015, Becker 2010) 349

350 Acetaminophen (acetyl-para-aminophenol [APAP], paracetamol). Acetaminophen is an analgesic 351 with efficacy for mild to moderate pain and is also an antipyretic. (Becker 2010) Unlike NSAIDs, 352 acetaminophen is centrally-acting and does not have anti-inflammatory effects or an effect on 353 gastric mucosal lining or platelets. (Becker 2010) Its mechanism of action is the blockade of 354 prostaglandin and substance P production. Allergic reactions are rare, (Gosnell and Thikkurissy 355 2019) but toxicity from overdose may result in acute liver failure (Drew 2015). Acetaminophen 356 is can be administered in tablets, capsules, and liquid but also is also available as oral 357 disintegrating tablets, oral disintegrating films, and rectal and IV forms. (Laskarides 2016) 358 Studies have shown that rRectal administration has somewhat higher bioavailability and faster 359 onset than the oral route since it partially bypasses hepatic metabolism.(Shah et al. 2014) Pain 360 control can be optimized when acetaminophen and NSAIDs are alternated or staggered, a 361 technique known as multimodal therapy.(Hartling et al. 2016; Becker 2010; Ong et al. 2010) 362 363 *Opioid analgesics* 

364 Opioid analgesics historically have been used for many years to produce profound pain relief in 365 all age groups. Opioid analgesics are considered for acute moderate to severe pain refractory to 366 other therapies. However, opioids only interrupt the nociceptive pathway to inhibit pain perception and do not target inflammation (Teoh 2020), which is an integral part of managing 367 368 dental pain. Common uses in pediatric patients include pain associated with cancer, sickle cell 369 disease, osteogenesis imperfecta, epidermolysis bullosa, and neuromuscular disease.(Schechter 370 & Waldo 2016: Cooper et al. 2017: Fortuna et al. 2010) Limited studies are available regarding 371 postoperative opioid use in pediatric dentistry, but it is also rareperhaps because it is rare that 372 pediatric dental patients should require opioid analgesics following dental treatment. (Laskarides 373 2016) However, opioid/nonopioid combination mediations followed by oxycodone and morphine 374 were the most common analgesics prescribed to children during post-operative emergency room 375 encounters (Stake 2022). Major concerns of opioid analgesics in the pediatric population are 376 efficacy, safety, misuse, and accidental deaths.(Walco et al. 2017; Van Cleve Grigg 2017; Rudd 377 et al. 2016) 378 379 Opioids interact differentially with  $\mu$ ,  $\kappa$ , and  $\delta$  receptors in the central nervous system. Opioid 380 agonists act on receptors located in the brain, spinal cord, and digestive tract. Pathways of opioid 381 receptor signaling are multiple and include G-protein receptor coupling, cyclic adenosine 382 monophosphate inhibition, and calcium channel inhibition. (Laskarides 2016) Activation of

383 opioid receptors can cause respiratory depression, pupil constriction (miosis), euphoria, sedation,

384 physical dependence, endocrine disruption, and suppression of opiate withdrawal.(Zeltzer et al.

385 <u>2019</u><del>2016</del>) Pruritus (itching) may also occur due to histamine release that accompanies some

386 opioid analgesics.(Pacheco & Ferayorni 2013) Naloxone is a μ opioid receptor competitive

387 antagonist usually administered parenterally to counter opioid overdose.(Laskarides 2016) Pain

388 medicine specialists (e.g. pain physicians, anesthesiologists) are experienced in continuing,

389 <u>tapering, or discontinuation of opioids in If patients who are actively prescribed opioids for</u>

390 cancer or non-cancer<u>other</u> pain, providers should choose another agent for analgesia or consult

391 with a specialty provider (e.g., pain medicine practitioner, anesthesiologist) regarding opioid

392 dosing.(Walco et al. 2017, WHO 2020)

393

Opioids with active metabolites. Codeine has more adverse effects and limited efficacy for 394 395 dental pain when compared to over-the-counter analgesics. (Teoh 2020) Codeine, tramadol, and 396 hydrocodone, and to a lesser extent oxycodone and fentanyl, are opioids that are broken down in 397 the liver to active metabolites by the highly variable cytochrome enzyme, CYP2D6.(AAPD P-398 Pain Management; Becker 2010; US FDA 2017; Tobias et al. 2016, Crews 2021) Some opioid 399 analgesics<del>These drugs</del> are ineffective in certainsome children due to poor drug metabolism. 400 (Dostrovsky et al. 2014; Tobias et al. 2016) Yet, other patients known as hyper-metabolizers 401 hydrolyze break these prodrugs to their active forms too quickly, potentially resulting in 402 overdose, respiratory depression, and even death.(US FDA 2017; Tobias et al. 2016) The U.S. 403 Food and Drug Administration (FDA) and AAP and European Medicines Agency have issued 404 warnings and safety communications contraindications statements on codeine and tramadol over 405 the past few years because of this.(US FDA safety communication 2017; Tobias et al. 2016 406 European Medicine Agency) Hydrocodone and oxycodone also relyies on cytochrome p450 407 metabolism and haves the potential for similar adverse effects. (Crews 2021) Although systematic 408 reviews have demonstrated that these medications might provide appropriate analgesia when 409 compared to placebo, evidence is not convincing they outperform non-opioid analgesics, and 410 safety concerns exist.( (Schnabel et al. 2015; Dancel et al. 2017) In 2017, the FDA issued a 411 warning specifically for codeine and tramadol in all patients less than 12 years of age, stating 412 they are no longer considered safe to use in all patients less than 12 years of agein this age 413 group.(US FDA 2017) Deaths have occurred in children using these medicines for post 414 tonsillectomy and/or adenoidectomy pain management, general pain, sore or strep throat pain, 415 and cold and cough.(US FDA 2017; Tobias et al. 2016) The FDA warns that in the 12-17-year 416 age group, these medications should not be used in high-risk patients (e.g., those with obesity, 417 obstructive sleep apnea, lung tissue disease).(US FDA 2017) Furthermore, tramadol and codeine 418 should not be used if breastfeeding since active metabolites are present in breastmilk.(US FDA 419 safety communication  $\frac{2017}{2017}$ 420

421 **Opioids without active metabolites.** Inactive metabolites refer to metabolites that do not have a

422 noticeable effect on the CNS. Naturally-occurring morphine and the synthetics oxycodone and

423 fentanyl do not have CYP2D6 considerations since they do not contain active

424 metabolites.(Becker 2010) Although morphine causes respiratory depression and histamine 425 release, it consistently provides rapid relief of severe pain for 2-3 hours (Zeltzer 2019). To that 426 point, the pPotency of all opioids is compared to morphine using a morphine milligram equivalent dose (Zeltzer 2019, CDC). Considering the variability of drug metabolism, safety 427 428 concerns, and the experience of pain, the "right dose" for everyone does not exist (Zeltzer 2019). 429 Morphine provides rapid relief of severe pain for 2-3 hours and is associated with histamine 430 release and respiratory. For example, fentanyl is 100 times more potent than morphine, ultra-431 short acting, and used for invasive procedures and sedations.(Zeltzer et al. 2019<del>2016</del>) Chest wall 432 rigidity is a well-known adverse reaction to fentanyl.(Zeltzer et al. 2019<del>2016</del>) Rapidly-acting 433 oxycodone has a longer half-life than morphine and is more potent (Zeltzer 2019). Oxycodone is 434 available as a single agent or is combined with aspirin, ibuprofen, or acetaminophen. It comes in 435 tablets, capsules, oral solution, and oral concentrate, and use is considered off label in children 436 12 years of age and younger. (Laskarides 2016, Stake 2022) 437 438 Opioid concerns and Centers for Disease Control and Prevention (CDC) and World Health 439 Organization (WHO) recommendations. Trends in opioid overdose, opioid misuse, and 440 concerns for opioid addiction prompted the CDC and the World Health Organization (WHO) to 441 issue guidelines for prescribing opioids for chronic pain.(Dowell et al. 2016) The CDC guideline 442 focuses on adults while the WHO guideline relates specifically to children (Dowell 2016, WHO 443 2020). Although chronic pain is the focus of the guidelines, both The guideline aims to improve 444 prescribing practices and to ultimately benefit patient safety, emotional well-being, health and 445 quality of life.(Tompkins et al. 2017, Dowell, WHO) Although the guidance is specific for adults 446 with chronic pain, all prescribers should be mindful of high-risk prescribing practices.(Tompkins 447 et al 2017) The topics covered in the guidelines include recommends limiting opioids for 448 moderate to severe pain, restricting opioid prescriptions to three days, and providing concurrent 449 pharmacologic and non-pharmacologic therapy, and following accepted protocols for 450 procurement, storage, and the disposal of unused opioids.(Dowell et al. 2016, WHO 2020) The 451 CDC guideline also advises against overlapping benzodiazepines and opioids prescriptions 452 because of the increased potential for respiratory depression. (Dowell et al. 2016) Dentists can

- have a role in decreasing the overall availability of opioids for nonmedical use and abuse in the
   home and community.(DePhillips et al. 2017)
- 455

456 Deaths due to opioid overdoses are at reached record highs prompting the CDC to declare an 457 opioid epidemic in 2011.(Rudd et al. 2016; DePhillips et al. 2019-2017) The pediatric mortality 458 rate for opioid pPoisoning deaths increased of opioids nearly threefold quadrupled from 1999 to 459 201611, with nearly 9,000 children and adolescents in the United States dying as a result of 460 opioids the most recent data at 5.4 per 100,000 individuals. (DePhillips et al. 2019 2017) A trend 461 towards increased pediatric emergency department visits due to opioid ingestion and a greater 462 than 5 fivefold increase in overdose death rates in the 15-24-year age group also have been 463 demonstrated.(DePhillips et al. 2019 2017) Since commercial opioids often are combined with 464 acetaminophen, the potential for hepatic failure from toxic levels of acetaminophen also must be 465 considered.(Drew 2015) As previously stated, providers treating pediatric and adolescent 466 populations should avoid prescribing opioid analgesics when patients are using 467 benzodiazepines. (Dowell et al. 2016) Risky use of opioids among children and adolescents is a 468 growing trend, and the concern for opioid use disorder in adolescents is significant.(Allareddy et 469 al. 2017; McCabe et al. 2017) Since commercial opioids often are combined with 470 acetaminophen, the potential for hepatotoxicityic failure from toxic levels of acetaminophen also 471 must be considered is an accompanying concern. (Drew 2015) In 2016, the American Academy of 472 Pediatrics released a policy statement that recommended timely intervention to curb opioid use 473 disorder with the goal of eliminating long-term medical, psychiatric, and social consequences of 474 ongoing substance abuse. (Citation needed Tobias et al. 2016) 475

<u>Opioid rRisk mitigation involves begins with understanding how to recognizeing drug-seeking</u>
behavior.(Shaefer et al. 2016) To address the potential risk of opioid <u>misuse/abuse</u>, screening
patients prior to prescribing opioids should be has been advocated as standard practice.(Dowell
et al. 2016) Screening commonly is performed with adult patients using a variety of screening
tools.(Smith et al. 2015) Although screening adolescents for opioid abuse or misuse has been
suggested<u>However</u>, a standardized assessment <u>for adolescents</u> has not been identified.(Walco et al. 2017; Smith et al. 2015) Therefore, the practitioner should, at a minimum, perform a thorough

483 review of medical history including analgesics used in the past is indicated before prescribing. 484 (Walco et al. 2017) Despite the fact that screening of parents is recommended by the AAP, this is 485 not a common practice.(Lane et al. 2007; Spehr et al. 2017) Nonetheless, screening is essential 486 for identifying children at risk of opioid exposure in the home. It also is known that cChildren of 487 parents who abuse opioids are at an increased risk for neglect and often suffer from parental 488 instability and lack of structure in the home. (Spehr et al. 2017) Therefore, behavioral health 489 support may be required for emotional disturbances such as drug abuse, depression, or post-490 traumatic stress disorder.(Spehr et al. 2017) 491 For professionals who suspect patients have misuse/abuse issues, the FDA, National Institutes of 492 493 Health, National Institute on Drug Abuse, the American Dental Association, and state 494 prescription drug monitoring programs have resources available to review the history of 495 prescriptions for controlled substances which may decrease their diversion.(NIH 2014) 496 Transparent discussion about the potential for physical and/or psychological dependence is a 497 critical component of safe opioid practices in the adolescent population. of medication use with 498 teens is important. (AAPD P Substance Misuse, Kelley 2020) Furthermore, discussion regarding 499 the proper disposal of unused controlled medications is key to reducing availability/diversion of 500 opioidssubstances with the potential for abuse or for physical and/or psychological 501 dependence. (AAPD P Substance Misuse, Kelley JAM 2020). Safeguarding of opioids stored in 502 offices for sedation can be accomplished by following security requirements for dispensers of 503 controlled substances (21CFR 1301-75 Title Drug Enforcement Administration Dept of Justice). 504

#### 505 Recommendations

506 Infants, children, and adolescents can and do experience pain due to dental/orofacial injury,

507 infection, and dental procedures. Inadequate pain management may have significant physical and

508 psychological consequences for the patient. Adherence to the following recommendations can

- 509 help practitioners prevent or substantially relieve pediatric dental pain and minimize risk of
- 510 associated morbidities. <u>Practitioners should:</u>
- 511 1. <u>assess p</u>Pain assessment should be considered for all patients as part of the dental history.

- <u>avoid sensitization by using Careful techniques should be used</u> to minimize <u>stimulation and</u>
   tissue damage when providing dental treatment.
- 514 3. achieve p<del>P</del>rofound anesthesia <del>should be achieved</del> prior to invasive treatment.
- 515 4. use of-pre-emptive analgesia should be considered when moderate to severe postoperative
- 516 pain is anticipated.
- 5. <u>manage odontogenic and non-odontogenic pain with combined nN</u>onpharmacologic
- 518 techniques (e.g., distraction) and pharmacologic should carefully be considered as
- 519 potentially valuable interventions for pain management.
- 520 6. <u>use APAP/NSAIDs should be used</u> as first-line pharmacologic therapy for pain management.
- 521 7. Uuse caution and carefully assess benefits and risks of adverse events when considering
- 522 prescribing of opioids should be rare for pain management infor pediatric children and
   523 adolescentsdental patients.
- To help-minimize the risk of opioid <u>misuseabuse</u>, pediatric patients and their parents should
   be screened by screening patients and parents regarding previous/current opioid use before
   prescribing opioid analgesics.
- 527 9. To avoid diversion of controlled substances, practitioners should utilize prescription
- monitoring databases and <u>encourageinform parentspatients</u> to properly discard <del>any</del>-unused
   medications to avoid diversion of controlled substances.
- 530 10. <u>inform parents of Providers should be knowledgeable of risks associated with prescribed and</u>
- 531 <u>over-the-counter</u> analgesic medications and anticipate and manage adverse effects (e.g.,
- asthma and NSAIDs, sedation and opioids.)
- 533 11. Sseeking expert consultation for patients with chronic pain or other complicated pain
   534 condition should be considered.

535 12. Providers should be familiar with analgesic properties of agents when used in conjunction
 536 with during sedation or general anesthesia.

- 537 13. Prescribing opioid analgesics should be avoided strongly advise against opioids in high-risk
- 538 patients (e.g. obesity, obstructive sleep apnea, lung tissue disease, if the patient is using
- 539 benzodiazepine<u>s use)</u>.

- 540 14. use an alternating schedule of APAP and NSAIDS for multi-modal pain management if
- 541 <u>single-agent therapy is ineffective Synergistic effects from multiple medications (multimodal</u>
   542 <u>analgesia</u>) may be considered.
- 543
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2022 proposed changes/additions to oral health policies and clinical recommendations of the American Academy of Pediatric Dentistry

# This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

This best practice provides clinicians with guidance to form decisions about restorative dentistry, including

### <sup>1</sup> Pediatric Restorative Dentistry

- 2
- 3 Latest Revision
- 4 <del>2019</del> <u>2022</u>
- 5

7

6 Abstract

8 when treatment is necessary and which techniques and materials are appropriate for restorative dentistry 9 in pediatric patients. Not every caries lesion requires restoration, and restorative treatment of caries alone 10 does not stop the disease process. Further, restorations have finite lifespans. Restorative approaches 11 and supporting evidence for the excavation and restoration of deep caries lesions, including complete 12 excavation, stepwise (i.e., two-step) excavation, partial (i.e., one-step) excavation, and no removal of 13 caries prior to restoration, are discussed. Further research on long-term effectiveness of resin infiltration 14 for small, non-cavitated interproximal lesions is recommended. The evidence for and against the use of 15 amalgam, composite, glass ionomer and resin-modified glass ionomer cements, compomers, stainless steel crowns, and anterior crowns has been summarized. Practitioners should familiarize themselves with 16 17 such evidence to inform their clinical decisions regarding pediatric restorative dentistry. 18 19 This document was developed through a collaborative effort of the American Academy of Pediatric 20 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and guidance 21 regarding restorative dental care for children. 22 23 KEYWORDS: DENTISTRY, OPERATIVE, DENTAL MATERIALS, DENTAL RESTORATION, 24 PERMANENT, DENTAL RESTORATION, TEMPORARY, EVIDENCE-BASED 25 DENTISTRY

26

#### 27 ABBREVIATIONS

- 28 AAP: American Academy of Pediatrics. ART: Alternative restorative technique. BPA: Bisphenol A. FDA:
- 29 Food and Drug Administration. GIC: Glass ionomer cement. HT: Hall technique. ITR: Interim therapeutic
- 30 restoration. MIH: Molar-incisor hypomineralization MTA: Mineral trioxide aggregate. PMC: Preformed
- 31 <u>metal crown(s).</u> **RCTs**: Randomized controlled trials. **RMGIC**: Resin modified glass ionomer cements.
- 32 **SDF**: Silver diamine fluoride. **SSC**: Stainless steel crown(s). **UK**: United Kingdom.
- 33

34 Purpose

- 35 The American Academy of Pediatric Dentistry (AAPD) intends these recommendations to help
- 36 practitioners make decisions regarding restorative dentistry, including when it is necessary to treat and
- 37 what the appropriate materials and techniques are for restorative dentistry in children and adolescents.
- 38

#### 39 Methods

- 40 These recommendations originally were developed by the Restorative Dentistry Subcommittee of the
- 41 Clinical Affairs Committee and adopted in 1991.(AAPD 1991) The last comprehensive revision by the
- 42 Council onf Clinical Affairs of this document was occurred in 20192014, (AAPD BP Restorative) and an
- 43 addition regarding the Hall technique (HT) for preformed metal crowns was added in 2016.(AAPD
- 44 G\_Restorative 20162019) A thorough review of the scientific literature in the English language pertaining
- 45 to restorative dentistry in primary and permanent teeth was completed to revise the previous guide-line.
- 46 Electronic database and hand searches using PubMed®/Medline, for the most part between the years
- 47 <u>2000-2012-2022</u>2019, were conducted using the terms: dental caries, intra-coronal restorations,
- 48 restorative treatment decisions, caries diagnosis, caries excavation, dental amalgam, glass ionomers, resin
- 49 modified glass ionomers, conventional glass ionomers, glass ionomer cements, atraumatic/alternative
- 50 restorative technique (ART), interim therapeutic restoration (ITR), resin infiltrations, resin based
- 51 composite, dental composites, compomers, full coverage dental restorations, stainless steel crowns (SSC),
- 52 Hall technique, primary molars, preformed metal crowns. (PMC), strip crowns, pre-veneered crowns,
- 53 zirconia crowns, esthetic restorations; parameters: <u>humans, English, birth through age 18</u>, clinical trials,
- 54 randomized controlled clinical trials (**RCTs**). <u>This search yielded 1,671 articles. Articles were screened</u>
- 55 by viewing titles and abstracts. Articles were chosen for review from these searches and from the
- 56 references within selected articles. When data did not appear sufficient or were inconclusive,
- 57 recommendations were based upon expert and/or consensus opinion by experienced researchers and
- 58 <u>clinicians.</u>
- 59
- 60 Full evaluation and abstraction included examination of the clinical efficacy on specific restorative
- 61 dentistry topics, research methods, and potential for study bias (e.g., patient recruitment, randomization,
- 62 blinding, subject loss, sample size estimates, conflicts of interest, statistics). Research that was considered
- 63 deficient or had high bias was eliminated. In those topic areas for which there were rigorous meta-
- 64 analyses or systematic reviews available, only those clinical trial articles that were not covered by the
- 65 reviews were subjected to full evaluation and abstraction.
- 66

- 67 The assessment of evidence for each topic was based on a modification of the American Dental
- 68 Association's grading of recommendations: strong evidence (based on well-executed RCTs, meta-
- 69 analyses, or systematic reviews); evidence in favor (based on weaker evidence from clinical trials).(ADA
- 70 <del>2013)</del>
- 71

#### 72 Background

#### 73 When to restore

- 74 Historically, the management of dental caries was based on the belief that caries was a progressive
- 75 disease that eventually destroyed the tooth unless there was surgical and restorative intervention.(AAPD
- 76 <u>Caries Risk Assessment 2018</u>Tinanoff & Douglass 2001) It is now recognized that restorative treatment
- of dental caries alone does not stop the disease process( Sheiham 1997) and restorations have a finite
- 78 lifespan.(AAPD Caries Risk Assessment 2018) Conversely, some caries lesions may not progress and,
- 79 therefore, may not need restoration.
- 80
- 81 Contemporary management of dental caries includes identification of an individual's risk for caries
- 82 progression, understanding of the disease process for that individual, and active surveillance to assess
- 83 disease progression. and mManagement with appropriate targeted preventive services, and therapy such as
- 84 <u>silver diamine fluoride are supplemented by restorative therapy when indicated.(AAPD BP\_CarFies Risk</u>
- 85 Assessment; Urquhart et al. 2019<u>; AAPD P\_Silver Diamine Fluoride</u>, )
- 86
- 87 Molar-incisor hypomineralization (MIH) is a developmental defect involving any number of the
- 88 permanent first molars and possibly the permanent incisors as well. This condition presents esthetic and
- 89 restorative challenges due to the range of clinical variation, including hypersensitivity, altered resin bond
- 90 strength, potential for tooth structure loss and a caries presentation that can be unusual. (Giuca et al 2020;
- 91 Somani et al 2021) Restorative treatment options and overall management of MIH depends on the degree
- 92 of affected teeth, potential for breakdown of tooth structure, sensitivity, severity and quality of the dental
- 93 defect in addition to patient preferences and behavior.(Martignon et al 2021; Somani et al 2021)

94

#### 95 <u>When to restore</u>

- 96 With the exception of reports of dental examiners in clinical trials, studies of reliability and
- 97 reproducibility of detecting dental caries are not conclusive.(NIH 2001) There also is minimal
- 98 information regarding validity of caries diagnosis in primary teeth, (Tinanoff & Douglass 2001) as

- 99 primary teeth may require different criteria due to thinner enamel and dentin and broader proximal
   100 contacts.(Nelson 2010)
- 101
- 102 <u>Among the objectives of restorative treatment are to repair or limit the damage from caries, protect and</u>
- 103 preserve the tooth structure, and maintain pulp vitality whenever possible. The AAPD's *Use of Vital Pulp*
- 104 *Therapies in Primary Teeth with Deep Caries Lesions* (Dhar et al. 2017) and *Pulp Therapy for Primary*
- 105 *and Immature Permanent Teeth*(AAPD 2020 state the treatment objective for a tooth affected by caries is
- 106 to maintain pulpal vitality, especially in immature permanent teeth for continued apexogenesis.
- 107
- 108 Furthermore, iIndications for restorative therapy only have been examined superficially because such
- decisions generally have been regarded as a function of clinical judgment.(Bader & Shugars 1992)
- 110 Decisions for when to restore caries lesions should include at least clinical criteria of visual detection of
- enamel cavitations, visual identification of shadowing of the enamel, and/or radiographic recognition of
- enlargement of lesions over time.(AAPD BP Caries Risk Assessment; Ismail et al. 2007; Beauchamp et
- 113 al. 2008)
- 114

115 The benefits of restorative therapy include: removing cavitations or defects to eliminate areas that are

- susceptible to caries; stopping the progression of tooth demineralization; restoring the integrity of tooth
- structure <u>and function</u>; preventing the spread of infection into the dental pulp; and preventing the shifting
- 118 of teeth due to loss of tooth structure. The risks of restorative therapy include reducing the longevity of
- teeth by making them more susceptible to fracture, recurrent lesions, restoration failure, pulp exposure
- 120 during caries excavation, future pulpal complications, and in addition to the risk of iatrogenic damage to
- adjacent teeth.(Downer et al. 1999; Lenters et al. 2006; <u>Slayton 2015</u>)
- 122
- 123 Primary teeth may be more susceptible to restoration failures than permanent teeth.(Hickel et al. 2005)
- 124 Additionally, before restoration of primary teeth, one needs to consider the length of time remaining prior
- to tooth exfoliation.
- 126 *Recommendations:*
- 127 1. Management of dental caries <u>should</u> include<del>s</del> identification of an individual's risk for caries
- 128 progression, understanding of the disease process for that individual, and active surveillance to
- assess disease progression and manage with appropriate preventive services, supplemented by
- 130 restorative therapy when indicated.

- Decisions for when to restore carious lesions should include at least clinical criteria of visual
   detection of enamel cavitation, visual identification of shadowing of the enamel, and/or radiographic
   recognition of progression of lesions.
- 134

#### **135** Deep caries excavation and restoration

- 136 Among the objectives of restorative treatment are to repair or limit the damage from caries, protect and
- 137 preserve the tooth structure, and maintain pulp vitality whenever possible. The AAPD's Use of Vital Pulp
- 138 *Therapies in Primary Teeth with Deep Caries Lesions*(Dhar et al. 2017) and *Pulp Therapy for Primary*
- 139 *and Immature Permanent Teeth*(AAPD <u>2020</u>2018) state the treatment objective for a tooth affected by
- 140 caries is to maintain pulpal vitality, especially in immature permanent teeth for continued
- 141 apexogenesis.(Bjørndal 2010)
- 142
- With regard to the treatment of deep caries, three methods of caries removal have been compared to complete excavation, where all carious dentin is removed. Stepwise excavation is a two-step caries removal process in which carious dentin is partially removed at the first appointment, leaving caries over the pulp, with placement of a temporary filling. At the second appointment, all remaining carious dentin is removed and a final restoration placed.(Bjørndal 2010) Partial, or one-step, caries excavation removes part of the carious dentin, but leaves caries over the pulp, and subsequently places a base and final
- restoration.(Maltz et al. 2012; Maltz et al. 2013) No removal of caries before restoration of primary
- molars in children aged three to 10 years also has been reported.(Innes et al. 2011)
- 151

152 Evidence from RCTs and a systematic review multiple studies shows that pulp exposures in primary and permanent teeth are significantly reduced when using incomplete caries excavation compared to complete 153 154 excavation in teeth with a normal pulp or reversible pulpitis. Two trials and a Cochrane review found that 155 partial excavation resulted in significantly fewer pulp exposures compared to complete excavation.(Lula 156 et al 2009; Orhan et al 2010; Ricketts et al. 2013) One five-year RCT evaluated the pulpal vitality of teeth 157 treated with partial excavation compared to stepwise excavation and found that the success rate was 158 significantly higher in partial excavation (80 percent) versus stepwise excavation (56 percent). (Maltz et 159 al 2018) Two trials of step-wise excavation showed that pulp exposure occurred more frequently from complete excavation compared to stepwise excavation. (Bjørndal 2010; Orhan 2010) There also is 160 evidence of a decrease in pulpal complications and post-operative pain after incomplete caries excavation 161 compared to complete excavation in clinical trials, summarized in a meta-analysis. (Schwendicke 2013) 162

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163

164 Additionally, a meta-analysis found the risk for permanent restoration failure was similar for incompletely

- and completely excavated teeth. (Schwendicke et al. 2013) With regard to the need to reopen a tooth with
- 166 partial excavation of caries, one RCT that compared partial (one-step) to stepwise excavation in
- 167 permanent molars found higher rates of success in maintaining pulp vitality with partial excavation,
- suggesting there is no need to reopen the cavity and perform a second excavation.(Maltz et al. 2012)
- 169 Interestingly, two RCTs suggest that restoration without excavation can arrest dental caries so long as a
- good seal of the final restoration is maintained.(Innes et al 2011; Mertz-Fairhurst et al. 1998)
- 171 *Recommendations:*
- There is evidence from <u>Multiple</u> RCTs and systematic reviews <u>determined</u> that incomplete caries
   excavation in primary and permanent teeth with normal pulps or reversible pulpitis, either partial
   (one-step) or stepwise (two-step) excavation, results in fewer pulp exposures and fewer signs and
   symptoms of pulpal disease than complete excavation. <u>Incomplete caries removal should be</u>
   considered in primary and permanent teeth with deep caries and normal pulp status or reversible
- 177 pulpitis when complete caries removal is likely to result in pulp exposure.
- There is evidence from <u>tTwo</u> systematic reviews <u>reported</u> that the rate of restoration failure in
   permanent teeth is no higher after incomplete rather than complete caries excavation.
- 3. There is evidence that <u>Numerous studies concluded that partial (one-step)</u> excavation followed by
   placement of final restoration leads to higher success in maintaining pulp vitality in permanent
- 182
- 183

#### 184 **Resin infiltration**

185 Resin infiltration is used primarily to arrest the progression of non-cavitated interproximal caries

teeth than stepwise (two-step) excavation.

- 186 lesions.(Paris et al. 2010; Meyer-Lueckel et al. 2012) The aim of the resin infiltration technique is to
- allow penetration of a low viscosity resin into the porous lesion body of enamel caries.(Paris et al. 2010)
- 188 Once polymerized, this resin serves as a barrier to acids and theoretically prevents lesion progression.
- 189 (Dorri et al. 2015; Lee et al 2016)

190

- 191 A systematic review and meta-analysis <u>evaluatinged</u> the effectiveness of enamel infiltration in preventing
- 192 initial caries progression in proximal surfaces of primary and permanent teeth. This review identified
- 193 eight studies for inclusion for quantitative analysis.(Faghihian et al. 2019) Seven of the eight studies
- 194 found that infiltration was significantly more effective than placebo treatment. (Faghihian et al. 2019)
- 195 The meta-analysis compared 470 teeth in the resin infiltration group and 473 in the control group. Caries

- 196 progression was seen in 61 of the infiltration group and 185 of the control group. In three randomized
- 197 clinical trials, resin infiltration, when used as an adjunct to preventive measures, was found to be more
- 198 effective in reducing the radiographic progression of early or incipient proximal lesions on primary
- 199 molars than preventive measures alone over a 24 month period. (Bagher et al 2018; Jorge et al 2019; Sarti
- 200 <u>et al 2020; Tellez et al 2013)</u> Current American Dental Association (ADA) clinical practice guidelines for
- 201 non-restorative treatment for non-cavitated interproximal caries lesions conditionally recommends enamel
- 202 infiltration for treatment of these lesions, (low to very low certainty).(Slayton et al. 2018) Few RCTs
- 203 evaluate the long-term effectiveness of resin infiltration, and further research is recommended. An
- additional use of resin infiltration has been suggested to restore white spot lesions formed during
- 205 orthodontic treatment. Based on a RCT, resin infiltration significantly improved the clinical appearance of
- such white spot lesions and visually reduced their size.(Tellez et al. 2013; Senestraro et al. 2013)
- 207 *Recommendation:*
- There is low to moderate evidence in favor of resin infiltration as a treatment option for small,
   non-cavitated interproximal caries lesions in primary and permanent teeth. Resin infiltration is
   indicated as an adjunct to preventive measures for primary and permanent teeth with small, non-
- 211 <u>cavitated interproximal caries lesions to reduce lesion progression and for white spot lesions to</u>
- 212 <u>improve their clinical appearance.</u>
- 213

2. Further research regarding long-term effectiveness of resin infiltration is needed.

214

#### 215 Dental amalgam

- Dental amalgam has been the most commonly used restorative material in posterior teeth for over 150
   years.(Beazoglou et al. 2007) Amalgam contains a mixture of metals such as silver, copper, and tin, in
   addition to approximately 50 percent mercury.(US DHHS 2010) Dental amalgam has declined in use over
   the past decade,(Beazoglou 2007) perhaps due to the controversy surrounding perceived health effects of
   mercury vapor, environmental concerns from its mercury content, and increased demand for esthetic
- alternatives. (Beazoglou 2007)

222

- 223 With regard to safety of dental amalgam, a comprehensive literature review of dental studies published
- 224 between 2004 and 2008 found insufficient evidence of associations between mercury release from dental
- amalgam and the various medical complaints.(ADA 2009) Two independent RCTs in children have
- examined the effects of mercury release from amalgam restorations and found no effect on the central and
- peripheral nervous systems and kidney function.(Belliger et al. 2006; DeRouen et al. 2006) However, on
- **228** July 28, in 2009, the U.S. Food and Drug Administration (FDA) issued a final rule that reclassified

dental amalgam to a Class II device (having some risk) and designated guidance that included warning 229 230 labels regarding: (1) possible harm of mercury vapors; (2) disclosure of mercury content; and (3) 231 contraindications for persons with known mercury sensitivity. Also in this final rule, the FDA noted that 232 there is limited information regarding dental amalgam and the long-term health outcomes in pregnant 233 women, developing fetuses, and children under the age of six is limited.(US DHHS 2010) 234 In 2020, the FDA published recommendations on the use of dental amalgam in certain populations 235 236 considered high-risk, such as pregnant women, women planning to become pregnant, nursing women, children under six years old, and people with pre-existing neurological disease among others. (FDA 2020) 237 238 The FDA recommended providers avoid the use of dental amalgam in these high-risk populations and consider alternative restorative materials. (FDA 2020) However, the ADA immediately reaffirmed that 239 amalgam is a durable, safe and effective restorative option and that the FDA's recommendations did not 240 cite any new scientific evidence. (ADA 2020) The ADA encourages providers review all options for 241 242 restorations with their patients and review the risks and benefits of amalgam. (ADA 2020) Both organizations recommend that existing amalgam fillings in good condition should not be removed or 243 replaced unless medically necessary. (US FDA 2020, ADA 2020) 244 245 246 With regard to clinical efficacy of dental amalgam, results comparing longevity of amalgam to other 247 restorative materials are inconsistent. The majority of meta-analyses, evidence-based reviews, and RCTs 248 report comparable durability of dental amalgam to other restorative materials, (Heintze & Rousson 2012; 249 Mickenautsch & Yengopal 2012; Yengopal et al. 2009; Manhart et al. 2004; Soncini et al. 2007; Mandari et al. 2003) while others show greater longevity for amalgam. (Bernardo et al. 2007; Qvist et al. 2004) The 250 251 comparability appears to be especially true when the restorations are placed in controlled environments 252 such as university settings.(Heintze & Rousson 2012) 253 Class I amalgam restorations in primary teeth have shown in a systematic review and two RCTs to have a 254 success rate of 85 to 96 percent for up to seven years, with an average annual failure rate of 3.2 percent. 255 256 (Hickel et al. 2005; Soncini et al. 2007; Ovist et al. 2004) Efficacy of Class I amalgam restorations in 257 permanent teeth of children has been shown in two independent RCTs randomized controlled studies to range from 89.8 to 98.8 percent for up to seven years. (Soncini et al. 2007; Bernardo et al. 2007) 258 259 260 With regard to Class II restorations in primary molars, a 20152007 systematic review recommended concluded that amalgam could be utilized in preparations that do not extend beyond proximal line angles. 261

(Fuks 2015) should be expected to survive a minimum of 3.5 years and potentially in excess of seven 262 263 vears.(Kilpatrick & Neumann 2007) For Class II restorations in permanent teeth, one meta-analysis and 264 one evidence-based review conclude that the mean annual failure rates of amalgam and composite are 265 equal at 2.3 percent.(Heintze & Rousson 2012; Manhart et al. 2004) The meta-analysis comparing 266 amalgam and composite Class II restorations in permanent teeth suggests that higher replacement rates of composite in general practice settings can be attributed partly to general practitioners' confusion of 267 268 marginal staining for marginal caries and their subsequent premature replacements. (Heintze & Rousson 269 2012) Otherwise, this meta-analysis concludes that the median success rate of composite and amalgam are statistically equivalent after ten years, at 92 percent and 94 percent respectively.(Heintze & Rousson) 270 271 272 The limitation of many of the clinical trials that compare dental amalgam to other restorative materials is 273 that the study period often is short (24 to 36 months), at which time interval all materials reportedly 274 perform similarly.(de Amorim et al. 2014; Kavvadia et al. 2004; Fuks et al. 2000; Duggal et al. 2002; 275 Donly et al. 1999) Some of these studies also may be at risk for bias, due to lack of true randomization, inability of blinding of investigators, and, in some cases, financial support by the manufacturers of the 276 dental materials being studied. 277 *Recommendation:* 278 279 1. There is strong evidence that dDental amalgam is efficacious in the may be used to restoreation of 280 Class I and Class II cavity restorations in primary and permanent teeth. 281 2. Providers should review the risks and benefits of amalgam restorations with patients. 282 283 Composites 284 Resin-based composite restorations were introduced in dentistry about a half century ago as an esthetic 285 restorative material(Leinfelder 1988; Minguez et al. 2003), and composites increasingly are used in place 286 of amalgam for the restoration of <del>carious</del>caries lesions.(<del>Opdam et al. 2010</del> Heintze & Rousson 2012; Lynch et al 2014) Composites consist of a resin matrix and chemically bonded fillers.(Heintze & Rousson 287 2012) They are classified according to their filler size, because filler size affects physical properties, 288 polishability/esthetics, polymerization depth, and polymerization shrinkage, and physical properties. 289 (Dhar et al 2015) Hybrid resins combine a mixture of particle sizes for improved strength while retaining 290 esthetics.(Burgess et al. 2002) The smaller filler particle size allows greater polishability and esthetics, 291 292 while larger size provides strength. Flowable resins have a lower volumetric filler percentage than hybrid 293 resins.(Pallav et al. 1989) 294

Several factors contribute to the longevity of resin composites, including operator experience, restoration 295 296 size, and tooth position.(Bernardo et al. 2007) Resins are more technique sensitive than amalgams and 297 require longer placement time than amalgams. (Donly & Garcia-Godoy 2015) In cases where isolation or 298 patient cooperation is in question, resin-based composite may not be the restorative material of choice. 299 (Antony et al 2008; Donly, Garcia-Godoy 2015) Additionally, composite may not be the ideal restorative 300 material for primary posterior teeth requiring large multi-surface restorations or high-risk patients with poor oral hygiene, numerous carious teeth and demineralization.(Donly, Garcia-Godoy 2015) 301 302 303 Bisphenol A (**BPA**) and its derivatives are components of resin-based dental sealants and composites. 304 Trace amounts of BPA derivatives are released from dental resins through salivary enzymatic hydrolysis and increase from baseline at 24 hours post-treatment, but return to baseline by 14 days and remain at 305 baseline six months after treatment.(Marzouk 2019) may be detectable in saliva up to three hours after 306 resin placement. (Fleisch et al. 2010) Evidence is accumulating that certain BPA derivatives may pose 307 308 health risks attributable to their estrogenicendocrine-disrupting properties, but no established thresholds for safety and exposure have been determined. (Marzouk 2019) BPA exposure reduction is achieved by 309 cleaning filling surfaces with pumice and cotton roll and rinsing. Additionally, potential exposure can be 310 reduced by using a rubber dam.(Alves dos Santos 2010) Considering the proven benefits of resin based 311 312 dental materials and minimal exposure to BPA and its derivatives, it is recommended to continued useing 313 of these products, while taking precautions to minimize BPA exposure, has been recommended.(Fleisch) 314 315 There is strong evidence from a meta-analysis of 59 RCTs of Class I and II composite and amalgam restorations showing an overall success rate about 90 percent after 10 years for both materials, with 316 317 rubber dam use significantly increasing restoration longevity.(Heintze & Rousson 2012) Other isolation techniques (e.g., dental isolation systems) may be used. Strong evidence from RCTs comparing 318 319 composite restorations to amalgam restorations showed that the main reason for restoration failure in both 320 materials was recurrent caries. (Soncini et al. 2007; Bernardo et al. 2007; Alves dos Santos et al. 2010) 321 322 In primary teeth, there is strong evidence that composite restorations for Class I restorations are successful.(Hickel et al. 2005; Soncini et al. 2007) There is only oOne RCT showeding success in Class II 323 composite restorations in primary teeth that were expected to exfoliate within two years. (Fuks et al. 2000) 324 325 Another RCT comparing total caries removal versus selective caries removal with composite restorations showed a statistically significant higher survival rate with total caries removal after 36 months (81 percent 326 327 to 57 percent). (Liberman et al 2020) In permanent molars, composite replacement after 3.4 years was no

- different than amalgam, (Soncini et al. 2007) but after seven to 10 years the replacement rate was higher
- for composite.(Antony et al. 2008) Secondary caries rate was reported as 3.5 times greater for composite
- 330 versus amalgam.(Bernardo et al. 2007) There is evidence from a<u>A</u> meta-analysis showingconcluded that
- 331 etching and bonding of enamel and dentin significantly decreases marginal staining and detectable
- margins in composite restorations.(Heintze & Rousson 2012) Regarding different types of composites
- 333 (packable, hybrid, nanofilled, macrofilled, and microfilled), there is strong evidence showing similar
- overall clinical performance for these materials.(Dijken & Pallesen 2013; Krämer et al. 2011; Shi et al.
- **335** 2010; Ernst et al. 2006)
- **336** *Recommendations:*
- 1. <u>Resin-based composites can be used as Class I and Class II restorations in primary and permanent</u>
- 338 <u>molars.</u> In primary molars, there is strong evidence from RCTs that composite resins are successful
   339 when used in Class I restorations. For Class II lesions in primary teeth, there is one RCT showing
- 340 success of composite resin restorations for two years.
- 341 2. In permanent molars, there is strong evidence from meta-analyses that composite resins can be used
   342 successfully for Class I and II restorations.
- 343 3. Evidence from a meta-analysis shows enamel and dentin bonding agents decrease marginal staining
   344 and detectable margins for the different types of composites.
- 345 <u>3. Precautions should be used in conjunction with placement of resin-based composites to help</u>
   346 minimize BPA exposure.
- 347

#### 348 Glass-ionomer cements (GIC)

Glass-ionomers cements have been used in dentistry as restorative cements, cavity liner/base, and luting
 cement since the early 1970s. (Wilson & Kent 1972) Originally, glass ionomer materials had long setting

- 351 <u>times andwere difficult to handle, low fracture strength and</u> exhibited poor wear resistance., and were
- 352 brittle. (Berg and Croll 2015) Advancements in conventional glass ionomer formulation led to better
- 353 properties, including the formation of resin-modified glass ionomers. These products showed
- improvement in handling characteristics, decreased setting time, increased strength, and improved wear
- resistance.(Mitra & Kedrowski 1994; Douglas & Lin 1994) All glass ionomers have several properties
- that make them favorable for use in children including: chemical bonding to both enamel and dentin;
- thermal expansion similar to that of tooth structure; biocompatibility; uptake and release of fluoride; and
- decreased moisture sensitivity when compared to resins. (Berg and Croll 2015)
- 359

Fluoride is released from glass ionomer and taken up by the surrounding enamel and dentin, resulting in teeth that are less susceptible to acid challenge.(Tam 1997; Tyas 1991) One study has shown that fluoride release can occur for at least one year.(Swartz et al.) Glass ionomers can act as a reservoir of fluoride, as uptake can occur from dentifrices, mouth rinses, and topical fluoride applications.(Forsten 1998; Donly & Nelson 1997) This fluoride protection, useful in patients at high risk for caries, has led to the use of glass ionomers as luting cement for SSCs, space maintainers, and orthodontic bands.(Donly et al. 1995)

**Regarding use of conventional glass ionomers in primary teeth**, <u>oOne</u> RCT showed the overall median

- time from treatment to failure of <u>conventional glass-</u> ionomer restored <u>primary</u> teeth was 1.2 years.(Qvist
- et al. 2004) Based on findings of a systematic review and meta-analysis, conventional glass ionomers
- 370 arehave not been recommended for Class II restorations in primary molars. (Chadwick & Evans 2007; Toh
- 871 & Messer 2007) Conventional glass ionomer restorations have other drawbacks such as poor anatomical
- form and marginal integrity.(Dao et al. 2009; Mickenautsch et al. 2009) Composite restorations were
- more successful than GICs where moisture control was not a problem. (Toh & Messer 2007)
- 374

Resin-modified glass-ionomer cements (**RMGICs**), with the acid-base polymerization supplemented by a

second resin light cure polymerization, have been shown to be efficacious in primary teeth. (Sidhu 2011)

377 Based on a meta-analysis, RMGIC is more successful than conventional glass ionomer as a restorative

- 378 material.(Toh & Messer 2007) A systematic review supports the use of RMGIC in small to moderate
- 379 sized Class II cavities.(Chadwick & Evans 2007) Class II RMGIC restorations are able to withstand

380 occlusal forces on primary molars for at least one year.(Toh & Messer 2007) Because of fluoride release,

- 381 RMGIC may be considered for Class I and Class II restorations of primary molars in a high caries risk
- 382 population.(Mickenautsch et al. 2009) There is also some evidence that <u>cConditioning</u> dentin improves
- the success rate of RMGIC.(Chadwick & Evans 2007) According to one RCT, cavosurface beveling
- leads to high marginal failure in RMGIC restorations and is not recommended.(Alves dos Santos 2010)
- With regard to permanent teeth, a meta-analysis review reported significantly fewer <u>cariouscaries</u> lesions on single-surface glass ionomer restorations in permanent teeth after six years as compared to restorations with amalgam.(Mickenautsch et al. 2009) Data from a meta-analysis shows that RMGIC is more caries preventive than composite resin with or without fluoride.(Yengopal & Mickenautsch 2011) Another meta-analysis showed that cervical restorations (Class V) with glass ionomers may have a good retention rate but poor esthetics.(Heintze et al 2010) For Class II restorations in permanent teeth, one RCT showed unacceptable high failure rates of conventional glass ionomers, irrespective of cavity size. (Frankenberger

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- 393 <u>2009</u> However, a high dropout rate was observed in this study <u>limitsing its</u> significance.(Frankenberger)
- In general, there is insufficient evidence to support the use of RMGIC as long term restorations in
   permanent teeth.
- 396
- 397 <u>Silver diamine fluoride (SDF) application has been used prior to or in conjunction with GIC and RMGIC</u>
- 398 restorations in primary and permanent teeth. A systematic review and meta-analysis that evaluated the
- 399 influence of SDF on the dentin bonding of adhesive materials included eleven and ten studies,
- 400 respectively.(Frohlich et al. 2019) The systematic review found that prior application of SDF does not
- 401 <u>have a negative effect on the bond strength between glass ionomer cement and dentin. (Frohlich et al.</u>
- 402 <u>2019</u>) Another systematic review of thirteen studies that examined the effect of SDF application on the
- 403 <u>bond strength between dentin and adhesives and dentin and glass ionomer cements was inconclusive due</u>
- 404 to the inconsistent results from the included studies. (Jiang et al 2020) Further research examining the
- 405 <u>effect of SDF application to the bond strength of glass ionomers, as well as the advantages of its use prior</u>
- 406 to the application of glass ionomers, is needed.
- 407

408 <u>Glass ionomers can be utilized for caries control in patients with high caries risk and restoration repair.</u>

409 (Berg, Croll 2015) Other applications of glass ionomers where fluoride release has advantages are for ITR

and ART. These procedures have similar techniques but different therapeutic goals. ITR may be used in

- 411 very young patients. (Wambier et al. 2007) uncooperative patients, or patients with special health care
- 412 needs(Mandari et al. 2003) for whom traditional cavity preparation and/or placement of traditional dental

restorations are is not feasible or needs to be postponed. Additionally, ITR may be used for caries control

- 414 in children with multiple open caries<del>ous</del> lesions, prior to definitive restoration of the teeth.(Dulgergil
- 415 2005) In-vitro, leaving caries-affected dentin does not jeopardize the bonding of glass ionomer cements to
- the primary tooth dentin.(Alves et al. 2013). ART, endorsed by the World Health Organization and the
- 417 International Association for Dental Research, is a means of restoring and preventing caries in
- 418 populations that have little access to traditional dental care and functions as definitive treatment.
- 419 (Frencken et at 2009)
- 420
- 421 According to a meta-analysis, single surface ART restorations showed high survival rates in both primary
- 422 and permanent teeth.(van't Hof et al) had a high survival percentage over the first three years in primary
- 423 teeth and a high survival percentage over the first five years in permanent teeth. (de Amorim et al 2018)
- 424 One RCT supported single surface restorations irrespective of the cavity size and also reported higher
- 425 success in non-occlusal posterior ART compared to occlusal posterior ART.(Frencken et al 2007) With

- regard to multi-surface ART restorations, there is conflicting evidence. Based on a meta-analysis, ART
- 427 restorations presented similar survival rates to conventional approaches using composite or amalgam for
- 428 Class II restorations in primary teeth.(Raggio 2013; Tedesco et al 2017) However, another meta-analysis
- 429 showed that m<u>Multi</u>-surface ART restorations in primary teeth exhibited <u>a medium survival percentage</u>
- 430 over two years.(de Amorim et al 2018) A recent RCT that compared modified ART to preformed metal
- 431 crowns on primary teeth reported major failures on 21 percent of modified ART restorations at six months
- 432 and 34 percent at twelve months. (Ebrahimi et al 2020). More research is needed on the survival
- 433 <u>percentage of multi-surface ART restorations in permanent teeth.high failure rates.(van't Hof et al. 2006)</u>
- 434 *Recommendations:*
- 1. There is evidence in favor of GICs <u>may be used</u> for Class I restorations in primary teeth.
- 436 2. From a systematic review, there is strong evidence that RMGICs may be used for Class I restorations
- 437 are efficacious, and expert opinion supports Class II restorations in primary teeth.
- 438 3. There is insufficient <u>e</u>Evidence <u>is insufficient</u> to support the use of conventional or RMGICs as long 439 term restorative material in permanent teeth.
- 440
   4. From a meta-analysis, there is strong evidence that ITR/ART using high viscosity glass ionomer
   441
   441 cements has value may be used as single surface temporary restoration for both primary and
- 442 permanent teeth. Additionally, ITR may be used for caries control in children with multiple open
- 443 carious lesions, prior to definitive restoration of the teeth.
- 444 <u>5. Further research is needed examining the effect of SDF application on the bond strength of glass</u>
   445 <u>ionomers to dentin.</u>
- 446

#### 447 Compomers

- 448 Polyacid-modified resin-based composites, or compomers, were introduced into dentistry in the mid-
- 1990s. They contain 72 percent (by weight) strontium fluorosilicate glass and the average particle size is
- 450 2.5 micrometers.(Nicholson 2007) Moisture is attracted to both acid functional monomer and basic
- 451 ionomer-type in the material. This moisture can trigger a reaction that releases fluoride and buffers acidic
- 452 environments.(Cildir & Sandalli 2005; Peng et al. 2000) Considering theits ability to release fluoride,
- 453 esthetic value, and simple handling properties, of compomer, it can be useful in pediatric dentistry.
- 454 (Nicholson 2007)
- 455
- 456 Based on a-recent <u>2007</u> RCT, the longevity of Class I compomer restorations in primary teeth was not
- 457 statistically different compared to amalgam, but compomers were found to need replacement more
- 458 frequently due to recurrent caries.(Soncini 2007) In Class II compomer restorations in primary teeth, the

- 459 risk of developing secondary caries and failure did not increase over a two-year period in primary
- 460 molars.(Duggal et al. 2002; Daou et al. 2009) Compomers also have reported comparable clinical
- 461 performance to composite with respect to color matching, cavo-surface discoloration, anatomical form,
- 462 and marginal integrity and secondary caries.(Attin et al. 2000; Attin et al. 2001) <u>Compomers are available</u>
- 463 in a variety of non-conventional colors which, when polymerized, can cause varying pulp chamber
- 464 <u>temperatures. (Ertugrul and Ertugrul 2019; Bakkal et al. 2019)</u> Most RCTs showed that compomer tends
- to have better physical properties compared to glass ionomer<u>GIC</u> and resin modified glass ionomer
- 466 cements<u>RMGIC</u> and in primary teeth, but no significant difference was found in cariostatic effects of
- 467 compomer compared to these materials.(Qvist et al. 2004; Daou et al. 2009; Welbury et al. 2000; Baba et
- 468 <u>al. 2021; Sagmak et al. 2020, Francois et al. 2020</u>)
- 469 *Recommendations:*
- 470 1. Componers can be an alternative to other restorative materials in the primary dentition in Class I471 and Class II restorations.
- 472 2. There is not enough data comparing compomers to other restorative materials in permanent teeth473 of children.
- 474

#### 475 <u>Bioactive Materials</u>

- 476 <u>A recently recognized category of materials is termed bioactive. Bioactive restorative materials release</u>
- 477 <u>ions, typically calcium, fluoride or phosphate (Skrtic and Atonucci 2011) yet at times antibacterial</u>
- 478 monomers, silver particles or strontium particles. (Slowikowski et al 2014) The materials also can absorb
- 479 ions at their surface. Although they may not meet true ionic equilibrium, the ion exchange still can help
- 480 prevent adjacent tooth demineralization and enhance remineralization. (May et al 2017; Donly et al 2018)
- 481 Bioactive dental restorative materials are available for sealants, adhesive bonding agents, cements, resin-
- 482 <u>based restorations, GIC and RMGIC restorations, as well as pulp capping agents. Since each bioactive</u>
- 483 material interacts with hard tissue differently, a modified surface treatment may be required. (Xu 2019)

#### 484 <u>*Recommendations:*</u>

- 485 <u>1. Bioactive materials can be used for remineralization and pulp capping.</u>
- 486 <u>2. Further research examining the basic properties and long-term effect of bioactive materials and</u>
   487 <u>comparing bioactive materials to other restorative materials is needed.</u>
- 488
- 489 Preformed metal crowns

Preformed metal crowns (PMC), also known as SSCs, are prefabricated crown forms that are adapted to 490 individual teeth and cemented with a biocompatible luting agent. PMCreformed metal crowns have been 491 492 indicated for the restoration of primary and permanent teeth with extensive caries, cervical decalcification, and/or developmental defects (e.g., hypoplasia, hypocalcification), when failure of other 493 494 available restorative materials is likely (e.g., interproximal caries extending beyond line angles, patients 495 with bruxism), following pulpotomy or pulpectomy, for restoring a primary tooth that is to be used as an 496 abutment for a space maintainer, for the intermediate restoration of fractured teeth, and for definitive 497 restorative treatment for high caries-risk children. (Seale & Randall 2015) They are used more frequently in patients who exhibit high caries risk and whose treatment is performed under sedation or general 498 499 anesthesia.(Attari & Roberts 2006; Randall 2002; Innes et al. 2007) 500 501 There are vVery few prospective RCTs compareing outcomes for preformed metal crownsPMC to intracoronal restorations.(Atieh 2008; Hutcheson et al. 2012) A Cochrane review and additional studies, 502

<u>including</u> two systematic reviews, conclude<u>d</u> that the majority of clinical evidence for the use of <u>PMC</u>
 <del>preformed metal crowns</del> has come from nonrandomized and retrospective studies. (Hickel et al. 2005;

504 preformed metal crowns has come from nonrandomized and retrospective studies. (Hickel et al. 2005)

Attari, Roberts 2006; Randall 2002; Innes et al 2007) However, this evidence suggests that preformed

506 metal crowns<u>PMC</u> showed greater longevity than amalgam restorations,(Hickel et al. 2005) despite

507 possible study bias of placing SSCs on teeth more damaged by caries.(Randall 2002; Innes et al. 2007;

508 Randall et al. 2000) Five studies which retrospectively compared Class II amalgam to <u>PMC</u>preformed

509 metal crowns showed an average five year failure rate of 26 percent for amalgam and seven percent for

510 <u>PMC</u>preformed metal crowns.(Randall 2002) <u>In a recent retrospective study, SSC were shown to have a</u>

511 higher survival rate compared to multi-surface restorations and may be considered when treating multi-

512 <u>surface caries in children younger than four years old in order to avoid possible retreatment.(Wu 2021)</u>

513

A two-year RCT regarding restoration of primary teeth that had undergone a pulpotomy procedure found

a non-significant difference in survival rate for teeth restored with <u>PMC</u>preformed metal crowns (95

516 percent) versus resin modified glass ionomer<u>RMGIC</u>/composite restoration (92.5 percent).(Atieh 2008) In

517 another prospective study, significantly fewer restoration failures and improved calcium hydroxide

518 pulpotomy success were found with preformed metal crowns (79.7 percent) versus amalgam restorations

519 (60 percent) after one year.(Sonmez & Duruturk 2010) However, a systematic review did not show strong

520 evidence that preformed metal crowns were superior over other restorations for pulpotomized

521 teeth.(Bazargan et al. 2007) A one-year RCT comparing primary molars treated with mineral trioxide

522 aggregate (MTA) pulpotomies and restored with either multi-surface composite restorations or PMC

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523 showed no difference in radiographic success over a 12-month follow up period. (Hutcheson et al 2012)

- 524 <u>However, the pulpotomy treated teeth with multi-surface composite restorations had more marginal</u>
- 525 change and required more maintenance than the pulpotomy treated teeth with PMC and a majority turned
- 526 gray up to 12 months later even with the use of white MTA. (Hutcheson et al 2012) A systematic review
- 527 <u>on the use of SSC determined that the outcomes of primary teeth with pulpal therapy are reported as best</u>
- 528 in teeth treated with SSC. (Seale & Randall 2015).
- 529
- 530 With regards to gingival health adjacent to <u>PMCpreformed metal crowns</u>, a one year RCT showed no
- 531 difference in gingival inflammation between PMC<del>preformed metal crowns</del> and composite restorations
- after pulpotomy. (Innes et al. 2007) Yet, a two-year randomized clinical study showed more gingival
- 533 bleeding for PMC<del>preformed metal crowns vs</del>.versus composite/glass ionomer restorations.(Atieh 2008)
- 534 Inadequately contoured crown and residues of set cement remaining in contact with the gingival sulcus
- 535 are<u>have been</u> suggested as reasons for gingivitis associated with preformed metal crowns, and a
- 536 preventive regime including oral hygiene instruction ishas been recommended to be incorporated into the
- treatment plan.(Randall 2002)
- 538

539 There is one RCT on <u>PMC</u>preformed metal crowns versus cast crowns placed on permanent teeth,

540 (Zagdwon et al. 2003) and this report found no difference between the two restoration types for quality

and longevity after 24 months. <u>A recent retrospective cohort study that focused on the long-term clinical</u>

- 542 <u>outcomes of SSCs compared to amalgam and composite restorations in permanent teeth on special needs</u>
- 543 populations concluded that posterior permanent teeth restored with SSCs can be expected to last for 10
- 544 years and represent a viable treatment option for severely carious or fractured posterior permanent
- 545 <u>teeth.(Sigal et al 2020)</u> The remaining evidence is case reports and expert opinion concerning indications
- 546 for use of <u>PMC</u><del>preformed metal crowns</del> on permanent molars. The indications include teeth with severe
- 547 genetic/developmental defects, grossly carious teeth, and traumatized teeth, along with tooth
- 548 developmental stage or financial considerations that require re-quire semi-permanent restoration instead
- of a permanent cast restoration.(Attari et al. 2006; Randall et al. 2000; Zagdwon et al. 2003) The main
- reasons for <u>PMC</u>preformed metal crowns failure reportedly isare crown loss(Hickel et al. 2005; Sonmez
- & Duruturk 2010; Roberts et al. 2005) and perforation.(Roberts et al. 2005)
- 552
- 553 One <u>A recent</u> method of providing preformed metal crowns is known as the Hall technique (HT).(Innes et
- al. 2006). <u>The Hall technique is method</u> calls for cementation of a SSC over a caries-affected primary
- molar without local anesthetic, caries removal, or tooth preparation. It is aA less invasive caries

management procedure for treating carious primary teeth, andHT involves the concept of caries control 556 557 by managing the activity of the biofilm. (Santamaria et al. 2018) In essence, bacteria sealed into the tooth 558 and denied of substrate will die rather than result in caries progression and the best way of producing an 559 effective marginal seal is with a crown. (Welbury 2017) This technique was developed for use when delivery of ideal treatment was not feasible. 560 561 562 Using the HT may reduce discomfort from local anesthetic and caries removal at the time of treatment 563 compared to fillings, (Innes et al. 2006) but it may add the discomfort of placement of separator bands prior to the SSC, as well as the pain from biting the crown into place. (Page et al. 2014) In a randomized 564 split mouth clinical trial with general dentists as providers, sealing in caries by using HT significantly 565 outperformed the general dentists' standard restorations to restore caries interproximally and was more 566 effective in the long term. (Innes et al 2011) HT may be considered as a treatment modality for carious 567 568 primary molars when traditional stainless steel crown technique is not feasible due to limitations such as 569 poor cooperation or barriers to care (Ebrahimi et al 2020) Additional studies that compare this technique to traditionally placed PMC using long term follow-ups, radiographic assessment and caries removal are 570 needed.(Ebrahimi et al 2020; Fontana et al. 2012) 571 572 The HT has gained some popularity in the United Kingdom (UK). (Innes et al. 2006) primarily from use 573 by general dentists (who provide the majority of care for young children).(Roberts et al. 2018) All 574 prospective investigations on the effectiveness of HT have been conducted by general dentists in UK, and 575 comparison groups include restorative treatment as traditionally provided in UK those settings., where 576 traditional use of SSCs to restore caries in primary teeth has not been a popular or a frequently used technique.(Roshan et al. 2003; Threlfall et al. 2005; Blinkhorn & Zadeh Kabir 2003; Maggs-Rapport et 577 578 al. 2000; This is in spite of the existence of guidelines and policy statements from the British Society of Paediatric Dentistry that SSCs are the restoration of choice for primary molars with multi-surface lesions 579 580 or extensive caries or when pulp treatment has been performed.(Kindelan et al. 2008; Fayle et al. 2001 Results of a 2003 repeat questionnaire of general dentists in the UK showed that the use of amalgam had 581 declined with an increase in the use of GIC and very little change in the use of SSCs.(Roshan et al. 2003) 582 583 Placement of GIC restorations or observation without treatment was the management approach of choice. 584 and the use of local anesthesia to provide dental care to children was infrequent. (Threlfall et al. 2005) Given the differences in treatment approaches in health care settings and system between countries, the 585 586 HT has not been widely adopted in the U.S., and it usually is limited to individual situations where proven 587 methods of caries management cannot be used. (Fontana et al. 2012) Studies that compare this technique

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- to traditionally placed preformed metal crowns using radiographic assessment and caries removal are
   needed.(Fontana et al. 2012)
- 590 Recent retrospective studies (BaniHani et al. Int J Paediatr Dent 2018; BaniHani et al. Caries Res 2018)
- 591 for cost-effectiveness combined with a cross-sectional evaluation of patient acceptance showed that 95.8
- 592 percent of primary teeth restored using the HT remained asymptomatic after a follow-up period of up to
- 593 77 months, compared to 95.3 percent in the conventional methods (caries removal with placement of SSC
- 594 or other restoration); they did not, however, report a breakdown by follow-up time. Although HT and
- 595 conventional restorative methods had similar successful outcomes, using the HT was associated with
- 596 reduced treatment costs if general anesthesia or sedation is considered. Both approaches were accepted
- 597 favorably by the children and care providers.(BaniHani et al. Caries Res 2018)
- 598

599 SSCs continue to offer the advantage of full coverage to combat recurrent caries and provide strength as

600 well as long-term durability with minimal maintenance, which are desirable outcomes for caries

601 management for high-risk children. (Seale & Randall 2015)

- 602 *Recommendations:*
- 603 1. There is evidence from <u>rRetrospective</u> studies <u>reported</u> showing greater longevity of
- 604 <u>PMC</u>preformed metal crown restorations compared to amalgam or resin-based restorations for the 605 treatment of caries lesions in primary teeth. Therefore, use of SSCs is supported on indicated for
- \_\_\_\_\_\_\_
- high-risk children with large or multi-surface cavitated or non-cavitated lesions on primary
- 607 molars, especially when children require advanced behavioral guidance techniques(AAPD
- 608 BP\_Behavior Guidance) including general anesthesia for the provision of restorative dental care.
- 609 2. There is evidence from case reports and one RCT supporting the use of <u>PMC</u>preformed metal
   610 erowns <u>may be indicated</u> in permanent teeth as a semi-permanent restoration for the treatment of
   611 severe enamel defects or grossly carious teeth.
- 612

3. Further research comparing Hall Technique to traditionally-placed PMC is needed.

613

#### 614 **Posterior Esthetic Crowns in Primary Teeth**

615 The interest <u>by clinicians and patients</u> in esthetic options for full coverage restoration of primary posterior

- teeth is increasing by clinicians and patients.(Holsinger et al. 2016; Davette et al. 2016) Scientific studies
- 617 that evaluate esthetic options for restoring <u>posterior primary</u> teeth with large caries lesions are not widely
- 618 reported in the literature. The most popular options are opened-face SSCs, pre-veneered SSCs, and
- 619 zirconia crowns.(Planells & Fuks 2014) While opened-faced SSC or pre-veneered SSC are not ideal
- 620 <u>based on minimum evidence, zirconia crowns are an option that has been used by pediatric dentists.</u> There

- 621 are <u>sSeveral</u> preformed pediatric zirconia crowns <u>are</u> available on the market, and each brands has
- 622 different in material composition, fabrication, surface treatment, retentive feature, and cementation
- 623 method. (Alrashdi 2022) The amount of tooth reduction and technique for tooth preparation varies
- 624 significantly.(Clark et al. 2016) There is need for mMore circumferential tooth reduction requirements is
- 625 <u>needed</u> for proper fit and placement <u>offor</u> zirconia crowns compared to SSC<u>s (Clark et al 2016) and for</u>
- 626 proper retention, the minimum abutment height is two millimeters. (Jing 2019) The indications for the
- 627 preformed esthetic crowns are generally the same as those of the preformed SSCs but with consideration
- 628 of esthetics.(Donly et al. 2018) SSCs have comparatively better retention, but recent studies demonstrate
- 629 that the gingival health and plaque accumulation around <u>Clinical parameters between zirconia crowns are</u>
- 630 better than and SSCs are similar except for retention and gingival health; SSC have comparatively better
- 631 retention and zirconia crowns have relatively better gingival health.(Donly et al. 2018; Taran, Kaya 2018)
- 632 <u>*Recommendations:*</u>
- Evidence is limited on the use of zirconia crowns as esthetic crowns for primary posterior teeth.
   When SSC would otherwise be indicated, zirconia crowns may be considered in lieu of SSC to
   due to esthetic considerations.
- 636

#### 637 Anterior esthetic restorations in primary teeth

638 With increasing demand for esthetics in children by their parents, treatment of dental caries of primary

639 <u>anterior teeth remains one of the biggest challenges in pediatric dentistry.(Hamrah 2021)</u> Despite the

640 continuing prevalence of dental caries in primary maxillary anterior teeth in children, the esthetic

641 management of these teeth remains problematic.(Shah et al. 2004) Esthetic restoration of primary anterior

- teeth can be especially challenging due to: the small size of the teeth; close proximity of the pulp to the
- tooth surface; relatively thin enamel; lack of surface area for bonding; and issues related to child
- 644 behavior.(Waggoner 2006 2015)
- 645

646 There is little scientific support<u>Most of the evidence</u> for any of the clinical techniques that clinicians have

647 utilized for many years to restore primary anterior teeth, and most of the evidence is regarded as expert

- opinion. While a lack of strong clinical data does not preclude the use of these techniques, it points out
- 649 the strong need for well-designed, prospective clinical studies to validate the<u>ir</u> use of these techniques.
- 650 (Waggoner 2002 <u>Waggoner 2015</u>) Additionally, there is limited information on the potential psychosocial
- 651 impact of anterior caries or unaesthetic restorations in primary teeth.(Shah et al. 2004)

652

- 653 Class III (interproximal) restorations of primary incisors can be prepared with labial or lingual dovetails
- to incorporate a large surface area for bonding to enhance retention. (Waggoner 2002 2015) Resin-based
- restorations are appropriate for anterior teeth that can be adequately isolated from saliva and blood.
- 656 <u>**RMGIC**Resin-modified glass ionomer cements</u> have been suggested for this category, especially when
- adequate isolation is not possible.(Croll et al. 2001; Donly 2013; Berg & Croll 2015) It has been
- 658 suggested that <u>pP</u>atients considered at high-risk for future caries may be better served with placement of
- full tooth coverage restorations.(Donly 2013; <u>Waggoner 2015</u>)
- 660

661 Class V (cervical) cavity preparations for primary incisors are similar to those in permanent teeth. Due to

the young age of children treated and associated <u>cooperation</u>behavior guidance difficulty, it is sometimes

- 663 impossible to isolate teeth for the placement of composite restorations. In these cases, GIC or RMGIC is
- suggested.(Croll et al. 2001; Donly 2013)
- 665

666 Full coronal restoration of carious primary incisors may be indicated when: (1) caries is present on

667 multiple surfaces, (2) the incisal edge is involved, (3) there is extensive cervical decalcification, (4) pulpal

therapy is indicated, (5) caries may be minor, but oral hygiene is very poor, or (6) the child's behavior

669 makes moisture control very difficult.(Waggoner 20022015) Successful full-coronal restorations of

670 extensively decayed primary anterior teeth have been reported; however, due to the lack of available

671 clinical studies, it is difficult to determine whether certain techniques of restoring carious primary anterior

672 teeth are effective.(Waggoner 2006 ; Lee 2002\_A retrospective study showed that 80 percent of strip

673 crowns were completely retained after three years, and 20 percent were partially retained, with none being

- 674 completely lost.(Kupietzky et al. 2005) Another retrospective study, with 24-74 months follow-up,
- 675 reported 80 percent retention of strip crowns.(Ram & Fuks 2006) Currently, full coronal restorations of
- 676 primary teeth are bonded to existing tooth structure, or cemented in place. (Waggoner 2015) Resin strip
- 677 crowns are bonded to the tooth, and two retrospective studies show that 80 percent are retained after three
- 678 years.(Kupietzky 2005; Ram, Fuks 2006) Resin strip crowns are esthetic and parental satisfaction is high.
- 679 <u>They are technique sensitive and require sufficient tooth structure to provide surface area for bonding.</u>
- 680 <u>Hemorrhage or saliva can interfere with bonding of the materials and hemorrhage can affect the color of</u>
- 681 the crown. (Waggoner 2015; Alrashdi 2022)
- 682
- 683 Preveneered SSCs also are among the options of restoring primary anterior teeth with full coronal
- 684 coverage. Three retrospective studies report excellent clinical retention of th<u>isese</u> types of crowns, yet
- 685 with a high incidence of partial or complete loss of the resin facings.(Shah et al. 2004; Roberts et al. 2001;

MacLean et al. 2007) The crimping of preveneered SSC on the metal side does not affect the fracture 686 687 resistance.(Gupta et al 2008) Preveneered SSCs have the concerns of color stability and surface roughness 688 changes, (Truong et al. 2017) so long term clinical studies are required to establish their comparative effectiveness. Preformed SSCs and opened-face SSCstainless steel crowns are still options for treatment 689 690 on primary anterior teeth, but published studies reporting their effectiveness and use are sparse(Roshan et 691 al. 2003) given the availability of more esthetic and easier-to-use alternatives. 692 693 Preformed <del>pediatric</del> zirconia crowns have been available in pediatric dentistry since 2010.(Alrashdi 2022) Zirconia crowns are strong, esthetic, and biocompatable.(Gill 2020; Waggoner 2015) Zirconia crowns 694 695 placed in a university clinic displayed survival probability at 12, 24 and 36 months of 93, 85, and 76 percent respectively. (Seminario 2019) Parental esthetic satisfaction has been shown to be higher for 696 zirconia crowns than resin strip crowns or preveneered SSC. (Gill 2020) Disadvantages of zirconia 697 crowns include a steep learning curve for dentists and since the crowns cannot be adjusted, the tooth 698 699 must be reduced in order to fit the crown. The amount of tooth reduction is greater than that required for a SSC and reduction of 1.5 to two millimeters with a feather margin is required to passively seat the 700 zirconia crown. (Clark et al 2016)are another option for esthetic full coronal coverage restoration. 701 (Planells & Fuks 2014) As they require a passive fit, the amount of tooth reduction is greater than that 702 703 required for SSC (minimum of 1.5-2.0 mm), and technique for tooth preparation does vary significantly 704 among different brands.(Clark et al. 2016) Although a RCT with a follow-up of only six months suggests 705 that zirconia crowns gave significantly better results than the others with regard to gingival health and 706 crown fractures(Donly et al. 2018), a systematic review on the topic(Taran & Kaya 2018) concluded that 707 due to the small number of RCTs on this topic and their risk of bias, future RCTs with better study design 708 are required to compare differences between the different types of pediatric preformed zirconia crowns 709 and between other esthetic treatment options. 710 Recommendations: 1. There is expert opinion that suggests the use of rResin-based composites may be used as a treatment 711 option for Class III and Class V restorations in the primary and permanent dentition. 712 713 2. There is eExpert opinion that suggests finds the use of **RMGIC** as a treatment option for Class III 714 and Class V restorations for primary teeth, particularly in circumstances where adequate isolation of the tooth to be restored is difficult. 715 716 3. There is expert opinion that suggests that strip crowns, pre-veneered SSCs, preformed SSCs, and 717 opened-face SSCs, and zirconia crowns are a treatment option for full coronal coverage restorations 718 in primary anterior teeth.

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- 1144

#### 1145

### Table 1. EVIDENCE OF EFFICACY OF VARIOUS DENTAL MATERIALS/TECHNIQUES INPRIMARY TEETH WITH REGARD TO CARIES LESION CLASSIFICATIONS

Strong evidence – based on well executed randomized control trials, meta-analyses, or systematic reviews; Evidence in favor – based on weaker evidence from clinical trials; Expert opinion – based on retrospective trials, case reports, in vitro studies and opinions from clinical researchers; Evidence against – based on randomized control trials, meta-analysis, systematic reviews.

	Class I	Class II	Class III	Class IV	Class V
Amalgam	Strong	Strong	No data	No data	Expert opinion
	evidence	evidence			
Composite	Strong	Strong	Expert opinion	No data	Evidence in
	evidence	evidence			favor
Glass ionomer	Strong	Evidence	Evidence in	No data	Expert opinion
	evidence $\alpha$	against $\beta$	favor y		γ
RMGIC	Strong	Expert opinion	Expert opinion	No data	Expert opinion
	evidence	δ			
Compomers	Evidence in	Evidence in	No data	No data	Expert opinion
	favor	favor			
SSC	Evidence in	Evidence in	No data	No data	No data
	favor ε	favor ε			
<b>Anterior</b> φ	N/A	N/A	Expert opinion	Expert opinion	Expert opinion

crowns

1146

1147 RMGIC = resin modified glass ionomer cement. SSC = stainless steel crown.

**1148**  $\alpha$  Evidence from ART trials.

- 1149  $\delta$  Small restorations; life span 1-2 years.
- 1150  $\beta$  Conflicting evidence for multisurface ART restorations.

1151 ε Large lesions.

- 1152  $\gamma$  Preference when moisture control is an issue.
- 1153  $\phi$  Strip crowns, stainless steel crowns with/without facings, zirconia crowns.

1154

### Table 2. E VIDENCE OF EFFICACY OF VARIOUS DENTAL MATERIALS/TECHNIQUES INPERMANENT TEETH WITH REGARD TO CARIES LESION CLASSIFICATIONS

	Class I	Class II	Class III	Class IV	Class V
Amalgam	Strong	Strong	No data	No data	No data
	evidence	evidence			
Composite	Strong	Evidence in	Expert opinion	No data	Evidence in
	evidence	favor			favor
Glass ionomer	Strong	Evidence	Evidence in	No data	Expert opinion
	evidence $\alpha$	against	favor β		β
RMGIC	Strong	No data	Expert opinion	No data	Evidence in
	evidence				favor
Compomers	Evidence in	No data	Expert opinion	No data	Expert opinion
	favor y				
SSC	Evidence in	Evidence in	No data	No data	No data
	favor δ	favor δ			
<b>Anterior</b> φ	N/A	N/A	No data	No data	No data
crowns					

1155

- 1156 RMGIC = resin modified glass ionomer cement. SSC = stainless steel crown.
- 1157  $\alpha$  Evidence from ART trials.
- 1158  $\gamma$  Evidence from studies in adults.
- 1159  $\beta$  Preference when moisture control is an issue.
- 1160  $\delta$  For children and adolescents with gross caries or severely hypoplastic teeth.
- 1161  $\phi$  Strip crowns, stainless steel crowns with/without facings.

### <sup>1</sup> Use of Antibiotic Therapy for Pediatric Dental Patients

2

#### 3 Abstract

4	This best practice provides clinicians with guidance in the appropriate use of antibiotics to treat oral				
5	infections in children. When correctly prescribed and administered, antibiotics can be effective in the				
6	treatment of oral bacterial infections. Antibiotic stewardship is important given the rise in antibiotic-				
7	resistant microorganisms and potential for adverse drug reactions. This document addresses the				
8	following clinical conditions: oral wounds, pulpitis/apical periodontitis/draining sinus tract/localized				
9	intraoral swelling, acute facial swelling of dental origin, dental trauma, periodontal diseases, and				
10	salivary gland infections and offers guidance on the judicious use of antibiotics in their				
11	management. Antibiotics are not indicated in the management of conditions of viral origin. Potential				
12	interactions between antibiotics and oral contraceptives are addressed. Health care providers must				
13	be prudent in their prescribing practices to maximize effectiveness and minimize bacterial resistance				
14	and adverse reactions.				
15					
16	This document was developed through a collaborative effort of the American Academy of Pediatric				
17	Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and guidance				
18	on the use of antibiotic therapy for pediatric dental patients.				
19					
20	KEYWORDS: ANTIBIOTICS; ANTIMICROBIAL RESISTANCE; DENTAL INFECTION CONTROL;				
21	BACTERIAL INFECTIONS				
22					
23	Latest Revision				
24	<del>2019</del> <u>2022</u>				
25					
26	ABBREVIATIONS				
27	AAPD: American Academy of Pediatric Dentistry. JRP: Juvenile recurrent parotitis.				
28					
29	Purpose				
30	The American Academy of Pediatric Dentistry (AAPD) recognizes the increasing prevalence of				
31	antibiotic-resistant microorganisms and potential for adverse drug reactions and interactions. These				
32	recommendations are intended to provide guidance in the proper and judicious use of antibiotic				
33	therapy in the treatment of oral conditions. The use of antibiotic prophylaxis for dental patients at risk				
34	for infection is addressed in a separate best practices document.(AAPD Antibiotic Prophylaxis 2021				

- 35 2019) Information regarding commonly prescribed antibiotics can be found in the AAPD's *Useful*
- 36 *Medications for Oral Conditions*.(AAPD Useful medications 2019 2021)
- 37

#### 38 Methods

39 Recommendations on the use of antibiotic therapy were developed by the Council on Clinical Affairs

40 and adopted in 2001, (AAPD 2001) This document is a revision of the previous version, and last

41 revised in <u>20142019</u>.(AAPD Antibiotic therapy <u>20142019</u>) The revision was based upon a new

- 42 literature search of the PubMed<sup>®</sup>/MEDLINE database using the terms:<u>pediatric dental antibiotic</u>
- 43 therapy and antibiotic therapy, antibacterial agents, antimicrobial agents, dental trauma, oral wound
- 44 management, orofacial infections, periodontal disease, viral disease, and oral contraception; fields:
- 45 all; limits: within the last 10 years, humans, English, clinical trials, birth through age 18. Four
- 46 <u>hundred seventy-eight</u> Three hundred forty\_three articles matched these criteria. Papers for review
- 47 were chosen from this search and from hand searching. When data did not appear sufficient or were
- 48 inconclusive, recommendations were based upon expert and/or consensus opinion by experienced49 researchers and clinicians.
- 50

### 51 Background

52 Antibiotics are beneficial to patient care when prescribed and administered correctly for bacterial 53 infections. However, the widespread use of antibiotics has permitted common bacteria to develop 54 resistance to drugs that once controlled them.(CDC A/A Resistance 20132019; Costelloe et al. 2010) 55 Drug resistance is prevalent throughout the world.(CDC Antibiotic/ Antimicrobial Resistance 2020 2013; Costelloe et al. 2010) In the United States, more More than 2.8 million antibiotic-resistant 56 infections occur in the United States each year, and more than 35,000 people die. (CDC A/A 57 58 Resistance-2019) At least two million people are infected by antibiotic-resistant bacteria per 59 year.(CDC A/A Resistance-20132019) Some microorganisms may develop resistance to a single 60 antimicrobial agent, while others develop multidrug-resistant strains.(Costelloe et al. 2010) To diminish the rate at which resistance is increasing, health care providers must be prudent in the use of 61 62 antibiotics.(CDC A/A Resistance-20132019; Aidasani et al. 2019) A study showed 80 percent of prescriptions of antibiotics before dental procedures were unnecessary as risk-factors were not 63 present.(Suda et al. 2019) This highlights a concern on the appropriateness for prescribed antibiotic 64 prophylaxis for dental procedures. (Suda et al. 2019) While use of antibiotic prophylaxis is indicated 65 for certain patients undergoing invasive dental procedures, over-all emphasis should focus on 66

67 establishment of a dental home, the prevention of disease, establishment and maintenance of good

68	oral health care habits, and regular dental care. (Wilson et al 2021; Squire et al 2019) Conservative
69	use of antibiotics is indicated to minimize the risk of developing resistance to current antibiotic
70	regimens.(CDC A/A Resistance 29132019; Costelloe et al. 2010; Wilson et al. 2021)
71	Adverse events such as allergic reactions, development of Clostridium difficile, or drug interactions
72	and side effects can occur.(CDC A/A Resistance 20132019; CDC Antibiotic prescribing 2017, page
73	39) The Centers for Disease Control and Prevention reports that every year there are 140,000
74	emergency department visits for reactions to antibiotics, and that antibiotics Antibiotic adverse drug
75	events are the most a common cause of emergency department visits for adverse drug events in
76	children under the age of 18 years, with amoxicillin as the most commonly implicated drug in
77	children less than nine years and sulfamethoxazole-trimethoprim in children aged 10-19.(Lovegrove
78	et al. 2019CDC Antibiotic/Antimicrobial Resistance 2013)
79	Amoxicillin, considered the first drug of choice for dental infections in non-allergic children (Ahmadi
80	2021), is effective against a wide variety of gram-positive bacteria and offers greater gram-negative
81	coverage than penicillin (Akhavan 2021). It has been shown to be effective against oral flora, (Fouad
82	et al. 2020), be well absorbed from the gastrointestinal tract(Wilson 2021), provide high, sustained
83	serum concentrations(Wilson 2021), and have a low incidence of adverse effects(Fouad et al. 2020).
84	
85	The American Heart Association no longer recommends clindamycin for prophylaxis against
86	infective endocarditis due to frequent and severe reactions. (Wilson et al. 2021) Clindamycin has
87	been associated with significant adverse drug reactions related to community-acquired C. difficile
88	infections. (Thornhill et al. 2015) Up to 15 percent of community-acquired C. difficile infection has
89	been attributed to antibiotics prescribed for dental procedures. (Wilson et al. 2021) Doxycycline is
90	recommended as an alternative to penicillin, cephalosporin, and macrolide allergy. (Wilson et al.
91	2021) Short-term use (<21 days) of doxycycline had not been associated with tooth discoloration in
92	children under eight years of age. (Todd et al 2015, AAP 2018, Stultz and Eiland 2019.)
93	Azithromycin is one of the safest antibiotics for patients allergic to penicillins, but there are risks of
94	cardiac complications including cardiotoxicity. (Zeng et al, 2020) The small, heightened risk appears
95	to be related to pre-existing cardiovascular risk factors including prior myocardial infarction,
96	diabetes, age, and gender (Bartold et al, 2013). Cardiac risk in pediatric patients seems to be due to an
97	increased risk of QT prolongation associated with higher dosage levels (Zeng et al, 2020).
98	

99 Recommendations

100	Practitioners should adhere to the following general principles when prescribing antibiotics for			
101	antibiotic usage for the pediatric dental patientpopulation. (Wilson et al. 2021, CDC 2019.			
102	https://	www.cdc.gov/antibiotic-use/community/downloads/dental-fact-sheet-FINAL.pdf)		
103	•	Prevention of dental diseases should be stressed in order to reduce the need for antibiotic		
104		intervention.		
105	•	Antibiotics should be prescribed only when truly needed for a bacterial infection and only as		
106		an adjunct to, not an alternative for, other interventions (e.g., pulp therapy, extraction, scaling		
107		and root planing) implemented to control the infection source.		
108	•	Antibiotics should be selected based on properties of the agent (e.g., spectrum of coverage,		
109		safety), previous antibiotic use and patient considerations (e.g medical history, drug allergies,		
110		current medication use, ease of use) and then prescribed at an adequate pediatric dose.		
111	•	The most effective route of drug administration (intravenous versus intramuscular versus		
112		oral) must be considered. If the patient is receiving parenteral antimicrobial therapy for		
113		treatment of existing infections, the same antibiotic can be continued. (Wilson et al 2021)		
114		Consultation with an infectious disease physician is recommended if there is concern for		
115		resistant infections.		
116	•	The traditional minimal duration of drug regimen is five days beyond the point of substantial		
117		improvement (e.g., improved healing of wound, reduction of erythema or swelling, reduction		
118		of signs and symptoms). Usually a five- to seven-day course of treatment is dependent upon		
119		the specific drug selected.(Kuriyama et al.(Kuriyama et al.2000; Prieto-Prieto & Calvo 2004)		
120	•	However, in light of the growing problem of drug resistance, discontinuation of antibiotics		
121		should be considered following determination of either ineffectiveness or cure prior to		
122		completion of a full course of therapy.(AAP RedBook Group A Streptococcal Infections		
123		2021, Engleberg, Schaechter's Mechanisms of Microbial Disease. 2022).)		
124	•	If an infection is not responsive to the initial drug selection, a culture and sensitivity testing		
125		from the infection site or, in some cases, a blood microbiology and culture and sensitivity		
126		may be indicated. (CDC A/A Resistance 2019 pages 43-47, Engleberg, Schaechter's		
127		Mechanisms of Microbial Disease. 2022).		
128	•	Prescriptions should be documented in the patient's dental record.(AAPD Recordkeeping)		
129	•	Individuals suspected to have an allergy to antibiotics should receive testing to confirm or		
130		refute the presence of a true allergy.		
131	Additic	onal considerations for specific clinical circumstances are discussed below.		
132				

#### 133 Oral wounds

134 Factors related to host risk (e.g., age, systemic illness, co-morbidities, malnutrition) and type of wound (e.g., laceration, puncture) must be evaluated when determining the risk for infection and 135 subsequent need for antibiotics. Wounds can be classified as clean, potentially contaminated, or 136 contaminated/ dirty. Facial lacerations and puncture wounds may require topical antibiotic 137 agents.(Nakamura and Daya 2007) Intraoral puncture wounds and lacerations that appear to have 138 been contaminated by extrinsic bacteria, debris (e.g., dirt, soil, gravel), foreign body, open fractures, 139 140 and joint injury have an increased risk of infection and should be managed by systemic antibiotics.(Nakamura and Daya 2007) Tetanus immunization status should be determined. If it is 141 antibiotics would be are deemed beneficial to the healing process, the timing of their administration 142 of antibiotics is critical to supplement the natural host resistance in bacterial killing. The drug should 143 be administered as soon as possible for the best result. (CDC A/A Resistance-2019 pages 36-38) The 144 most effective route of drug administration (intravenous vs. intramuscular vs. oral) must be 145 146 considered. The clinical effectiveness of the drug must be monitored. The minimal duration of drug 147 therapy should be five days beyond the point of substantial improvement or resolution of signs and symptoms; this is usually a five- to seven day course of treatment dependent upon the specific drug 148 149 selected.(Kuriyama et al.(Kuriyama et al.2000; Prieto Prieto and Calvo 2004) In light of the growing 150 problem of drug resistance, the clinician should consider altering or discontinuing antibiotics 151 following determination of either ineffectiveness or cure prior to completion of a full course of therapy.(Flynn 2011). If the infection is not responsive to the initial drug selection, a culture and 152 sensitivity testing of a swab from the infective site or, in some cases, a blood microbiology and 153

- 154 culture and sensitivity may be indicated.
- 155

#### 156 Pulpitis/apical periodontitis/draining sinus tract/localized intra-oral swelling

157 Bacteria can gain access to the pulpal tissue through caries, exposed pulp or dentinal tubules, cracks

into the dentin, and defective restorations. If a child presents with acute symptoms of pulpitis,

treatment (i.e., pulpotomy, pulpectomy, or extraction) should be rendered. Antibiotic therapy usually

- 160 is not indicated nor effective if the dental infection is contained within the pulpal tissue or the
- 161 immediate surrounding tissue. In this case, the child will have no systemic signs of an infection (i.e.,
- 162 no fever<u>, and no facial swelling</u>).(Fluent et al. 2016)
- 163
- Consideration for use of antibiotics should be given in cases of advanced non-odontogenic bacterial
   infections such as staphylococcal mucositis, tuberculosis, gonococcal stomatitis, and oral syphilis. If
  - CCA-2022. BP\_AntibioticTherapy-Final

- suspected, <u>it is best to refer patients referral</u> for microbiology, culture and sensitivity, biopsy, or other
- 167 laboratory tests for documentation and definitive treatment <u>is indicated</u>.
- 168

#### 169 Acute facial swelling of dental origin

- 170 A child presenting with a facial swelling or facial cellulitis secondary to an odontogenic infection
- 171 should receive prompt dental attention. <u>The clinician should consider age, cooperation, the ability to</u>
- 172 obtain adequate anesthesia (local versus general), the severity of the infection, the medical status, and
- 173 <u>any social issues of the child.(Johri and Piecuch 2011; Thikkurissy et al. 2010)</u> In most situations
- 174 where there is a large For odontogenic infections with non-localized and progressive swelling and
- 175 <u>systemic manifestations (e.g., fever, difficulty breathing or swallowing)</u>, immediate surgical
- 176 intervention and medical management with intravenous antibiotic therapy is appropriate and
- 177 contribute to a more rapid cure.(Johri and Piecuch 2011; Thikkurissy et al. 2010, Adewumi et. al
- 178 2019, Baker et al 2010, Solankis 2019) Signs of systemic involvement and septicemia (e.g., fever,
- 179 malaise, asymmetry, facial swelling, lymphadenopathy, trismus, tachycardia, dysphagia, airway
- 180 <u>compromise</u>, respiratory distress) warrant emergency treatment.(<u>Adewumi et. al 2019</u>, <u>Baker et al</u>
- 181 <u>2010</u>) Additional <u>imaging (e.g. radiographs, ultrasound, and CT scan)</u> and testing such as a (e.g.,
- 182 complete blood examination, c-reactive protein, blood cultures, and bacterial culture and sensitivity
- 183 can aid in assessment and diagnosis. Intravenous antibiotic therapy medical management is
- 184 indicated.(Johri and Piecuch 2011; Thikkurissy et al. 2010) Penicillin derivatives remain the
- 185 empirical choice for odontogenic infections; however, consideration of additional adjunctive
- 186 antimicrobial therapy (i.e., such as metronidazole) can be given where there is for anaerobic bacterial
- 187 involvement.(Flynn 2011; AAP RedBook Group A Streptococcal Infections 2021, Zirk et al. 2016)
- 188 Cephalosporins could be considered as an alternative choice for <u>management of</u> odontogenic
- 189 infections, especially when a child has had previous course(s) of penicillin/amoxicillin or if the child
- 190 <u>has a penicillin allergy</u>.(Zirk et al. 2016)
- 191

### 192 Dental trauma Avulsions

- 193 Systemic antibiotics have been recommended as adjunctive therapy for avulsed permanent incisors
- with an open or closed apex. (Found et al. 2020; DiAngelis et al. 2012; Andersson et al. 2012)
- 195 Tetracycline (doxycycline twice daily for seven days) <u>Amoxicillin or penicillin</u> is the drug of choice
- 196 <u>due to effectiveness against oral flora and low incidence of adverse effects</u>, . (Fouad et al. 2020 –
- 197 <u>IADT Guidelines Anderrson et al 2012</u>) but consideration of the child's age must be exercised in the
- 198 systemic use of tetracycline due to the risk of discoloration in the developing permanent dentition.18

199	Doxycycline is recommended as an alternative to penicillin. (Fouad et al. 2020). Doxycycline
200	exhibits antimicrobial, anti-inflammatory, and anti-resorptive properties which makes its use
201	appropriate for dental trauma. (Andreason, Storgaard Jensen, Sae-Lim 2006; Fouad et al. 2020)
202	Penicillin V or amoxicillin can be given as an alternative in patients under 12 years of age.(Andersson
203	et al. 2012; Malmgren et al. 2012) The use of <u>Using</u> topical antibiotics (minocycline or doxycycline)
204	to enhance pulpal revascularization and periodontal healing in immature non-vital traumatized teeth
205	has shown potential in animal studies, (Andersson et al. 2012; Malmgren et al. 2012; McIntyre et al.
206	2007) has but usage has not been proven effective in human studies, remains controversial, and has
207	not been recommended by the International Association of Dental Traumatology. (Fouad et al 2020)
208	shown some potential. (Andersson et al. 2012; Malmgren et al. 2012; McIntyre et al. 2007) However,
209	fFurther randomized clinical trials are needed.(Hargreaves et al 2013; Shabahang 2013-Fouad et al
210	2020) For luxation injuries in the primary dentition, antibiotics are not indicated.(Andersson et al.
211	2012) Antibiotics can be warranted in cases of concomitant soft tissue injuries (see <b>Oral wounds</b> )
212	and when dictated by the patient's medical status.
213	
214	Pediatric periodontal diseases
215	Gingival inflammation due to the presence of bacterial plaque accumulation is a key factor in the
216	development of periodontal disease and must be controlled.(Murakami et al. 2018) However, a
217	distinction must be made between a site of gingival inflammation versus a gingival case, diagnosed at
218	the patient level, using specific criteria, including bleeding on probing.(Trombelli et al. 2018)
219	Periodontal diseases recently have been classified into three groups: 1) periodontal health, gingival
220	diseases, and conditions; 2) periodontitis and; 3) other conditions affecting the periodontium;(Caton
221	et al. 2018) periodontitis is further classified as necrotizing periodontal disease, periodontitis as
222	manifestation of systemic diseases, and periodontitis.(Caton et al. 2018) Prior terms of chronic or
223	aggressive periodontitis are now included in the single category of periodontitis.(Papapanou et al.
224	2018) Three distinct forms of periodontal disease have been defined as: (1) periodontitis (grouping
225	the two forms formerly recognized as prepubertal, aggressive or chronic); (2) necrotizing
226	periodontitis; and (3) periodontitis as a manifestation of systemic conditions (Caton et al,
227	2018). Dental plaque-induced gingivitis is managed by appropriate local therapeutic interventions
228	(Shabahang 2013) including professional oral hygiene and re-enforcement of brushing twice daily for
229	at least two minutes.(Chapple et al. 2015) Patients diagnosed with what formerly was known as
230	aggressive periodontal disease may require adjunctive antimicrobial therapy in conjunction with
231	localized treatment.(American Academy of Periodontology Research 2003; AAPD Perio

232 Classification, Keestra et al. 2015; Rabelo et al. 2015; Merchant et al. 2014) In pediatric periodontal 233 diseases associated with systemic disease conditions (e.g., severe congenital neutropenia, Papillon-Lefèvre syndrome, leukocyte adhesion deficiency), the immune system is unable to control the 234 growth of periodontal pathogens and, in some cases, treatment may involve antibiotic therapy or 235 antibiotic prophylaxis.(American Academy of Periodontology Research 2003; Schmidt et al. 2013 236 AAPD Classification of Perio, 2021; Squire et al. 2019) In severe and refractory cases, extraction is 237 indicated.(American Academy of Periodontology Research 2003; Schmidt et al. 2013) Culture and 238 239 susceptibility testing of isolates from the involved sites are helpful in guiding the drug selection. 240 (American Academy of Periodontology Research 2003: Schmidt et al. 2013). In severe and refractory cases, extraction is indicated.(AAP Research 2003; Schmidt et al. 2013) 241 242 Viral diseases 243 Conditions of viral origin such as acute primary herpetic gingivostomatitis should not be treated with 244 antibiotic therapy.(CDC Antibiotic Prescribing 2017) 245 246

### 247 Salivary gland infections

248 For acute salivary gland swellings of bacterial nature, antibiotic therapy is indicated.(Patel and Karlis

- 2009) If the patient does not improve in 24-48 hours on antibiotics alone, incision and drainage may
  be warranted.(CDC A/A Resistance 2013-2019 Amoxicillin/clavulanate is used as empirical therapy
- to cover both staphylococcal and streptococcal species as most bacterial infections of the salivary
- 252 glands originate from oral flora.(Patel and Karlis 2009) Clindamycin is appropriate for penicillin-
- 253 allergic patients.(Rabelo et al. 2015)
- 254 The most common inflammatory salivary gland disorder in the U.S. is juvenile recurrent parotitis
- 255 (JRP), with first onset of symptoms between ages 3-6 years old, continuing to puberty.(Garavello
- 256 <u>2018Patel and Karlis 2009)The etiology of JRP remains unknown and treatment varies</u> depending on
- 257 <u>severity</u>. Although JRP is self-limiting, administration of  $\beta$ -lacatam lactam antibiotics can may
- shorten symptom duration.(<u>Garavello 2018</u>)Patel and Karlis 2009) For both acute bacterial
- submandibular sialadenitis and chronic recurrent submandibular sialadenitis, antibiotic therapy is
- 260 included as part of the treatment.(Carlson 2009)

### 261 Oral contraceptive use

- Although caution previously was is advised with the concomitant use of antibiotics and oral
- contraceptives, (DeRossi and Hersh 2002; Becker 2011), a 2018 systematic review of drug

264	interactions between non-rifamycin antibiotics and hormonal contraception found that most women
265	can expect no reduction in hormonal contraceptive effect with the concurrent use of non-rifamycin
266	antibiotics.(Simmons et al. 2018) The World Health Organization also reported in 2015 that most
267	broad-spectrum antibiotics do not affect the contraceptive effectiveness of combined oral
268	contraceptives, combined contraceptive patch, or the combined contraceptive vaginal ring.(WHO
269	2015) In addition, no differences in ovulation were found when oral contraceptives were combined
270	with ampicillin, doxycycline, temafloxacin, ofloxacin, ciprofloxacin, clarithromycin, roxithromycin,
271	dirithromycin, or metronidazole.(Simmons et al. 2018) Women should be encouraged to take oral
272	contraceptives correctly and consistently at all times, including during periods of illness.(Simmons et
273	al. 2018) Rifamcyin antibiotics, such as rifampin or rifabutin, induce hepatic enzymes that are
274	required for hormonal contraceptive metabolism, which could compromise the contraceptive or
275	antibiotic effect.(Simmons et al. 2018; WHO 2015) Consultation with the medical practitioner
276	regarding use Use of other contraceptives is recommended should be advised with long-term use of
277	these medications.(WHO 2015)
278	
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### 1 Antibiotic Prophylaxis for Dental Patients at Risk for Infection

2

#### 3 Abstract

4 This best practice offers recommendations regarding antibiotic prophylaxis to minimize or eliminate 5 transient bacteremia in at-risk dental patients undergoing invasive dental procedures. Evidence 6 supporting the efficacy and use of antibiotic prophylaxis is limited among children. Considering the 7 potential to contribute to antibiotic-resistant microorganisms and possible risk of adverse events, 8 prudence is needed when determining whether prophylaxis is necessary. Antibiotic prophylaxis is 9 warranted for some patients with cardiac conditions and compromised immunity when undergoing 10 dental procedures that involve the manipulation of gingival tissue or the periapical region of teeth or 11 perforation of oral mucosa. While recommendations for certain conditions are discussed within the 12 document, consultation with the patient's physician is recommended for management of other 13 patients potentially at risk due to immune compromise, indwelling vascular catheters or shunts, or 14 implanted devices. Dentists should be familiar with current evidence-based antibiotic prophylaxis 15 recommendations, and specific antibiotic regimens aimed at the microorganisms mainly implicated in 16 infective endocarditis are included. 17 18 This document was developed through a collaborative effort of the American Academy of Pediatric 19 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and guidance 20 on antibiotic prophylaxis for dental patients at risk for infection. 21 22 KEYWORDS: PREMEDICATIONS, ANTIBIOTICS; ANTIBIOTIC PROPHYLAXIS; ENDOCARDITIS; 23 ANTIMICROBIAL RESISTANCE 24 25 Latest Revision 26 2019 2022 27 ABBREVIATIONS 28 29 AAPD: American Academy of Pediatric Dentistry. ADA: American Dental Association. AHA: 30 American Heart Association. CIED: Cardiovascular implantable electronic device. GI: 31 Gastrointestinal. GU: Genitourinary. IE: Infective endocarditis. VGS: Viridans group Streptococcal 32 Purpose 33 The American Academy of Pediatric Dentistry (AAPD) recognizes that numerous medical conditions 34 35 predispose patients to bacteremia-induced infections. Because it is not possible to predict when a

- 36 susceptible patient will develop an infection, p Prophylactic antibiotics are recommended when these
- 37 patients with a high risk of adverse outcomes from bacteremia and infection undergo invasive
- 38 <u>oral/dental</u> procedures. that are at risk for producing bacteremia. These recommendations are intended
- 39 to help practitioners make decisions regarding antibiotic prophylaxis for dental patients at risk.
- 40

### 41 Methods

- 42 Recommendations on antibiotic prophylaxis for dental patients at risk for infection were developed by
- 43 the Clinical Affairs Committee<u>, and</u> adopted in 1990.(AAPD Boston Mass 1990) This document by
- 44 the Council of Clinical Affairs is a revision of the previous version, and last revised in 2019.2014
- 45 (AAPD Antibiotic Prophylaxis 20192014), and This revision is based on a review of *Prevention of*
- 46 Infective Endocarditis: Guidelines from the American Heart Association (Wilson et al. 2007),
- 47 Infective Endocarditis in Childhood: 2015 Update: A Scientific Statement From the American Heart
- 48 Association (Baltimore et al. 2015), the American Dental Association (ADA) report The Use of
- 49 <u>Prophylactic Antibiotics Prior to Dental Procedures in Patients with Prosthetic Joints(Sollecito et al.</u>
- 50 2015) and the 2021 guideline on *Prevention of Viridans Group Streptococcal Infective Endocarditis:*
- 51 *A Scientific Statement From the American Heart Association* (Wilson et al 2021-updated). eurrent
- 52 dental and medical literature pertaining to post-procedural bacteremia-induced infections. This
- 53 revision-It also included PubMed®/MEDLINE database searches using key terms: infective
- 54 endocarditis (IE), bacteremia, antibiotic prophylaxis, and dental infection. Articles were evaluated by
- title and/or abstract and relevance to dental care for children, adolescents, and those with special
- 56 health care needs. <u>Two hundred forty-three articles met these criteria</u>. <u>Thirty-five citations were</u>
- 57 chosen from this method and from references within selected articles. When data did not appear
- 58 sufficient or were inconclusive, recommendations were based upon expert and/or consensus opinion
- 59 by experienced researchers and clinicians. In addition, *Prevention of infective endocarditis:*
- 60 Guidelines from the American Heart Association,(Wilson et al. 2007) Infective Endocarditis in
- 61 Childhood: 2015 Update: A Scientific Statement From the American Heart Association (Baltimore et
- 62 al. 2015), and the American Dental Association (ADA) report *The Use of Prophylactic Antibiotics*
- 63 Prior to Dental Procedures in Patients with Prosthetic Joints(Sollecito et al. 2015) were reviewed.
- 64

### 65 Background

- 66 Bacteremia (bacteria in the bloodstream) is anticipated following invasive dental procedures and can
- 67 lead to complications in an immunodeficient patient.(Lockhart at al. 2004; Roberts et al. 2006) High
- risk cardiac disease, immunosuppression, and immunodeficiencies may compromise one's ability to

- 69 fight simple infection. The rationale for antibiotic prophylaxis is to reduce or eliminate transient
- 70 bacteremia caused by invasive dental procedures.(Daly 2017<u>; Lafaurie et al. 2019</u>)
- 71
- 72 Antibiotic usage may result in the development of resistant organisms.(Wilson et al. 2007; Lockhart
- 73 et al. 2004; Roberts et al. 2006; Fluent et al. 2016\_Dajani et al. 1997; CDC Antibiotic/Antimicrobial
- 74 Resistance) Utilization of antibiotic prophylaxis for patients at risk does not provide absolute
- 75 prevention of infection. Post-procedural symptoms of acute infection (e.g., fever, malaise, weakness,
- 76 lethargy) may indicate antibiotic failure and need for further medical evaluation.
- 77

78 The decision to use antibiotic prophylaxis should be made on an individual basis. Some medical

- 79 conditions that may predispose patients to post-procedural infections (Buonavoglia et al. 2021) are
- 80 discussed below. This list is not intended to be <del>an</del> exhaustive <del>list</del>; rather, the categorization should
- 81 help practitioners identify children who may be at increased risk. If a patient reports a syndrome or
- 82 medical condition with which the practitioner is not familiar, it is appropriate to discuss the risk and
- 83 susceptibility to bacteremia-induced infection with contact the child's physician prior to any invasive
- 84 dental procedures. to determine susceptibility to bacteremia-induced infections.
- 85

86 To date, randomized controlled clinical trials the evidence base supporting the efficacy and use of 87 antibiotic prophylaxis are is limited, especially in the pediatric population. Many recommendations of the indications are based on expert consensus. (Baltimore et al. 2015; Glenny et al. 2013; Cahill et 88 89 al. BMJ 2017; Cahill et al. Heart 2017, Wilson et al 2021, NICE 2008, Lafaurie et al 2019) A study found 80 percent of pre-procedural antibiotic prescriptions unnecessary as risk-factors were not 90 present, highlighting a concern regarding the appropriateness of prescribed prophylaxis. (Suda et al. 91 92 2019) The c Conservative use of antibiotics is indicated to helps minimize the risk of developing resistance to current antibiotic regimens. (Wilson et al. 2007; Fluent et al. 2016; Watters et al. 2013; 93 94 da Sa et al. 2010 Baddour et al. 2021; Buonavoglia et al. 2021) Given the increasing number of organisms that have developed resistance to eurrent-antibiotic regimens, as well as the potential for an 95 adverse anaphylactic reaction to the drug administered, (Thornhill et al. 2015) it is best to be 96 antibiotic/antimicrobial stewardship and the judicious in the use of antibiotics for the prevention of 97 IE3 or other distant-site infections are critical for safe and effective care.(Fluent et al. 2016; CDC 98 99 Antibiotic/Antimicrobial Resistance; Thornhill et al. 2015; Wilson 2021; Suda 2019) While use of 100 antibiotic prophylaxis is indicated for certain patients undergoing invasive dental procedures, the prevention of oral disease by maintenance of good home care habits and regular dental care is 101

- 102 considered more important. (Wilson et al 2021; Squire et al 2019) This may prevent the frequent need
- 103 for the use of antibiotic therapy and, thus, decrease the risks of resistance and adverse events related
- 104 to use of antibiotics.(Daly 2017; Habib et al. 2009; National Institute for Health and Care Excellence)
- 105

#### 106 Recommendations

- 107 Antibiotic prophylaxis for patients at the highest risk of adverse outcomes from bacteremia-induced
- 108 <u>infections</u> is recommended with certain dental procedures,(Wilson et al. 2007; Baltimore et al. 2015;
- Lockhart et al. 2004; Daly 2017; National Heart Foundation of New Zealand; <u>NICE</u>, <u>Wilson 2021</u>)
- 110 but this and should be directed against the most likely infecting organism. Antibiotic stewardship and
- 111 judicious use are integral to preventing adverse reactions and resistance. Table 1 shows the
- 112 recommended antibiotic regimen for at-risk patients undergoing invasive procedures, with amoxicillin
- as the first choice. (Wilson et al 2021, Lafaurie et al 2019) Recent changes to the AHA Guidelines
- have removed the use of clindamycin due to frequent and severe reactions. (Wilson et al. 2021).
- 115 Clindamycin has been associated with significant adverse drug reactions related to community-
- 116 acquired *C. difficile* infections. (Thornhill et al. 2015) Doxycycline is recommended as an alternative
- for patients unable to tolerate a penicillin, cephalosporin, or macrolide. (Table 1) (Wilson et al. 2021)
- 118 Short-term use (less than 21 days) of doxycycline had not been associated with tooth discoloration in
- children under eight years of age. (Todd et al 2015, AAP 2018, Stultz and Eiland 2019) Antibiotic
- 120 prophylaxis should be given 30-60 minutes prior to the procedure; however, it can be given up to two
- hours after a dental procedure. (Wilson et al. 2021) A different class of antibiotics is indicated if the
- 122 patient is already on oral antibiotic therapy or has an allergy or anaphylactic reaction. (Wilson et al
- 123 <u>2021</u>) If unsure of a reported history of an allergic reaction, consultation with an allergy specialist
- 124 and skin testing can help determine severity of allergic reactions and course of antibiotic regimen.
- 125 (Wilson et al 2021). If the patient is receiving parenteral antimicrobial therapy for IE or other
- 126 infections, the same antibiotic can be continued for the dental procedure. (Wilson et al 2021) If
- 127 possible, elective procedures should be delayed 10 days after completion of short course antibiotic
- 128 <u>therapy. (Wilson et al 2021).</u> When procedures involve infected tissues or are performed on a patient
- 129 with a compromised host response, additional doses or a prescribed pre- and post-operative <u>course</u>
- 130 regimen of antibiotics may be necessary. Emphasis should be placed on the prevention of disease,
- 131 establishment of good oral health care habits, and routine oral health assessments through a dental
- 132 home. This may prevent the frequent need for the use of antibiotic therapy and, thus, decrease the
- 133 risks of resistance and adverse events relation to use of antibiotics.(Daly 2017; Habib et al. 2009;
- 134 National Institute for Health and Care Excellence)

279

### This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

#### 135

	Table 1. ANTIBIOTIC REGIMENS FOR A DENTAL PROCEDURE REGIMEN: SINGLE DOSE 30 TO 60 MINUTES         BEFORE PROCEDURE				
	Situation Agent Adults Children				
	Oral	Amoxicillin	2g	50 mg/kg	
	Unable to take oral medication	Ampicillin OR	2 g IM or IV	50 mg/kg IM or IV	
		Cefazolin or ceftriaxone	1 g IM or IV	50 mg/kg IM or IV	
	Allergic to penicillin or ampicillin	Cephalexin* OR	2 g	50 mg/kg	
	—orai	OB Doxycycline	500 mg	15 Mg/Kg <45 kg 2 2 mg/kg	
			100 116	>45 kg, 100 mg	
	Allergic to penicillin or ampicillin and unable to take oral medication	Cefazolin or ceftriaxone <sup>+</sup>	1 g IM or IV	50 mg/kg IM or IV	
136	Clindamycin is no longer recommended for	l or antibiotic prophylaxis for a dental procedure			
137	IM indicates intramuscular; and IV, intrav	enous.			
138	* Or other first-or second-generation oral	cephalosporin in equivalent adult or pediatric	dosing.		
139 140	† Cephalosporins should not be used in an ampicillin.	n individual with a history of anaphylaxis, angio	edema, or urticaria	with penicillin or	
141 142	All tables reprinted with permission. © 20 Circulation 2021;144(9):e192. Available at	021 American Heart Association, Inc. Circulatior t: "https://www.ahajournals.org/doi/10.1161/0	1 2021;143(20):e963 CIR.00000000000000	8-e978. Erratum in: 969"	
143					
144	Patients with cardiac conditions				
145	The American Heart Association (AHA) has published guidelines for the prevention of IE and				
146	reducing the risk of producing resistant strains of bacteria.(Wilson et al. 2007; Wilson et al. 2021)				
147	Infective endocarditis IE is an example of an uncommon but life-threatening complication resulting				
148	from bacteremia. The incidence of pediatric admissions due to IE infective endocarditis was between				
149	0.05 and 0.12 cases per 1000 admissions in a multicenter study of U.S. children's hospitals from				
150	2003-2010.(Baltimore et al. 2015). Although there is no high-quality data showing mortality from or				
151	frequency of viridans group streptococ	cal (VGS) infective endocarditis in	h children, ther	e also has	
152	been no convincing evidence of an incr	rease in these cases among high-ris	k patients sinc	<u>e the</u>	
153	publication of the 2007 AHA guideline	es. (Wilson et al 2021; Dayer et al	2015, Laufarie	e et al 2019).	
154					
155	Only a limited number of bacterial spe-	cies have been implicated in result	ant postoperati	ve infections;	
156	Viridans group streptococci, Staphyloc	occus aureus and Enterococcus sp	ecies are the m	ain	
157	microorganisms implicated in IE.(Wilson et al. 2007; Baltimore et al. 2015; Baddour et al. 2021)			<u>al. 2021</u> )	
158	Enterococcal and other organisms such	as Haemophilus species, Aggrega	tibacter specie	es,	
159	Cardiobacterium hominis, Eikenella corrodens, and Kingella species are less common.(Baltimore et				
160	al. 2015) Routine daily activities such as toothbrushing, flossing, and chewing contribute more to the				
161	incidence of bacteremia when compared to dental procedures.(Baltimore et al. 2015) Thus, focus for				

- 162 preventing IE has shifted from antibiotic prophylaxis to an emphasis on oral hygiene and the
- prevention of oral diseases with regular dental care.(Baltimore et al. 2015; Daly 2017; Cahill et al.
- 164 BMJ 2017; Cahill et al. Heart 2017; National Heart Foundation of New Zealand; National Institute
- 165 for Health Care and Excellence, Wilson 2021)
- 166
- 167 In 2007, the American Heart Association (AHA) revised its guidelines for the prevention of IE and
- 168 reducing the risk for producing resistant strains of bacteria.(Wilson et al. 2007) The significant
- 169 reasons for the revision include(Wilson et al. 2007):
- 170 "IE is much more likely to result from frequent exposure to random bacteremias associated with
- 171 daily activities than from bacteremia caused by a dental, [gastrointestinal] GI tract, or
- 172 [genitourinary] GU tract procedure."(Wilson et al. 2007) Daily activities would include
- 173 toothbrushing, flossing, chewing, using toothpicks, using water irrigation devices, and other
- 174 activities.
- \*\*Prophylaxis may prevent an exceedingly small number of cases of IE if any, in individuals who
   undergo a dental, GI tract, or GU tract procedure.
- The risk of antibiotic associated adverse events exceeds the benefit, if any, from prophylactic
   antibiotic therapy.
- Maintenance of optimal oral health and hygiene may reduce the incidence of bacteremia from
- 180 daily activities and is more important than prophylactic antibiotics for a dental procedure to
- 181 reduce the risk of IE."(Wilson et al. 2007)
- 182 <u>A summary of key findings and suggestions by the AHA 2021 scientific statement writing group are</u>
- 183 <u>outlined in Table 2.</u>

TABLE 2. SUMMARY OF FINDINGS AND SUGGESTIONS
Key findings
VGS IE is much more likely to develop as a result of transient VGS bacteremia attributable to routine daily activities such
as chewing food and toothbrushing than from a dental procedure.
An exceedingly small number of cases of VGS IE could be prevented by AP for a dental procedure, even if prophylaxis is
100% effective.
If AP for a dental procedure is effective in preventing a very small number of cases of VGS IE, it should be suggested
only for those patients with the highest risk of adverse outcome from VGS IE.
There is no convincing evidence of an increased frequency of or morbidity or mortality from VGS IE in patients at low,
moderate, or high risk of adverse outcome since publication of the 2007 document.
AP for a dental procedure is not suggested solely on the basis of an increased lifetime risk of acquisition of VGS IE
Suggestions
AP for a dental procedure that involves manipulation of gingival tissues, periapical region of teeth, or perforation of the
oral mucosa is suggested only for patients with the highest risk of adverse outcome from VGS IE.
Maintenance of good oral health and regular access to dental care are considered more important to prevent VGS IE
than AP for a dental procedure. We suggest that patients have biannual dental examinations when such care is
available.
Shared decision making is important between patients and health care providers. There may be instances when a
health care provider and a patient disagree with the suggestions in the 2021 scientific statement. In these cases, the

health care provider should be familiar with and understand the 2021 suggestions to adequately inform patients of the risks and benefits of AP for a dental procedure so that an informed decision may be made.

### 184 The AHA guidelines <u>recommend</u> focus on antibiotic prophylaxis prior to certain dental procedures

- 185 (see Table 3) for patients with the highest risk of adverse outcomes from VGS IE in the highest risk
- 186 group (See Table <u>14</u>).(Wilson et al. 2007; Baltimore et al. 2015; Lockhart et al. 2004; Wilson et al
- 187 <u>2021; Baddour et al. 2021</u>) <u>Comorbidities such as obesity, diabetes, cardiopulmonary disease,</u>
- 188 vascular disease, hemodialysis, lack of access to tertiary hospitals or immunosuppression affect the
- 189 morbidity and mortality of patients with IE. (Wilson et al 2021; Baddour et al 2021) Globally, there is
- 190 still a lack of consensus with regards to the benefit of antibiotic prophylaxis for prevention of <u>IE is</u>
- 191 lacking.infective endocarditis. (Wilson et al 2021; NICE; National Heart Foundation of New Zealand;
- 192 Daly 2017; Dayer et al 2015) Since the change in recommendations, the rate and incidence of IE have
- 193 been low.(Baltimore et al. 2015)

#### TABLE 3. DENTAL PROCEDURES AND AP AP suggested

All dental procedures that involve manipulation of gingival tissue or the periapical region of teeth or perforation of

the oral mucosa AP not suggested

Anesthetic injections through noninfected tissue, taking dental radiographs, placement of removable prosthodontic or orthodontic appliances, adjustment of orthodontic appliances, placement of orthodontic brackets, shedding of primary teeth, and bleeding from trauma to the lips or oral mucosa

Th Th

The antibiotic regimens suggested for prophylaxis for a dental procedure in patients at a high risk of adverse outcome from viridans group streptococcal infective endocarditis are shown in Table 1.

AP indicates antibiotic prophylaxis.

#### Table 4. AP FOR A DENTAL PROCEDURE: UNDERLYING CONDITIONS FOR WHICH AP IS SUGGESTED\*

Prosthetic cardiac valve or material

Presence of cardiac prosthetic valve

Transcatheter implantation of prosthetic valves

Cardiac valve repair with devices, including annuloplasty, rings, or clips

Left ventricular assist devices or implantable heart

Previous, relapse, or recurrent IE

CHD

Unrepaired cyanotic congenital CHD, including palliative shunts and conduits.

Completely repaired congenital heart defect with prosthetic material or device, whether placed by surgery or by transcatheter during the first 6 mo after the procedure.

Repaired CHD with residual defects at the site of or adjacent to the site of a prosthetic patch or prosthetic device. Surgical or transcatheter pulmonary artery valve or conduit placement such as Melody valve and Contegra conduit.

Cardiac transplant recipients who develop cardiac valvulopathy

AP for a dental procedure not suggested Implantable electronic devices such as a pacemaker or similar devices Septal defect closure devices when complete closure is achieved Peripheral vascular grafts and patches, including those used for hemodialysis Coronary artery stents or other vascular stents CNS ventriculoatrial shunts Vena cava filters Pledgets

198

\* AP indicates antibiotic prophylaxis; CHD, congenital heart disease; CNS, central nervous system; and IE, infective endocarditis.

Children with cyanosis with specific periodontal concerns may have an increased risk of IE, which 199 200 makes optimum oral hygiene very important. (Wilson et al. 2021; Wilson et al. 2007; Baltimore et al. 201 2015: Gewitz and Taubert 2016) At-risk patients with poor oral hygiene and gingival bleeding after routine activities (e.g., toothbrushing) have shown an increased incidence of bacteremia as a measure 202 for risk of IE. (Wilson et al. 2007; Gewitz and Taubert 2016; Baddour et al. 2010) The focus should be 203 on maintaining good oral hygiene, routine dental examinations, infection control to reduce 204 bacteremia, and discouraging tattooing or piercing rather than relying on antibiotic prophylaxis for 205 patients at risk.(Cahill et al. BMJ 2017; Cahill et al. Heart 2017; National Heart Foundation of New 206 207 Zealand; Habib et al. 2009; National Institute for Health Care and Excellence; Baddour et al. 2010) These patients and their parents need to be educated and motivated to maintain personal oral hygiene 208 through daily plaque removal, including flossing and regular professional preventive dental care and 209 to be discouraged from getting tattoos or piercings. (Wilson et al. 2021; Cahill et al. BMJ 2017; Cahill 210 et al. Heart 2017; National Heart Foundation of New Zealand; Habib et al. 2009; National Institute 211 for Health Care and Excellence; Wilson et al. 2007) There is a shift in the emphasis on improved 212 access to dental care and oral health in patients with underlying cardiac conditions at high risk for IE 213 and less focus on a dental procedure and antibiotic coverage.(Baltimore et al. 2015;) Professional 214 215 prevention strategies should be based upon the individual's assessed risk for caries and periodontal 216 disease. (AAPD Periodicity) 217 In addition to those diagnoses listed in the AHA guidelines, patients with a reported history of 218 injection drug use may be considered at risk for developing IE in the absence of cardiac anomalies. 219 220 (Baddour et al. 2021 Gewitz and Taubert 2016) Patients also should be discouraged from tattooing and piercing.(Cahill et al. BMJ 2017; Cahill et al. Heart 2017; Lick et al. 2005) Consultation with the 221 222 patient's physician may be necessary to determine susceptibility to bacteremia-induced infections. 223 224 Antibiotics are recommended for all dental procedures that involve manipulation of gingival tissue or the periapical region of teeth or perforation of the oral mucosa for cardiac patients with the highest 225 risk for adverse outcomes from IE (Wilson et al. 2007; Wilson et al 2021) (see Tables 1-3 and 24). 226 Specific antibiotic regimens can be found in Table 3. Practitioners and patients/ parents can review 227 the entire AHA guidelines in the AHA Circulation archives(Wilson et al 2021) (available at " 228 229 https://www.ahajournals.org/doi/10.1161/CIR.0000000000000969")Wilson et al. 2007) (available at "http://circ.ahajournals.org/ cgi/content/full/116/15/1736") for additional background information as 230

- well as discussion of special circumstances (e.g., patients already receiving antibiotic therapy,
- 232 patients on anticoagulant therapy).
- 233

### 234 Patients with shunts, indwelling vascular catheters, or medical devices

- 235 The AHA found no convincing evidence that microorganisms associated with dental procedures
- 236 cause infection of cardiovascular implantable electronic devices (CIED) and nonvalvular devices at
- 237 any time after implantation.(Baddour et al. 2010; Lockhart et al. 2007; Wilson et al 2021) The
- 238 infections occurring after device implantation most often are caused by *Staphylococcus aureus* and
- 239 <u>coagulase-negative staphylococci or other microorganisms that are non-oral in origin but are</u>
- 240 associated with surgical implantation or other active infections.(Baddour et al. 2010; Hong et al.
- 241 <u>2010</u>) The AHA does not recommend antibiotic prophylaxis for prosthetic cardiovascular devices
- such as CIED, septal defect closure devices, peripheral vascular grafts and patches, central nervous
- 243 system ventriculoatrial shunts, vena cava filters and pledgets. (See table 4) Consultation with the
- 244 <u>child's physician is recommended for management of patients with nonvalvular devices.</u>
- 245
- 246 <u>Ventriculoatrial (VA), ventriculocardiac (VC), or ventriculovenus (VV) shunts for hydrocephalus</u>
- 247 were considered at risk of bacteremia-induced infections due to their vascular access-(Lockhart et al.
- 248 <u>2007; Baddour et al. 2003) while ventriculoperitoneal (VP) shunts were not deemed</u>
- 249 vulnerable.(Lockhart et al. 2007; Baddour et al. 2003) Antibiotic prophylaxis is no longer
- 250 recommended for patients with VA and VP shunts. (Wilson et al 2021; Baddour et al. 2003). If
- 251 concerned, consultation with the child's physician is recommended for management of patients with
- 252 <u>vascular shunts.</u>
- 253

### 254 Patients with compromised immunity

- 255 Non-cardiac patients with a compromised immune system may be at risk for complications of
- bacteremia and distant site infection following invasive dental procedures. Existing evidence does not
- support the extensive use of antibiotic prophylaxis; prophylaxis should be limited to
- 258 immunocompromised patients and those at high risk for adverse outcomes from distant site
- 259 <u>infection</u>.(Habib et al. 2009) Consultation with the patient's physician is recommended for
- 260 management of patients with a compromised immune system. Although there is not enough data to
- 261 support its use, hHigh-risk patients who should be considered for use of prophylaxis includes, but is
- not limited to, those with(Cahill et al. BMJ 2017; Cahill et al. Heart 2017; Lockhart et al. 2007;
- 263 <u>Wilson et al. 2021; Squire et al. 2019</u>):

- 1. Immunosuppression\* secondary to: (Squire et al. 2019)
- a. human immunodeficiency virus (HIV);
- b. severe combined immunodeficiency (SCIDS) and other primary immunodeficiency diseases;
- c. neutropenia and other neutrophil related disorders (e.g., severe congenital neutropenia,
- 268 <u>leukocyte adhesion deficiency, Chediak-Higashi syndrome);</u>
- d. cancer chemotherapy, immunosuppressive therapy and/or radiation therapy; or
- e. hematopoietic stem cell or solid organ transplantation.
- 271 2. History of head and neck radiotherapy. (Squire et al. 2019)
- 272 3. Autoimmune disease (e.g., juvenile arthritis, systemic lupus erythematosus).
- 273 4. Sickle cell anemia.(Tate et al. 2006<u>; Hsu et al. 2020</u>)
- 5. Asplenism, or-status post splenectomy or complement deficiencies. (Squire et al. 2019)
- **275** 6. Chronic high dose steroid usage.
- **276** 7. Uncontrolled diabetes mellitus.
- 8. Bisphosphenate therapy <u>Medication-related osteonecrosis of the jaw (MRONJ)</u> (Montefusco et al. 2008; Yarom et al. 2019 Rogers et al. 2009)
- **279** 9. Hemodialysis.
- 280 \* Discussion of antibiotic prophylaxis for patients receiving immunosuppressive therapy and/or
- 281 *radiation therapy appears in a separate AAPD document.*(AAPD Immunosuppressive Therapy)
- 282

#### 283 Patients with shunts, indwelling vascular catheters, or medical devices

- 284 The AHA recommends that antibiotic prophylaxis for nonvalvular devices, including indwelling
- 285 vascular catheters (e.g., central lines) and cardiovascular implantable electronic devices (CIED), is
- 286 indicated only at the time of placement of these devices in order to prevent surgical site
- 287 infection.(Baddour et al. 2010; Lockhart et al. 2007) The AHA found no convincing evidence that
- 288 microorganisms associated with dental procedures cause infection of CIED and nonvalvular devices
- 289 at any time after implantation.(Baddour et al. 2010; Lockhart et al. 2007) The infections occurring
- 290 after device implantation most often are caused by *Staphylococcus aureus* and coagulase negative
- 291 staphylococci or other microorganisms that are non-oral in origin but are associated with surgical
- implantation or other active infections.(Baddour et al. 2010; Rogers et al. 2009; Hong et al. 2010)
- 293 Consultation with the child's physician is recommended for management of patients with nonvalvular
- 294 devices.
- 295 Ventriculoatrial (VA), ventriculocardiac (VC), or ventriculovenus (VV) shunts for hydrocephalus are
- 296 at risk of bacteremia-induced infections due to their vascular access.(Lockhart et al. 2007; Baddour et

- 297 al. 2003)\_In contrast, ventriculoperitoneal (VP) shunts\_do not involve any vascular structures and,
- 298 consequently, do not require antibiotic prophylaxis.(Lockhart et al. 2007; Baddour et al. 2003)
- 299 Consultation with the child's physician is recommended for management of patients with vascular
- 300 shunts.
- 301

### **302** Patients with prosthetic joints

- 303 <u>Given the lack of evidence and recognizing the increase in antibiotic resistance and adverse drug</u>
- 304 reactions, antibiotic prophylaxis prior to dental procedures is no longer recommended for patients
- 305 with a history of total joint arthroplasty or prosthetic joint infections. (See Table 5) (Sollecito et al.
- 306 <u>2015; Suda et al. 2019; Rethman et al. 2013</u>) For patients with a history of total joint arthroplasty,
- 307 deep hematogenous infections can lead to life threatening complications such as a loss of the
- 308 prosthetic joint or even increased morbidity and mortality.(Aminoshariae and Kulild 2010; Rethman
- 309 et al. 2013) Given the increasing risk of developing antibiotic resistance and adverse reactions,
- 310 antibiotic prophylaxis prior to dental procedures is not recommended in the prevention of prosthetic
- 311 joint infections.(Sollecito et al. 2015). If unsure of medical history or risk, consultation Consultation
- 312 with the child's physician is recommended may be necessary for invasive dental management. of at-
- 313 risk patients as well as patients with other implanted devices (e.g., Harrington rods, external fixation
- devices). (Lockhart et al. 2007; Aminoshariae and Kulild 2010; Rethman et al. 2013; Sollecito et al
- 315 2015; Berbari et al. 2010; Little et al. 2010)
- 316
- Table <u>5.</u> MANAGEMENT OF PATIENTS WITH PROSTHETIC JOINTS UNDERGOING
   DENTAL PROCEDURES(Sollecito et al. 2015)
- 319

#### **Clinical Recommendation:**

In general, for patients with prosthetic joint implants, prophylactic antibiotics are **not** recommended prior to dental procedures to prevent prosthetic joint infection.

For patients with a history of complications associated with their joint replacement surgery who are undergoing dental procedures that include gingival manipulation or mucosal incision, prophylactic antibiotics should only be considered after consultation with the patient and orthopedic surgeon.\* To assess a patient's medical status, a complete health history is always recommended when making final decisions regarding the need for antibiotic prophylaxis.

#### **Clinical Reasoning for the Recommendation:**

- There is evidence that dental procedures are not associated with prosthetic joint implant infections.
- There is evidence that antibiotics provided before oral care do not prevent prosthetic joint implant infections.
- There are potential harms of antibiotics including risk for anaphylaxis, antibiotic resistance, and opportunistic infections
  like Clostridium difficile.
- The benefits of antibiotic prophylaxis may not exceed the harms for most patients.
- The individual patient's circumstances and preferences should be considered when deciding whether to prescribe prophylactic antibiotics prior to dental procedures.

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In cases where antibiotics are deemed necessary, it is most appropriate that the orthopeoic surgeon, recommend the appropriate antibiotic regimen and when reasonable write the prescription. Sollecto T. Abt E. Lockfort P. et al. The use of prophylocric antibiotics prior to dental procedures in patients with prosthetic joints: Evidence-based clinical practice guideline for dental proctitioners — a reparal of the American Dental Association Council on Scientific Affairs. JADA. 2015;146(1):11-16

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- 1 Dental Management of Pediatric Patients Receiving
- 2 Immunosuppressive Therapy and/or <u>Head and Neck Radiation</u>
- 3 Therapy
- 4
- 5 Abstract
- 6 This best practice provides recommendations for oral health care for children <u>undergoing</u>
- 7 immunosuppressive therapy and/or head and neck radiation. whose medical therapies result in a lowered
- 8 immune response. These children have unique oral health needs and are at risk of developing several
- 9 <u>multiple</u> associated oral and systemic complications. Dentists play an essential role in diagnosing,
- 10 preventing, stabilizing, and treating oral health problems that can compromise their a patient's quality of
- 11 life before, during, and following such immunosuppressive therapies. All children undergoing
- 12 immunosuppressive therapy and/or head and neck radiation therapy should have an oral examination
- 13 before such treatments commences. Dental interventions must be performed promptly, efficiently, and
- 14 with attention to the patient's unique circumstances and treatment protocol. Preventing new dental
- 15 problems and treating existing dental conditions before immunosuppressive therapy <u>and/or head and</u>
- 16 <u>neck radiation</u> is paramount. Preventive strategies include oral hygiene, diet, fluoride, and <u>patient</u>
- 17 education. When completing all dental care prior to therapy is not feasible, priorities should be treatment
- 18 of odontogenic and periodontal infections, extractions, periodontal care, and removal of sources of tissue
- 19 irritation. Recommendations for managing management of caries caries lesions, pulp therapy,
- 20 orthodontia, periodontal conditions, and extractions are included. Elective dental care during
- 21 immunosuppression is not recommended. Management of <u>Strategies to manage</u> oral conditions related to
- 22 immunosuppressive therapies and head and neck radiation (e.g., mucositis, xerostomia, trismus) is are
- 23 addressed. For children undergoing hematopoietic cell transplantation, all dental treatment should be
- 24 completed before the patient becomes immunosuppressed and elective care postponed until
- 25 immunological recovery has occurred. is completed.
- 26
- 27 This document was developed through a collaborative effort of the American Academy of Pediatric
- 28 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and guidance
- 29 regarding dental management of pediatric patients receiving immunosuppressive therapy and/or head
- 30 <u>and neck</u> radiation therapy.
- 31
- 32 KEYWORDS: IMMUNOSUPPRESSION; DENTAL CARE; RADIATION THERAPY; MUCOSITIS;
   33 TREATMENT PROTOCOL; PHOTOBIOMODULATION

- 34
- 35 Latest Revision
- 36 <del>2018</del> 2022
- 37

#### 38 ABBREVIATIONS

- 39 AAPD: American Academy Pediatric Dentistry. ANC: Absolute neutrophil count. BRONJ:
- 40 Bisphosphonate-related osteonecrosis of the jaw. CBC: Complete blood count. GVHD: Graft versus host
- 41 disease. **HCT**: Hematopoietic <u>stem</u> cell transplantation. <u>**LLLT**: Low-level laser therapy</u>. **MASCC/ISOO**:
- 42 The Multinational Association of Supportive Care in Cancer/ International Society of Oral Oncology.
- 43 /mm<sup>3</sup>: per cubic millimeter. <u>MRONJ</u>: <u>Medication</u>-related osteonecrosis of the jaw. <u>OM:</u> Oral mucositis.
- 44 **PBM**: Photobiomodulation.
- 45

#### 46 Purpose

- 47 The American Academy of Pediatric Dentistry (AAPD) recognizes that the pediatric dental professional
- 48 plays an important role in the diagnosis, prevention, stabilization, and treatment of oral and dental
- 49 problems that can compromise the <u>a child's quality of life before</u>, during, and after <u>immunosuppressive</u>
- 50 immuno-suppressive therapy and/or head and neck radiation. which lowers the body's normal immune
- 51 response. This can be deliberate as in lowering the immune response Immunosuppression may be the
- 52 <u>intended goal of therapies</u> to prevent rejection of a <u>donor</u> organ or hematopoietic cell transplant<u>ation</u>\*
- 53 (HCT), or it can be incidental as in a side effect of or it may be a consequence of anti-neoplastic
- 54 chemotherapy<del>, radiation therapy,</del> or HCT conditioning. <u>Children undergoing such therapies will benefit</u>
- 55 from <del>D</del>dental interventions that are with certain modifications must be done promptly and efficiently, and
- 56 modified according with attention to the patient's medical history, cancer treatment protocol, and health
- 57 status.
- 58
- Immunosuppressive therapy <u>and/or head and neck radiation</u> may cause many acute and long-term side effects in the oral cavity. Furthermore, any existing or potential sources of oral/dental infections and/or soft tissue trauma can compromise <del>the</del> medical treatment, leading to <u>greater</u> morbidity <u>and</u> mortality, <del>and</del> <u>as well as higher hospitalization costs</u>. It is imperative that the pediatric dentist be familiar with the patient's medical history <u>and as well as associated</u> oral manifestations <del>of the underlying conditions</del>, <u>and</u> <u>appropriately address dental concerns in conjunction with the patient's medical team.</u>
- 66 Methods

- 67 Developed by the Clinical Affairs Committee as Management of Pediatric Dental Patients Receiving
- 68 Chemotherapy and/or Radiation and adopted in 1986 (AAPD Immun 1986), this best practice document
- 69 was last revised in 2018.(AAPD P. Immunosuppressive 2018) 2013. The revision of the best practice is
- 70 based upon a review of current dental and medical literature related to immunosuppressive therapy,
- 71 <u>head and neck radiation and best current practice.</u> The revision by the Council of Clinical Affairs
- 72 included a new literature search of the PubMed<sup>®</sup>/ MEDLINE database using the terms: pediatric cancer,
- 73 pediatric oncology, hematopoietic cell transplantation, bone marrow transplantation, immunosuppressive
- therapy, mucositis, stomatitis, chemo-therapy, radiotherapyradiation therapy, acute effects, long-term
- r5 effects, dental care, oral health, pediatric dentistry, practice guideline; field: all; limits: within the last 10
- 76 years, humans, English, birth through age 18. Two thousand sixty-five articles matched these criteria.
- 77 Additional strategies such as Google scholar and hand searches were employed. Ninety-five pPapers were
- 78 chosen for review from these searches from this list and from the references within selected articles.
- 79 When data did not appear sufficient or were inconclusive, recommendations were based upon expert
- 80 and/or consensus opinion by experienced researchers and clinicians.
- 81

#### 82 Background

83 A multidisciplinary approach involving physicians, nurses, dentists, social workers, dieticians, and other

- 84 related health professionals is essential to in caring care for the child before, during and after any
- 85 immunosuppressive therapy and/or head and neck radiation.(PDQ® NCI National Cancer Institute 2016;
- 86 NIDCR 2016) Acute and chronic oralOral and associated systemic complications that may occur as a
- 87 sequelae of <u>such therapies</u> immunosuppressive therapy and/or radiation therapy include pain, <u>oral</u>
- 88 mucositis and associated pain, oral ulcerations, bleeding, taste dysfunction, secondary opportunistic
- 89 infections (e.g., candidiasis, herpes simplex virus), dental caries, dry mouth, (e.g., salivary gland
- 90 dysfunction, xerostomia), neurotoxicity, mucosal fibrosis, gingival hypertrophy, post-radiation
- 91 <u>osteoradionecrosis</u>, bisphosphonate <u>medication</u>-related osteonecrosis, soft tissue necrosis,
- 92 temporomandibular dysfunction (e.g., trismus), and craniofacial and dental developmental anomalies. and
- 93 oral graft versus host disease (GVHD). <u>PDQ®</u> NCI 2016; <u>Chaveli-Lopez 2014; Hong et al. 2009; Gandi</u>
- 94 <u>2017;</u> da Fonseca 2018, <u>Gawade 2014</u>)
- 95
- 96 All patients undergoing immunosuppressive therapy and/or head and neck radiation should have an oral
- 97 examination prior to initiation of treatment (PDQ® NCI 2016; NIDCR 2016) to identify Prevention and
- 98 treatment of any pre-existing or potential source of or concomitant oral disease or infection is essential
- 99 that may complicate to minimize complications the patient's medical treatment in this population. (Elad et

100 al. 200815; Velten 2017) Every patient requires an individualized management approach. Consultations 101 with the patient's physicians and, when appropriate, other dental specialists, should be sought before 102 dental care is instituted (PDQ<sup>®</sup> NCI 2016). Additionally, tThe key to success in maintaining a healthy oral 103 cavity during therapy is patient compliance. Educating T the child and the parents regarding the possible 104 acute and long-term side effects of cancer therapies is essential, as this may improve patient motivation to 105 adhere to oral care protocols during cancer therapy. should be educated regarding the possible acute side 106 effects and the long-term sequelae of immunosuppressive therapies in the oral cavity (Hong et al. 2009; 107 Elad et al. 200815; Hong et al. Support. Care Cancer 2010; Kwok et al. 2017; Lalla et al. 2011; Schubert 108 & Peterson 2009-2016; Hong & da Fonseca 2008, Gawade 2014). Every patient should be managed on an 109 individual basis; Cconsultations with the patient's physicians and, when appropriate, other dental 110 specialists, should be sought before dental care is instituted (Lalla et al. 2011). 111 112 Recommendations 113 Dental and oral care before the initiation of immunosuppressive therapy or head and neck 114 radiation 115 Objectives (Hong & da Fonseca 2008; Ritwik, 2020) 116 The objectives of a dental/oral examination before therapy starts are three-fold: (Hong & da Fonseca 117 2008) 118 to identify and stabilize or eliminate existing and potential sources of infection and local irritants • 119 in the oral cavity—without needlessly delaying the treatment or inducing complications. 120 to communicate with the medical team regarding the patient's oral health status, plan, and timing • 121 of treatment. 122 to educate the patient and parents about the importance of optimal oral care in order to minimize 123 oral problems-and discomfort before, during, and after treatment and to inform them about the 124 possible acute and long-term effects of the therapy in the oral cavity and the craniofacial 125 complex. 126 127 *Initial evaluation* 128 Medical history review: should include, but not be limited to, disease/condition (type, stage, prognosis), 129 treatment protocol (conditioning regimen, surgery, chemotherapy, location and dose of radiation, 130 transplant), medications (including bisphosphonates and other bone modifying agents), allergies, 131 surgeries, secondary medical diagnoses, hematological status (e.g. complete blood count [CBC]), 132 coagulation status, immuno-suppression status, presence of an indwelling venous access line, and contact

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- 133 of medical team/primary care physician(s).(PDQ® NCI 2016) For HCT patients, include the type of
- transplant, HCT source (i.e., bone marrow, peripheral stem cells, cord blood stem cells), matching status,
- donor, conditioning protocol, expected date of transplant, and GVHD prophylaxis should be elicited.
- 136 Patients with a compromised immune systems may not be able to tolerate a transient bacteremia
- 137 following invasive dental procedures. The decision regarding the need for antibiotic prophylaxis for
- 138 dental procedures should be made in consultation with the child's physician. Unless advised otherwise by
- 139 the physician, the American Heart Association's standard regimen to prevent endocarditis is an accepted
- 140 option. (NIDCR 2016; AAPD Antibiotic prophylaxis 2018)
- 141
- 142 Dental history review: includes information such as fluoride exposure, habits, trauma, symptomatic teeth,
- 143 previous care, preventive practices, oral hygiene, and diet. assessment.
- 144

145 Oral/dental assessment: should include <u>a</u> thorough head, neck, and intraoral examinations, oral hygiene

- 146 assessment, and training, and radiographic evaluation based on history and clinical findings.
- 147
- 148 *Preventive strategies*

149 Oral hygiene: Oral hygiene includes Bbrushing of the teeth and tongue two to three times daily should be

150 <u>done with a regular soft nylon-bristled brush</u> or electric toothbrush, regardless of the hematological

151 status.(Lalla et al. 2011; Kwok et al. 2017; Schubert & Peterson 2009-2016; Peterson et al. 2015; Wilson

et al. <u>2021</u> 2007) Ultrasonic brushes and dental floss should <u>only</u> be allowed <del>only</del> if the patient is properly

- trained.(Schubert & Peterson 2009-2016) If capable, the patient's teeth should be gently flossed daily. If
- 154 pain or excessive bleeding occurs, the patient should avoid the affected area, but floss the other

teeth.(PDQ NCI 2016) Patients with poor oral hygiene and/or periodontal disease may use chlorhexidine

- 156 rinses daily until the tissue health improves or mucositis develops.(Hong et al. Am. J. Med. 2010-Elad
- 157 <u>2015; Hong et al 2019</u>) The high alcohol content of commercially-available chlorhexidine mouthwash

158 may cause discomfort and dehydrate the tissues in patients with mucositis.; thus, an <u>An</u> alcohol-free

159 chlorhexidine solution is indicated in this situation.

160

161 Diet: Dental practitioners should discuss the importance of a healthy diet to maintain nutritional status

and emphasize with an emphasis on foods choices that do not promote caries. Patients and parents should

- 163 be advised about the high cariogenic potential of carbohydrate-rich dietary supplements in carbohydrates
- and sucrose-sweetened oral pediatric medications rich in sucrose.(Hong et al. Support Care Cancer 2010;
- 165 Weng 2021; Nirmala 2015) They should also be instructed that sharp, crunchy, spicy, and highly acidic

166 foods and alcohol should be avoided during chemotherapy, head and neck radiation, and HCT. ( PDQ® 167 NCI 2016) 168 169 Fluoride: Preventive measures include the use of fluoridated toothpaste, fluoride supplements if indicated, 170 neutral fluoride gels/rinses, or applications of fluoride varnish for patients at risk for caries and/or dry 171 mouthxerostomia.6.8 A brush-on technique is convenient and may increase the likelihood of patient 172 compliance with topical fluoride therapy. (Schubert & Peterson 2009-2016) 173 174 Lip care: Lanolin-based creams and ointments are more effective in moisturizing and protecting against 175 damage than petrolatum-based products.(Schubert & Peterson 2009 Santo et al. 2013) 176 177 Trismus prevention/treatment: Patients who receive head and neck radiation therapy to the masticatory 178 muscles-may develop trismus. Thus, daily oral stretching exercises/physical therapy should start before 179 radiation is initiated and continue throughout treatment.(Lalla et al. 2011; Kowk et al. 2017; Little et al. 180 2018) 181 182 Reduction of head and neck radiation to healthy oral tissues: In cases of radiation to the head and neck, 183 the-The use of lead-lined stents, prostheses, and shields, as well as salivary gland sparing techniques 184 (e.g., three-dimensional conformal or intensity modulated radiotherapy, concomitant cytoprotectants, 185 surgical transfer of salivary glands), should be discussed with the radiation oncologist. 186 187 Education: Patient and parent education includes the importance of optimal oral care in order to minimize 188 oral problems and discomfort before, during, and after treatment and the possible acute and long-term 189 effects of the therapy in the craniofacial complex. (Hong et al, 2019; PDQ NCI 2016) 190 191 Dental care 192 Hematological considerations: 193 Dental providers should be aware of the patient's hematologic status and related risks of bacteremia and 194 excessive bleeding. Hematologic management of the patient should be directed by the patient's 195 oncologist, and consultation with the medical team is necessary to determine the need for prophylactic 196 interventions prior to dental treatment. 197

- 198 In particular, patients who are immunosuppressed may not be able to tolerate a transient bacteremia
- 199 following invasive dental procedures. A decision regarding the need for antibiotic prophylaxis prior to
- 200 dental treatment should be made in consultation with the child's physician. Unless advised otherwise, the
- 201 American Heart Association's standard regimen to prevent endocarditis is an acceptable option for the
- 202 immunocompromised patient. (NIDCR 2016; Wilson et al. 2021). The following parameters may be used
- 203 to guide decisions regarding need for antibiotic prophylaxis:
- Absolute neutrophil count (ANC):
- 205 —>2,000 per cubic millimeter (/mm<sup>3</sup>): no need for antibiotic prophylaxis;(PDQ NCI 2016; Little et
   206 al. 2018)
- 207 1000 to 2000/mm<sup>3</sup>: Use clinical judgment based on the patient's health status and planned
- 208 procedures. Some authors(<u>PDQ®</u>\_NCI 2016; <u>Lalla et al. 2011</u>) suggest that antibiotic coverage
- 209 (dosed per AHA recommendations [Hong et al. Am J Med 2010]) may be prescribed when the
- 210 ANC is in this range between 1,000 and  $2,000/\text{mm}^3$ . If infection is present at the site of the planned
- 211 procedure, or unclear, a more aggressive prophylactic antibiotic therapy regimen may be indicated
   212 and should be discussed with the medical team; and
- 213 <1,000/mm<sup>3</sup>: defer elective dental care.(da Fonseca 2018; Levi et al 2018) In dental emergencies<del>y</del>
- 214 cases, discuss management with a course of antibiotic therapy versus one dose of antibiotics for
- 215 prophylactic coverage. antibiotic coverage (antibiotic prophylaxis versus antibiotic coverage for a
- 216 period of time) with <u>the medical team before proceeding with treatment</u>. The patient may need
   217 hospitalization for dental management.
- 217
- 218
- 219 Patients undergoing cancer treatments are at risk for thrombocytopenia. The following parameters may be
   220 used to determine need for pre- and post-operative interventions:
- \_Platelet count:(Lalla et al. 2011) (Little et al. 2018)
- 222 <60,000/mm<sup>3</sup>: Defer elective treatment and avoid invasive procedures when possible. When
- 223 medically-necessary dental treatment is required, a hospital setting is most appropriate. Discuss
- 224 <u>supportive measures (e.g., platelet transfusions pre- and post-operatively, bleeding control,</u>
- 225 <u>hospital admission and care) with the patient's physician before proceeding</u>. Localized hemostatic
- 226 measures to manage prolonged bleeding may be utilized (e.g., sutures, hemostatic agents, pressure
- 227 packs, microfibrillar collagen, topical thrombin and/ or gelatin foams). Systemic measures (e.g.,
- 228 aminocaproic acid, tranexamic acid) may be recommended by the hematologist/oncologist. If
- 229 platelet transfusions are administered, the dentist should consult with the hematologist regarding
- 230 <u>the need for a post-transfusion platelet count before the commencement of dental treatment.</u>

231	Additional transfusions would ideally be available in the event of excessive and persistent
232	intraoperative or postoperative bleeding (Schiffer et al. 2018).
233	
234	• Other coagulation tests (e.g., prothrombin time, partial thromboplastin time, international
235	normalized ratio, platelet function) may be recommended for certain patients with other
236	coagulopathies.
237	>75,000 /mm <sup>3</sup> : no additional support needed_;
238	
239	operatively. Z, Localized procedures to manage prolonged bleeding may include (e.g. sutures,
240	hemostatic agents, pressure packs, and/ or gelatin foams); and.
241	
242	physician to discuss (e.g., platelet transfusions bleeding control, hospital admission and care)
243	before proceeding. Additional localized procedures (e.g., microfibrillar collagen, topical thrombin)
244	and additional medications as recommended by the hematologist/oncologist (e.g., aminocaproic
245	acid, tranexamic acid) may help control bleeding.(PDQ NCI 2016).
246	<ul> <li>Other coagulation tests may be in order for individual patients.</li> </ul>
247	
248	Dental procedures:
249	• Ideally, all dental care should be completed before immunosuppressive therapy is initiated. When
250	that is not feasible, temporary restorations may be placed and non-acute dental treatment may be
251	delayed until the patient's hematological status is stable.(PDQ NCI 2016; Hong et al. 2018; Lalla
252	et al. 2011) The patient's blood counts typically normally start falling five to seven days after the
253	beginning of treatment cycle, and staying low for approximately 14 to 21 days, before rising
254	again to normal levels. for a few days until the next cycle begins. Patients who require an organ
255	transplant are best able to tolerate dental care at least three months after transplant when overall
256	health improves (NIDCR 2016).
257	• Prioritizing procedures: When all dental needs In the event that definitive dental care would result
258	in a delay of oncologic treatment and a resultant poorer medical prognosis, cannot be treated
259	before therapy is initiated, priorities should providers may prioritize treatment of symptomatic or
260	potentially symptomatic caries lesions (risk of irreversible pulpitis), be infections, hopeless teeth
261	(e.g., root tips, non-restorable teeth) and removal of extractions, periodontal care (e.g., scaling,
262	prophylaxis), and sources of tissue irritation before the treatment of asymptomatic carious teeth
263	(e.g., incipient, small asymptomatic caries lesions), root canal therapy for asymptomatic

- 264 permanent teeth, and replacement of faulty restorations. (Hong et al. 2018; da Fonseca 2018; Little 265 et al. 2018) It is important for the practitioner to be aware that the signs and symptoms of 266 periodontal disease and infection may be decreased in immunosuppressed patients. (Kwok et al. 267 2017, Little et al. 2018) 268 Pain and the risk for pulpal infection determine which carious lesions should be treated ٠ 269 first.(Schubert & Peterson 2009) Incipient to small carious lesions may be treated with fluoride, 270 silver diamine fluoride, and/or sealants if until definitive care can be accomplished.(Lalla et al. 271 2011 Some patients requiring an organ transplant will be best able to tolerate dental care at least 272 three months after transplant when overall health improves.(NIDCR 2016) It is important for the 273 practitioner to be aware that the signs and symptoms of periodontal disease may be decreased in 274 immunosuppressed patients.(Lalla et al. 2011) 275 Pulp therapy in primary teeth: Few studies have evaluated the safety of performing pulp therapy ۰ 276 in primary teeth prior to the initiation of chemotherapy and/or head and neck radiationotherapy. 277 Many clinicians choose to extract pulpally involved carious teeth provide a more definitive 278 treatment in the form of extraction because of the potential for pulpal/periapical/furcal infections 279 during immunosuppression periods to can become life-threatening during periods of 280 immunosuppression.(Lalla et al. 2011; Schubert and Peterson 2009-2016) Asymptomatic Tteeth 281 that are already have been treated pulpally and are clinically and radiographically sound should 282 be monitored periodically for clinical and radiographic signs of internal resorption or failure. due 283 to pulpal/ periapical/furcal infections. 284 Endodontic treatment in permanent teeth: Symptomatic non-vital permanent teeth ideally should 285 receive root canal treatment in a single visit at least one week before initiation of 286 immunosuppressive therapy to allow sufficient time to assess treatment success. before the 287 chemotherapy.(da Fonseca 2018; Lalla et al. 2011; Little et al. 2018) If that is not possible, 288 alternative options include pulpectomy and closure with an antibacterial agent or extraction. is 289 indicated. Extraction is also the treatment of choice for teeth that cannot be treated by definitive 290 endodontic treatment in a single visit. The need for antibiotics is determined by the patient's 291 health status and should be discussed with the patient's physician. In that case, the extraction 292 should be followed by antibiotic therapy (penicillin or, for penicillin allergic patients, 293 elindamycin) for about one week is indicated. (Lalla et al. 2011; Little et al. 2018) Endodontic 294 treatment of asymptomatic non-vital permanent teeth may be delayed until the hematological 295 immunologic status of the patient is stable.(da Fonseca 2018; Little et al. 2018) It is important
- 296 that the <u>The etiology of periapical radiolucencies lesions</u> associated with previously

- endodontically treated teeth <u>should</u> be determined because they <u>may represent can be due to a</u>
   number of factors including pulpal infections, inflammatory reactions, apical scars, cysts, and or
   malignanciesy. (Schubert & Peterson 2009-2016) Periapical lesions that are asymptomatic and
   most likely depict apical scars do not need retreatment. (Hong et al, 2018) <u>If a periapical lesion is</u>
   associated with an endodontically treated tooth and no signs or symptoms of infection are present,
   there is no need for retreatment or extraction since the radiolucency likely is due to an apical
   scarYamagata et al. 2006)
- 304 Orthodontic appliances and space maintainers: Poorly-fitting appliances can abrade oral mucosa • 305 result in a breach of oral mucosa and increased the risk of microbial invasion into deeper tissues. 306 (Levi et al. 2018; Lalla et al. 2011) Fixed Appliances should be removed if the patient has poor 307 oral hygiene and/or if the treatment protocol or (e.g., HCT conditioning regimen, head and neck 308 radiation) carries a risk for the development of moderate to severe mucositis.(da Fonseca 2018) 309 Simple appliances (e.g., band and loops, fixed lower lingual arches) that are not irritating to the 310 soft tissues may be left in place in patients with who present good oral hygiene. (da Fonseca 311 2018; Schubert & Peterson 2009-2016) Removable appliances and retainers that fit well may be 312 worn as long as tolerated by the patient with who maintains good oral care. (Lalla et al. 2011; 313 Schubert & Peterson 2009-2016) Patients should be instructed to clean their appliance daily and 314 routinely clean appliance cases with an antimicrobial solution to prevent contamination and 315 reduce the risk of appliance-associated oral infections. (Lalla et al. 2011) Consider removing 316 orthodontic bands or adjusting prosthesises that approximate gingival tissue if a patient is 317 expected to receive cyclosporine or other drugs known to cause gingival hyperplasia. If band 318 removal is not possible, vinyl mouth guards or orthodontic wax should be used to decrease tissue 319 trauma.(Schubert & Peterson 2009-2016)
- 320 Periodontal considerations: Extraction is the treatment of choice for teeth with a poor prognosis • 321 (e.g., non-restorable teeth, periodontal pockets greater than five millimeters, significant bone loss, 322 furcation involvement, mobility, infection) that cannot be treated by definitive periodontal 323 therapy. Partially erupted molars can become a source of infection because of pericoronitis. The 324 overlying gingival tissue should be excised if the dentist believes it is a potential risk and if the 325 hematological status permits.(Schubert & Peterson 2009-2016; Little et al. 2018) Extraction is 326 the treatment of choice for teeth with a poor prognosis that cannot be treated by definitive 327 periodontal therapy. If the patient has had bisphosphonates and an invasive periodontal procedure 328 is indicated, risks must be discussed with the patient, parents, and physicians prior to the 329 procedure.

- 330 Third molars and other impacted teeth: Some practitioners prefer to extract all third molars that 331 are not fully erupted, particularly prior to HCT. Others favor a more conservative approach and 332 only recommend extraction of third molars at risk for pulpal infection, with significant pathology, 333 infection, periodontal disease, or pericoronitis, or when malposed or non-functional.(Schubert & 334 Peterson 2016; AAPD Oral surgery 2020; AAOMS 2016) 335 Primary teeth that are mobile due to natural exfoliation may be left alone. • 336 Extractions: There are no clear recommendations for the use of antibiotics for extractions. (da • 337 Fonseca 2018) Recommendations generally have been empiric or based on anecdotal experience. 338 Surgical procedures must be as atraumatic as possible, with no sharp bony edges remaining and satisfactory closure of the wounds. These extractions are ideally performed three weeks (or at 339 340 least ten to fourteen days) before cancer therapy is initiated to allow for adequate healing (Lalla et 341 al. 2011; Schubert & Peterson 20092016; Little et al. 2018) If the patient is immunocompromised 342 and at risk of infection from transient bacteremia, antibiotic prophylaxis should be discussed with 343 the patient's physicians. If Regardless of hematologic status, if there is documented infection 344 associated with the extracted tooth, antibiotics (ideally chosen with the benefit of sensitivity 345 testing) should be administered for about one week post-operatively.(Lalla et al. 2011; Schubert 346 & Peterson 2009-2016; Little et al. 2018) 347
- 348 To minimize the risk of development of osteonecrosis, osteoradionecrosis, or bisphosphonate-349 related osteonecrosis of the jaw (BRONJ), patients who will receive radiation to the jaws or 350 bisphosphonate treatment as part of the cancer therapy must have all oral surgical procedures 351 completed before those measures are instituted.(Saad et al. 2012; Kuhl et al. 2012; Dodson 2009) 352 If the patient has received bisphosphonates or radiation to the jaws and an oral surgical procedure 353 is necessary, risks must be discussed with the patient, parents, and physician prior to the 354 procedure. In patients undergoing long-term potent, high-dose intravenous bisphosphonates, there 355 is an increased risk of BRONJ after a tooth extraction or with periodontal disease, (Saad et al. 356 2012; Kuhl et al. 2012; Dodson 2009 although most of the evidence has been described in the 357 adult population.(Kuhl et al. 2012) Patients with a high risk of BRONJ are best managed by a 358 dental specialist in coordination with the medical team in the hospital setting. 359 Loose primary teeth should be allowed to exfoliate naturally. Nonrestorable teeth, root tips, teeth 360 with periodontal pockets greater than six millimeters, symptomatic impacted teeth, and teeth 361 exhibiting acute infections, significant bone loss, involvement of the furcation, or mobility should

362	removed ideally two weeks (or at least seven to 10 days) before therapy is initiated to allow
363	adequate healing.(Lalla et al. 2011; Schubert & Peterson 2009; Little et al. 2018)
364	Some practitioners prefer to extract all third molars that are not fully erupted, particularly prior to
365	HCT, while others favor a more conservative approach, recommending extraction of third molars
366	at risk for pulpal infection or those associated with significant pathology, infection, periodontal
367	disease, or pericoronitis or if the tooth is malpositioned or non-functional.(Schubert & Peterson
368	2009; AAPD Oral surgery 2018; AAOMS 2016)
369	
370	• In pediatric patients who are on bone modifying agents (e.g., bisphosphonates, anti-resorptive,
371	anti-angiogenic agents) as part of their cancer treatment, or in those who have had head and neck
372	radiation are at an increased risk of medication-related osteonecrosis of the jaw (MRONJ) or
373	osteoradionecrosis, (Yarom et al. 2019; Migliorati 2019; Ruggerio et al. 2014) although most of
374	the evidence has been described in the adult population (Migliorati 2019). Patients deemed to be
375	at a significant risk of MRONJ or osteoradionecrosis are best managed by a dentist in
376	coordination with the medical team in a hospital setting. To minimize the risk of development of
377	osteonecrosis, osteoradionecrosis, or MRONJ, bisphosphonate-related osteonecrosis of the jaw
378	(BRONJ), patients would ideally have who will receive radiation to the jaws or bisphosphonate
379	treatment as part of the cancer therapy must have all oral surgical procedures (e.g., extractions
380	and periodontal treatment) completed before those therapies measures are instituted. (Saad et al.
381	2012; Kuhl et al. 2012; Dodson 2009 Yarom et al. 2019; Migliorati 2019) In patients who have
382	been on anti-resorptive (e.g., bisphosphates, denosumab) or anti-angiogenic agents as part of their
383	cancer treatment or have had If the patient has received bisphosphonates or radiation to the jaws,
384	and an oral surgical procedure or invasive periodontal procedure is necessary, risks must be
385	discussed it is important to discuss risks with the patient, and caregivers, and physician prior to
386	the procedure. In patients undergoing long-term potent, high-dose intravenous bisphosphonates,
387	there is an increased risk of BRONJ after a tooth extraction or with periodontal disease,(Saad et
388	al. 2012; Kuhl et al. 2012; Dodson 2009) although most of the evidence has been described in the
389	adult population.(Kuhl et al. 2012) Patients with a high risk of BRONJ are best managed by a
390	dental specialist in coordination with the medical team in the hospital setting.
391	
392	Communication:

- 393 It is vital that the dentist communicate the comprehensive oral care plan with the medical team.
- 394 Information to be shared includes the extent of non-elective dental treatment needed, need for supportive

- 395 care (e.g., hospital admission, blood product replacement, antibiotic coverage) and the amount of time 396 needed for stabilization of oral disease and healing from the dental procedures. the severity of dental 397 caries (number of teeth involved and which teeth need immediate treatment), endodontic needs (pulpal 398 versus periapical infection), periodontal status, number of teeth requiring extraction, soft tissue pathology, 399 and any other urgent care needed. Furthermore, it is important for the dentist to discuss Discussions with 400 the medical team can ensure how much time is needed for the stabilization of oral disease and ideal 401 coordination between needed dental services and planned cancer therapy. as this will also affect the 402 timing of the or conditioning protocols.(PDQ NCI 2016) 403 404 Dental and oOral care during immunosuppression periods and radiation therapy 405 Preventive strategies 406 Oral hygiene: Intensive Maintenance of good oral care is of paramount importance in patients undergoing 407 immunosuppressive therapy and head and neck radiation is necessary to because it reduces the microbial 408 load in the oral cavity. This may decrease the host inflammatory response and subsequent severity of 409 OM. Furthermore, a clean oral cavity reduces the risk of opportunistic infections. risk of developing 410 moderate/severe mucositis without causing an increase in septicemia and infections in the oral cavity. 411 (PDQ\_NCI 2016; Hong et al. 2009; Elad et al. 201508; Hong et al. Support Care Cancer 2010; Lalla et al. 412 2011; Kwok et al. 2017; Schubert & Peterson 2009-2016; Peterson et al. 2015; Little et al. 2018; Stiff et 413 al. 2006 Levi et al. 2018) Thrombocytopenia should not be the sole determinant of oral hygiene as 414 patients are able to brush without bleeding at widely different levels of platelet count.(Schubert & 415 Peterson 2009) Patients should use a soft nylon brush two to three times daily and replace it on a regular 416 (every two to three months) basis. (Schubert & Peterson 2009-2016; Peterson et al. 2015) 417 418 Thrombocytopenia is not the sole determinant of oral hygiene as patients are able to brush without 419 bleeding at widely different levels of platelet counts.(Schubert & Peterson 2009-2016) Fluoridated 420 toothpaste is effective for caries prevention can be used, but, and a mildly flavored toothpaste may be 421 better tolerated during periods of OM. if the patient does not tolerate it during periods of mucositis due to 422 oral burning or stinging sensations, it may be discontinued and the patient should switch to mild-flavored 423 non-fluoridated toothpaste. If moderate to severe OM mucositis develops and the patient cannot tolerate a 424 regular soft nylon toothbrush or an end-tufted brush, foam brushes or super soft brushes soaked in 425 chlorhexidine may be used.(Hong and da Fonseca 2008; Ritwik 2020) Otherwise, foam or super soft 426 brushes are should be discouraged because they do not allow for effective cleaning. The use of a regular
- 427 brush should be resumed as soon as the <u>OM mucositis</u> improves.(Schubert & Peterson 2009-2016;

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- 428 Peterson et al. 2015) Brushes should be air-dried between uses.(Schubert & Peterson 2009-2016) Electric
- 429 or ultrasonic brushes are acceptable if the patient is capable of using them without causing trauma and
- 430 irritation. If patients are skilled at flossing without traumatizing the tissues, it is reasonable to continue
- 431 flossing throughout treatment. Toothpicks and water irrigation devices should not be used when the
- 432 patient is pancytopenic to avoid tissue trauma.(Schubert & Peterson 2009-2016; AAPD Antibiotic
- 433 prophylaxis 2018)
- 434
- 435 Dental care
- 436 During immunosuppression, elective dental care <u>should be deferred</u>. <del>should not be provided</del>. If a dental
- 437 emergency arises, the treatment plan should be discussed with the patient's physician who will make
- 438 recommendations for supportive medical therapies (e.g., antibiotics, platelet transfusions, analgesia). The
- 439 patient should be seen reevaluated every six months (or in shorter intervals if there is a risk of dry mouth
- 440 xerostomia, caries, trismus, and/or chronic oral GVHD) for an oral health evaluation during treatment, in
- times of stable hematological status and always after reviewing the medical history.
- 442
- 443 Management of <u>oral mucositis and associated pain</u>—oral conditions related to immunosuppressive
   444 therapies
- 445 <u>Oral mucositis</u> (OM): The Multinational Association of Supportive Care in Cancer/International Society
- 446 of Oral Oncology (MASCC/ ISOO) has published guidelines for treatment of <u>OM</u>.mucositis.(Peterson et
- 447 al. 2015; Lalla et al. 2014Elad et al. 2020) Currently, data for the pediatric population is limited; thus,
- 448 <u>recommendations are based largely on adult studies.</u> The <u>recommended</u> most common prescriptions for
- 449 prevention management of mucositis OM include good oral hygiene, bland mouth rinses (saline or
- 450 sodium bicarbonate), benzydamine mouthrinse, cryotherapy, palifermin, and photobiomodulation therapy
- 451 (PBM). (Elad et al 2020, Miranda-Silva 2021). analgesics, non-medicated oral rinses (e.g., 0.9 percent
- 452 saline or sodium bicarbonate mouth rinses four to six times/day), and parenteral nutrition as needed.(\_NCI
- 453 2016; Peterson et al 2015; Stiff et al. 2006) Mucosal coating agents (e.g., Amphojel®, Kaopectate®,
- 454 hydroxypropylmethylcellulose) and film-forming agents (e.g., Zilactin® and Gelelair®) also have been
- 455 suggested.(PDQ NCI 2016) Effective interventions for mucositis prevention include the use of palifermin,
- 456 low-level laser therapy (LLLT), and cryotherapy.(Lalla et al. 2014) The use of sucralfate, antimicrobial
- 457 lozenges, <u>chlorhexidine</u>, pentoxifylline, and granulocyte–macrophage colony stimulating factor
- 458 mouthwash for oral mucositis are not recommended.(Peterson et al. 2015-Lalla et al. 2014Elad et al.
- 459 <u>2020)</u>
- 460

- 461 Oral cryotherapy, the cooling of intraoral tissue with ice, during chemotherapy treatment, is
- 462 recommended as OM mucositis prophylaxis for patients receiving bolus infusion of chemotherapy drugs
- 463 with short half-lives.(Lalla et al. 2014Elad et al. 2020; Peterson et al. 2013) This includes patients treated
- 464 with fluorouracil as well as patients receiving high-dose melphalan as conditioning for HCT.( Lalla et al.
- 465 2014) Oral cryotherapy reduces <u>the</u> blood flow to the mouth by narrowing the blood vessels, <u>thus</u> limiting
- 466 the amount of chemotherapy drugs delivered to the tissues. Cryotherapy is inexpensive and readily
- 467 available, but further research is needed to confirm the effectiveness of oral cryotherapy in <u>children</u>
- 468 pediatric oncology.(Peterson et al. 2013; Miranda-Silva et al. 2021)
- 469
- 470 Palifermin (keratinocyte growth factor-1) is a drug approved by the U.S. Food and Drug Administration
- 471 for the prevention and treatment of oral mucositis-(U.S. FDA 2015 2013) It is recommended for mucositis
- 472 prophylaxis for <u>in</u> patients undergoing conditioning with high-dose chemotherapy and total body
- 473 irradiation followed by HCT.(<u>Lalla et al. 2014Elad et al. 2020</u>) Palifermin is believed to exerts its effect
- 474 <u>by stimulateating</u> epithelial cell reproduction, growth, and development so that mucosal cells damaged by
- 475 chemotherapy and radiation are replaced quickly, accelerating the healing process.(U.S. FDA 2013
- 476 (Kwok et al, 2017; Logan et al. 2020)
- 477

478 The current MASCC/ISOO guidelines support the use of PBM low-level laser therapy to prevent OMoral 479 mucositis for in patients undergoing HSCCT conditioning with high-dose chemotherapy with or without 480 total body irradiation as well as patients undergoing radiation treatment for head and neck cancer.(-Lalla 481 et al. 2014Elad et al. 2020 LLLT PBM can decrease pain and the duration and severity of chemo-therapy-482 induced OM mucositis in children.(He et al. 2018; Amadori et al. 2016; Kuhn et al. 2009 Eduardo et al. 483 2015) LLLT PBM may not be available at all cancer treatment centers due to the cost of the equipment 484 and the need for trained personnel. Appropriate protocol must be followed when using LLLT PBM to 485 prevent contamination and occupational risks to the child and dental team. 486

- 487 Oral cryotherapy, the cooling of intraoral tissue with ice during chemotherapy treatment, is recommended
- 488 as mucositis prophylaxis for patients receiving bolus infusion of chemotherapy drugs with short half-
- 489 lives.(Lalla et al. 2014Elad et al. 2020; Peterson et al. 2013) This includes patients treated with
- 490 fluorouracil as well as patients receiving high-dose melphalan as conditioning for HCT.( Lalla et al.
- 491 2014Elad et al. 2020) Oral cryotherapy reduces blood flow to the mouth by narrowing the blood vessels,
- 492 limiting the amount of chemotherapy drugs delivered to the tissues. Cryotherapy is inexpensive and

- 493 readily available, but further research is needed to confirm the effectiveness of oral cryotherapy in
- 494 pediatric oncology.(Peterson et al. 2013; Miranda-Silva et al. 2021)
- 495
- 496 Studies on the use of chlorhexidine for mucositis have given conflicting results. With regard to
- 497 <u>chlorhexidine</u>, <u>Mm</u>ost studies have not demonstrated a prophylactic impact or a reduction in the severity
- 498 of <u>OM mucositis Worthington et al. 2011; Kwok et al. 2017</u>, Little et al. 2018; Clarkson et al. 2010;
- 499 Cardona et al. 2017), although reduced colonization of candidal species has been shown. Chlorhexidine
- 500 is <u>not no longer</u> recommended for preventingion of oral mucositis in patients undergoing <u>head and neck</u>
- 501 radiationotherapy.(Peterson et al. 2015; Lalla et al. 2014Elad et al. 2020; McGuire et al. 2013)
- 502
- 503 Patient-controlled analgesia has been is helpful in relieving pain associated with OMmucositis, reducing
- 504 the requirement for oral analgesics. (Lalla et al. 2014, McGuire et al. 2013) The use of topical anesthetics
- 505 and mixtures containing topical anesthetics (e.g., Philadelphia mouthwash, magic mouthwash) has has
- 506 been suggested for pain management<sub>--</sub>(Peterson et al. 2015; <u>Saunders et al. 2020</u>) although there are no
- 507 studies available to assess the benefit and potential for toxicity. <u>However, topical</u> anesthetics only
- 508 provide short term pain relief.(Peterson et al. 2015; McGuire et al. 2013) Lidocaine In addition to possible
- 509 <u>cardiovascular and central nervous system effects, their use may obtund or diminish taste and the gag</u>
- 510 reflex(McGuire et al. 2013 Kwok et al. 2017) and/or result in a burning sensation., in addition to possible
- 511 cardiovascular and central nervous system effects. Currently, the evidence for its benefit is lacking (Hong
- 512 et al. 2019) and potential for toxicity is a concern in young children.
- 513

514 Oral mucosal infections: The signs of <u>oral mucosal</u> inflammation and infection may be <del>greatly</del> diminished

- 515 during neutropenic periods. Thus, the clinical appearance of infections may differ significantly from the
- 516 <u>expected normal.</u>(Little et al. 2018) Close monitoring of the oral cavity allows for timely diagnosis and
- 517 treatment of fungal, viral, and bacterial infections. <u>Oral cultures and/or biopsies of all suspicious lesions</u>
- 518 <u>are appropriate if medical status permits. While waiting for the results, empiric therapy typically is</u>
- 519 initiated until laboratory results dictate more specific medications.(PDQ NCI 2016; Schubert & Peterson
- 520 2016; Little et al. 2018) Of note, Prophylactic nystatin is not effective for the prevention and/or treatment
- 521 of fungal infections.(Lalla et al. 2011; Kwok et al. 2017; Gøtzche and Johansen 2014 2002) Oral cultures
- 522 and/or biopsies of all suspicious lesions be performed. and prophylactic medications should be initiated
- 523 until more specific therapy can be prescribed.(<u>NCI 2016; Lalla et al. 2011; Schubert & Peterson 2009;</u>

524 Little et al. 2018)

525

526 Oral bleeding: Oral bleeding in patients undergoing immunosuppressive therapy commonly occurs due to 527 thrombocytopenia, disturbance of coagulation factors, and/or damaged vascular integrity. Management 528 should consists of local approaches (e.g., pressure packs, antifibrinolytic rinses or topical agents, gelatin 529 sponges) and systemic measures (e.g., platelet trans-fusions, aminocaproic acid).(Lalla et al. 2011 Kwok 530 et al. 2017); Schubert & Peterson 2009-2016; Little et al. 2018) 531 532 Dental sensitivity/pain: Tooth sensitivity eould may be related to dry mouth decreased secretion of saliva 533 during chemotherapy or head and neck radiation therapy and the lowered salivary pH.(Lalla et al. Kwok 534 et al. 2017; Schubert & Peterson 2009-2016; Little et al. 2018) Patients who are using plant alkaloid 535 chemotherapeutic agents (e.g., vincristine, vinblastine) may experience neurotoxicity that presents as with 536 deep, constant jaw pain (affecting the mandibular molars with greater frequency) or paresthesia in the

bigs absence of odontogenic pathology. The pain usually is transient and generally subsides shortly after dose

reduction and/or cessation of chemotherapy.(Lalla et al. 2011; Kwok et al. 2017; Schubert & Peterson

- 539 <u>2009-2016;</u> Little et al. 2018)
- 540

541 <u>XerostomiaDry mouth</u>: Sugar-free chewing gum or candy, sucking tablets, special dentifrices for oral

542 dryness, saliva substitutes, frequent sipping of water, alcohol-free oral rinses, and/or oral moisturizers are

543 recommended.(Schubert & Peterson 2009-2016; Carvalho et al. 2018; Chaveli-Lopez 2014 Nieuw

544 Amerongen and Veerman 2003) Placing a humidifier by the child's bedside at night may be useful.(Little

545 et al. 2018) Saliva stimulating drugs are approved for use in children. Fluoride rinses and gels are <u>highly</u>

546 recommended highly for caries prevention in these patients.

547

548 Trismus: Daily oral stretching exercises/physical therapy <u>should be implemented</u> <del>must continue</del> during

549 <u>head and neck</u> radiation treatment. Management of trismus may include prosthetic aids to reduce the

550 severity of fibrosis, trigger-point injections, analgesics, muscle relaxants, and other pain management

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551 strategies.(Lalla et al. 2011; Kwok et al. 2017; Levi et al. 2018; Jensen et al. 2010 2017)
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552

#### 553 Hematopoietic cell transplantation

- 554 Hematopoietic cell transplantation ean be is used in children to treat malignancies and hematologic
- disorders, as well as certain metabolic syndromes. Examples include:(Majhail et al. 2015)
- malignant disorders treated with autologous HCT
- 557 brain tumors.
- 558 Ewing sarcoma.

- 559 germ cell tumors.
- 560 Hodgkin lymphoma.
- 561 leukemia.
- 562 neuroblastoma.
- 563 non-Hodgkin lymphoma.
- 564 Wilms tumor.
- malignant disorders treated with allogenic HCT
- 566 acute lymphocytic leukemia.
- 567 acute myeloid leukemia.
- 568 high-risk solid tumors.
- 569 juvenile myelomonocytic leukemia.
- 570 myelodysplastic syndrome.
- non-malignant disorders treated with allogenic HCT
- 572 bone marrow failure syndromes.
- 573 chronic granulomatous disease.
- 574 Fanconi anemia.
- 575 metabolic storage disorders.
- 576 osteopetrosis.
- 577 severe aplastic anemia.
- 578 sickle cell anemia.
- 579 thalessemia.
- 580 Wiskott-Aldrich syndrome.
- 581

582 Specific oral complications can be correlated with phases of HCT. (PDQ NCI 2016; NIDCR 2016; Hong

- t al. 2009; da Fonseca 2018; Elad et al. 200815; Hong et al. Support Care Cancer 2010; Lalla et al. 2011;
- 584 Schubert & Peterson 2009-2016)
- 585
- 586 *Phase I: Preconditioning*
- 587 The oral complications are related to the <u>patient's</u> current systemic and oral health, oral manifestations of
- 588 the underlying condition, and oral complications of recent medical therapy. Oral complications observed
- 589 include oral opportunistic infections, gingival leukemic infiltrates, bleeding, and ulceration., and
- 590 temporomandibular dysfunction.(PDQ NCI 2016) Most of the principles of dental and oral care before the
- 591 transplant are similar to those discussed for pediatric patients undergoing immunosuppressive cancer

- therapy.(Hong and da Fonseca 2008) The two major differences in HCT are: 1) the patient receives all the
- 593 <u>extremely high dose chemotherapy and/or total body irradiation in just immediately prior to (a few days</u>
- before) the transplant, and 2) there will be prolonged immunosuppression following the transplant.
- 595 Elective dentistry will need to be postponed until immunological recovery has occurred, at least 100 days
- 596 following HCT., or This may be longer if chronic GVHD or other complications (e.g., persistent
- 597 <u>immunodeficiency</u>) are present.(Lalla et al. 2011; Schubert & Peterson 2009-2016) Therefore, all dental
- 598 treatment should be completed before the patient undergoes HCT. the patient becomes
- 599 immunosuppressed.
- 600
- 601 Phase II: Conditioning neutropenic phase
- 602 In this phase, which encompasses the day the patient is ad-mitted to the hospital to begin the transplant
- 603 conditioning to 30 days post-HCT, the <u>majority of oral complications</u> are related to the conditioning
- 604 regimen and supportive medical therapies.(Schubert & Peterson 2009-2016) Mucositis, dry
- 605 <u>mouth</u>xerostomia, oral pain, hemorrhage, opportunistic infections, taste dysfunction, neurotoxicity
- 606 (including dental pain, muscle tremors), and temporomandibular dysfunction (including jaw pain,
- 607 headache, joint pain) may be present.seen, typically with a high prevalence and severity of oral
- 608 complications.(PDQ\_NCI 2016) Oral mucositis usually begins seven to 10 days after initiation of
- 609 conditioning, and symptoms continue approximately two weeks after the end of conditioning.(PDQ NCI
- 610 2016) Among allogeneic transplant patients, hyperacute GVHD can occur, causing more severe
- 611 inflammation and severe mucositis symptoms. <u>Acute GVHD may begin as early as two to three weeks</u>
- 612 after the start of HCT and continue up to two months post-transplant. The timing of this presentation may
- 613 help distinguish acute GVHD from chemotherapy-induced OM. although its clinical presentation is
- 614 difficult to diagnose. (PDQ NCI 2016) The patient may should be followed closely to monitor and manage
- 615 the oral changes and to reinforce the importance of optimal oral care. <u>Avoid elective dental</u> Dental
- 616 procedures usually are not allowed in this phase due to the patient's severe immunosuppression. If
- 617 emergency treatment is necessary, the dentist should consult and coordinate with the attending transplant
- 618 team.
- 619

#### 620 Phase III: Engraftment to hematopoietic recovery

- 621 The intensity and severity of <u>acute complications observed in Phase II usually</u> begin to decrease <del>normally</del>
- 622 three to four weeks after transplantation. Oral fungal infections and herpes simplex virus infection are
- 623 most notable.( NCI 2016) During this phase, acute Acute GVHD can become a concern for allogeneic
- 624 graft recipients. Xerostomia Dry mouth, hemorrhage, neurotoxicity, temporomandibular dysfunction, and

- 625 granulomas/papillomas sometimes are <u>also</u> observed <u>sometimes.(PDQ</u> NCI 2016) <u>With regard to</u>
- 626 opportunistic infections, oral fungal infections and herpes simplex virus infection are most likely.(PDQ
- 627 NCI 2016) HCT patients are particularly sensitive to intraoral thermal stimuli between two and four
- 628 months post-transplant.(Schubert & Peterson 2016) The mechanism is not well understood, but the
- 629 symptoms usually resolve spontaneously within a few months. Topical application of neutral fluoride or
- 630 desensitizing toothpastes helps reduce the symptoms. (Schubert & Peterson 2016). A dental/oral
- 631 examination should be performed and invasive dental procedures, including dental cleanings and soft
- tissue curettage, should be done only if authorized by the HCT team because of the patient's continued
- 633 immunosuppression.(Schubert & Peterson 2009 <u>2016</u>) Patients should be encouraged to optimize oral
- 634 hygiene and avoid a cariogenic diet. Attention to xerostomia and oral GVHD manifestations is crucial.
- 635 HCT patients are particularly sensitive to intraoral thermal stimuli between two and four months post-
- 636 transplant.(Schubert & Peterson 2009) The mechanism is not well understood, but the symptoms usually
- 637 resolve spontaneously within a few months. Topical application of neutral fluoride or desensitizing
- 638 toothpastes helps reduce the symptoms.(Schubert & Peterson 2009)
- 639

#### 640 Phase IV: Immune reconstitution/recovery from systemic toxicity

- 641 After day 100 post-HCT, the oral complications <u>are predominantly are</u> related to the chronic toxicity
- 642 associated with the conditioning regimen, including dry mouth, salivary dysfunction, craniofacial growth
- 643 abnormalities, late viral infections, <u>chronic</u> oral <del>chronic</del> GVHD, and oral squamous cell carcinoma.(PDQ
- 644 NCI 2016; Schubert & Peterson 2009-2016) Xerostomia and relapse-related oral lesions also may be
- 645 observed.( NCI 2016) Unless the patient is neutropenic or with severe chronic GVHD, mucosal bacterial
- 646 infections are less frequently seen. Periodic dental examinations with radiographs can be performed, but
- 647 invasive dental treatment should is to be avoided in patients with persistent profound impairment of
- 648 immune function.(Schubert & Peterson 2009-2016) Consultation with the patient's physician and parents
- 649 regarding the risks and benefits of orthodontic care is recommended.
- 650

#### 651 Dental and oral care after the immunosuppressive therapy <u>and head and neck radiation is have</u>

#### 652 <u>been completed:</u>

- 653 *Objectives*
- 654 The objectives of a dental/oral examination after immunosuppressive therapy ends are three-fold:
- to maintain optimal oral health.
- to reinforce to the patient/parents the importance of optimal oral and dental care for life.

- to address and/or treat any dental issues that may arise as a result of the long-term effects of
   immuno-suppressive therapy and/or head and neck radiation.
- 659
- 660 Dental care
- 661 Periodic evaluation: The patient should be seen at least every six months (or in shorter intervals or more
- 662 <u>frequently</u> if issues such as chronic oral GVHD, <u>dry mouth</u> erostomia, or trismus are present). Patients
- 663 who have experienced moderate or severe mucositis and/or chronic oral GVHD should be followed
- 664 closely for signs of malignant transformation of their oral mucosa (e.g., oral squamous cell
- 665 carcinoma).(Elad et al. 200815; PDQ NCI 2016; Inamoto, 2015Euvrard et al. 2003)
- 666

Education: The importance of optimal oral and dental care for life must be reinforced. It is also important

to emphasize the need for regular follow-ups with a dental professional, especially for patients who are at

risk for or have developed GVHD and/or <del>xerostomia</del> <u>dry mouth</u> and those who were younger than six

670 years of age during treatment due to potential dental developmental problems.

671

672 Orthodontic treatment: Orthodontic care may start or resume after completion of all therapy and after at 673 least a two-year disease-free survival when the risk of relapse is decreased and the patient is no longer 674 using immunosuppressive drugs.(da Fonseca 2018) A thorough assessment of any dental developmental 675 disturbances caused by the therapy must be performed before initiating orthodontic treatment. The 676 following strategies should may be considered when providing orthodontic care for patients with dental 677 sequelae: (1) use appliances that minimize the risk of root resorption, (2) use lighter forces, (3) terminate 678 treatment earlier than normal, (4) choose the simplest method for the treatment needs, and (5) do not treat 679 the lower jaw. (Zahrowski 2007) However, specific guidelines for orthodontic management, including 680 optimal force and pace, remain undefined. Patients and their families may be made aware of the potential 681 for a higher risk of orthodontic relapse among cancer survivors (Mitus-Kenig, 2021). Patients who were 682 on intravenous antiresorptive or anti-angiogenic agents as part of their cancer treatment, or in those who 683 have had head and neck radiation, may-have used or will be given medications associated with 684 osteonecrosis of the jaw in the future present a challenge for orthodontic care. Although bisphosphonate 685 inhibition of tooth movement has been reported in animals, it has not been quantified for any dose or 686 duration of therapy in humans. (Zahrowski 2007, Bhatt et al. 2014) Consultation with the patient's 687 parentscaregivers and physician regarding the risks (e.g. prolonged treatment time, MRONJ, treatment 688 modifications) (Bhatt et al. 2014) and benefits (e.g., reduced root resorption, anchorage, less relapse) 689 (Bhatt et al 2014) of orthodontic care in this situation is recommended.

690

691 Oral surgery and invasive periodontal therapy: Patients at risk for MRONJ or osteoradionecrosis should 692 be managed in coordination with the oncology team in the hospital setting. Consultation with an oral 693 surgeon and/or periodontist and the patient's physician is recommended for non-elective oral surgical and 694 invasive periodontal procedures in patients who have used or are using bisphosphonates or those who 695 received radiation therapy to the jaws in order to devise strategies to decrease the risk of osteonecrosis 696 and osteoradionecrosis, respectively. (Saad et al. 2012; Kuhl et al. 2012; Dodson 2009-Yarom et al. 2019; 697 Migliorati 2019) Elective invasive procedures are best should be avoided in these patients. (Yarom et al. 698 2019; Bhatt et al. 2014) Dahllöf et al. 2001) Patients with a high risk of BRONJ are best managed by in 699 coordination with the oncology team in the hospital setting. 700 701 Long-term concerns 702 Craniofacial, skeletal, and dental developmental issues are some of the complications faced by survivors 703 (NCI 2016; da Fonseca 2018; Schubert & Peterson 2009-2016, Gawade 2014) and usually develop among 704 children who were less than six years of age at the time of their cancer therapy.(da Fonseca 2018; 705 Schubert & Peterson 2009-2016) Long term effects of immunosuppressive therapy may include tooth 706 agenesis, microdontia, crown disturbances (size, shape, enamel hypoplasia, pulp chamber anomalies), 707 root disturbances (early apical closure, blunting, changes in shape or length), reduced mandibular length, 708 reduced alveolar process height, and reduced vertical growth of the face. (da Fonseca 2018, Gawade 2014; 709 Chaveli-Lopez 2014) The severity of the dental developmental anomaly will depend on the age and stage 710 of development during exposure to cytotoxic agents or ionizing radiation. Patients may experience 711 permanent salivary gland hypofunction/dysfunction or xerostomia.(Jensen et al. 2017 2010) Relapse or 712 secondary malignancies can develop at this stage. (PDQ NCI 2016, Inamoto 2015) Routine periodic 713 examinations are necessary to provide comprehensive oral healthcare. Careful examination of extra-oral 714 and intra-oral tissues (including clinical, radiographic, and/or additional diagnostic examinations) are 715 integral to diagnosing any secondary malignancies in the head and neck region. Dental treatment may 716 require a multidisciplinary approach, involving a variety of dental specialists to address the treatment 717 needs of each individual. Consultation with the patient's physician is recommended if relapse occurs or 718 the patient's immunologic status declines. 719

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1 Best Practices for Risk Assessment and Management of

#### 2 Periodontal Diseases and Pathologies in Pediatric Dental Patients

- 3
- 4 Adopted
- 5 2022
- 6
- 7 Abstract
- 8 This best practice supports clinicians in assessing risk for and clinical decision-making in the management of 9 periodontal diseases and pathologies in pediatric dental patients. This document highlights principles of
- 10 periodontal disease diagnosis, risk assessment, and therapies to be applied to pediatric dental patients with
- special considerations for individuals with special health care needs when indicated. Recommendations on
- 12 the management of contributing factors and conditions that increase the risk of periodontal disease and
- 13 pathologies, as well as treatment considerations on the use of adjunctive antibiotics and surgical therapies are
- 14 reviewed. Special attention is focused on care coordination, collaborations and/or referral of care to
- specialists. In cases where the published data regarding periodontal diseases and pathologies among children
- and adolescents was limited, recommendations were extrapolated from evidenced-based literature among
- adult patients, as well as on the consensus opinions of the working group.
- 18

19 This document was developed through a collaborative effort of the American Academy of Pediatric

- 20 Dentistry Councils on Clinical Affairs and Scientific Affairs to offer updated information and guidance
- 21 regarding risk assessment and management of periodontal diseases and pathologies in pediatric dental
- 22 patients.
- 23

### 24 KEYWORDS: CHILD, ADOLESCENT, PERIODONTAL DISEASE, PERIODONTAL RISK 25 ASSESSMENT, ANTIBIOTIC THERAPY, PERIODONTAL THERAPY

- 26
- 27 Abbreviations
- AAPD: American Academy of Pediatric Dentistry. **BoP**: Bleeding on probing. **CAL**: Clinical
- attachment loss. **CEJ**: Cementoenamel junction. **CHX**: Chlorhexidine. **MM**: Millimeter.

- 30 NSAIDs: Nonsteroidal anti-inflammatory drugs. PDL: Periodontal ligament. PPD: Periodontal
- 31 pocket depth. PRA: Periodontal risk assessment. SHCN: Special health care needs. SIB: Self-
- injury behavior. **SRP**: Scaling and root planning. **TDI**: Traumatic dental injuries.
- 33

#### 34 Purpose

- 35 The American Academy of Pediatric Dentistry (AAPD) recognizes the importance of periodontal health and
- its effect on the well-being of pediatric patients, including those with special health care needs (SHCN).
- 37 Periodontal risk assessment (**PRA**) and management protocols are essential elements of contemporary
- 38 clinical care for pediatric dental patients. These recommendations are intended to assist practitioners in
- assessing risk for and clinical decision-making in the management of periodontal diseases and pathologies in
- 40 pediatric dental patients.
- 41

#### 42 Methods

- 43 This best practice document was developed utilizing the resources and expertise of AAPD members and an
- 44 expert consultant in periodontics operating through the Council on Clinical Affairs. Literature searches of
- 45 PubMed®/MEDLINE and Google Scholar databases were conducted using the terms: periodontitis as a
- 46 manifestation of systemic diseases, necrotizing periodontitis, aggressive periodontitis, localized
- 47 periodontitis; fields: all; limits: within the last 10 years, human, English, clinical study, clinical trial,
- 48 comparative study, multicenter study, observational study, randomized clinical trial, meta-analysis, and
- 49 systematic reviews. The search returned 1,222 articles that matched the criteria. The articles were evaluated
- 50 by title and/or abstract and relevance to dental care for children and adolescents. When data did not appear
- 51 sufficient or were inconclusive, recommendations were based upon expert and/or consensus opinion by
- 52 experienced researchers and clinicians.
- 53

#### 54 Background

- 55 A periodontal examination and risk assessment are important parts of the routine dental examination of
- 56 pediatric dental patients. The gingival and periodontal tissues in the primary, mixed, and permanent dentition
- are subject to morphological changes due to normal patterns of oral growth and development. Gingivitis
- 58 occurs in half of the population by age of four or five years and peaks nearly to 100 percent at puberty (Perry
- et al, 2019; Stenberg, 2017). Distinguishing normal physiological changes during growth and development
- 60 from gingival and periodontal diseases helps prevent erroneous diagnoses and unnecessary treatment.

- 61 Maintenance and restoration of gingival and periodontal health during childhood and adolescence will
- 62 facilitate healthy gingival and periodontal health at older ages.
- 63

#### 64 Diagnostic phase

65 The diagnostic criteria for gingivitis are based on clinical features, taking into consideration the presence of

- 66 plaque and that the inflammatory response to plaque is an age-dependent phenomenon. Three distinct forms
- of periodontal disease have been defined as: (1) periodontitis (single category grouping the two forms of the
- 68 disease formerly recognized as aggressive or chronic); (2) necrotizing periodontitis; and (3) periodontitis as a
- 69 manifestation of systemic conditions (Caton et al. 2018). Early diagnosis ensures more promising treatment
- 70 outcomes and effective periodic maintenance protocols (Alrayyes & Hart, 2011).
- 71

#### 72 Periodontal risk assessment (PRA)

- 73 In health care, risk is defined as the probability that an individual will develop a disease during a specific
- time period (Bouchard et al, 2017; Elangovan et al, 2019). Risk factors are defined as characteristics of
- individuals that increase their probability to developing the disease (Bouchard et al, 2017; Elangovan et al,
- 76 2019). Risk factors for periodontal disease are complex and may be biological, environmental (social), and
- behavioral (Elangovan et al, 2019). PRA identifies risk factors that place individuals at an increased risk of
- 78 developing gingival and periodontal diseases and pathologies, as well as factors that influence the
- 79 progression of the disease. PRA can improve clinical decision making and allow the implementation of
- 80 individualized treatment planning and proactive targeted interventions (Douglass CW, 2006). Evidenced-
- 81 based PRA tools have been developed based on studies conducted among adult patients (Chapple, 2020).
- 82 Due to the limited literature regarding PRA among children and adolescents, factors associated with elevated
- risk were extrapolated from evidence from adult patients (Tables 1 and 2)(Lang et al, 2015; Sai Sujai et al,
- 84 2015; Mullins et al, 2016; Bouchard et al, 2017; Trombelli et al, 2017; Petsos et al, 2020).
- 85

#### 86 *Prognosis and treatment planning*

Determination of the prognosis follows the diagnostic phase and is a dynamic process to be reevaluated at all
therapeutic phases (i.e., systemic, behavioral, non-surgical, surgical, maintenance). Prognosis, based on the

- 89 probability of disease progression and clinical parameters, can be categorized as favorable, questionable,
- 90 unfavorable, and hopeless (Kwok & Caton, 2007).
- 91

92 Treatment planning

93

### Table 1. Factors Associated with the Development and Progression of Periodontal Diseases and Pathologies for < 13 Years Old</td>

Factors	High Disk	Moderate Rick	Low Rick
Riological Factors		WIGHT ALC MISK	LOW KISK
Systemic conditions/genetic suscentibility (e.g. family	Ves		
history of aggressive periodontitis) and syndromes <sup>a</sup>	103		
Immunosuppressive or radiation therapy		Ves	
Medication(s) known to affect the periodontal tissues		Ves	
History of traumatic injury to the periodontal		Yes	
apparatus (e.g. avulsion luxation)		105	
Traumatic gingival/oral mucosa lesions		Yes	
Nutritional deficiencies		Yes	
Social and Behavioral Factors			
Socioeconomic stability (e.g., adequate health literacy,			Yes
regular dental care)			
Adequate daily at-home oral hygiene either performed			Yes
or supervised by caregiver			
Tobacco or marijuana smoking/smokeless tobacco use	Yes		
Clinical and Radiographic Factors			
Adequate attached gingiva and normal frenum			Yes
attachments			
Tooth-related factors contributing to plaque retention		Yes	
and physical barriers for proper oral hygiene			
Generalized gingivitis (≥30% of teeth affected)		Yes	
Disproportional gingival inflammation in relation to			
age, amount of plaque accumulation, or oral and	Yes		
systemic developmental changes			
Presence of calculus	Subgingival	Supragingival	None
Bleeding on probing	Yes		
Periodontal probing depths > 3mm	Yes		
Chronic pericoronitis		Yes	
Abnormal tooth mobility	Yes		
Furcation involvement	Yes		
Radiographic alveolar bone loss	Yes		
Tooth loss due to periodontitis	Yes		

Circling those conditions that apply to a specific patient helps the practitioner and caregiver understand the factors that contribute to the development and progression of periodontal diseases and pathologies. Clinical judgment may justify the use of one or more factors in determining the overall risk.

Overall assessment of the child's risk: High 
Moderate Low

<sup>a</sup> Most common examples include, but are not limited to, agranulocytosis, Chédiak-Higashi syndrome, cyclic

95 neutropenia, diabetes, Ehlers-Danlos syndrome, human immunodeficiency virus infection, hypophosphatasia, idiopathic

96 immune disorders, Langerhans cell histiocytosis, leukemia, leukocyte adherence deficiency, osteoporosis, neutropenia,

97 trisomy 21, Papillon Lefèvre syndrome, plasminogen deficiency, and respiratory diseases.

98 99

Table 2. Factors Associated with the Development and Progression of Periodontal Diseases         and Pathologies for ≥ 13 Years Old					
Factors	High Risk	Moderate Risk	Low Risk		
Biological Factors					
Systemic conditions/genetic susceptibility (e.g., family history	Yes				
of aggressive periodontitis) and syndromes <sup>a</sup>					
Immunosuppressive or radiation therapy		Yes			
Medication(s) known to affect the periodontal tissues		Yes			
History of traumatic injury to the periodontal apparatus (e.g.,		Yes			
avulsion, luxation)					
Traumatic gingival/oral mucosa lesions		Yes			
Nutritional deficiencies		Yes			
Mental health disorders (e.g., stress, depression)		Yes			
Pregnancy		Yes			
Social and Behavioral Factors					
Socioeconomic stability (e.g., adequate health literacy, regular			Yes		
dental care)					
Adequate daily at-home oral hygiene			Yes		
Tobacco or marijuana smoking/smokeless tobacco use	Yes				
Drug abuse (e.g., crack cocaine, methamphetamine)	Yes				
Intraoral/perioral piercing and oral jewelry/accessories		Yes			
Individuals with special health care needs living in		Yes			
supported community (group) homes					
Clinical and Radiographic Factors					
Adequate attached gingiva and normal frenum			Yes		
attachments					
Adequate plaque biofilm control			Yes		
Tooth-related factors contributing to plaque retention and		Yes			
physical barriers for proper oral hygiene					
Generalized gingivitis (≥30% of teeth affected)		Yes			
Disproportional gingival inflammation in relation					
to age, amount of plaque accumulation, or oral	Yes				
and systemic developmental changes					
Presence of calculus	Subgingival	Supragingival	None		
Bleeding on probing	> 25% of sites	10% to 25% of	0% to 9% of		
		sites	sites		
Periodontal probing depths	> 5mm	3.5mm to 5mm	< 3.5mm		
Chronic pericoronitis		Yes			
Abnormal tooth mobility	Yes				
Furcation involvement	Yes				
Radiographic alveolar bone loss over 25% of sites	Yes				
Tooth loss due to periodontitis	Yes				

Circling those conditions that apply to a specific patient helps the practitioner and caregiver understand the factors that contribute to the development and progression of periodontal diseases and pathologies. Clinical judgment may justify the use of one or more factors in determining the overall risk.

#### Overall assessment of the child's risk: High Moderate Low

100 <sup>a</sup> Most common examples include, but are not limited to, agranulocytosis, Chédiak-Higashi syndrome, cyclic

101 neutropenia, diabetes, Ehlers-Danlos syndrome, human immunodeficiency virus infection, hypophosphatasia, idiopathic

immune disorders, Langerhans cell histiocytosis, leukemia, leukocyte adherence deficiency, osteoporosis, neutropenia,
 trisomy 21, Papillon Lefèvre syndrome, plasminogen deficiency, and respiratory diseases.
Table 3. Example of Management Pathways for Periodontal Diseases and Pathologies														
Risk Category	Diagnostics	Non-surgical therapy						Surgical therapy	Counseling					
		Oral prophylaxis: supragingival plaque and calculus removal	Debridement, scaling and root planing	Systemic antibiotics and/or use of adjunctive topical anti- microbials	Management of plaque retentive factors <sup>a</sup>	Monitor previous traumatic injuries to the periodontal apparatus	Management of oral conditions and side effects from therapies, medications, infections, gingival injuries, etc.	Plastic, aesthetic, resective, and/or regenerative procedures	Twice daily brushing and daily flossing	Healthy diet and nutrition	Injury prevention <sup>a</sup>	Tobacco use and drug misuse <sup>b</sup>	Use of oral hygiene adjuncts <sup>C</sup>	Compliance with medical care and/or periodontal treatment or maintenance
Low Risk	<ul> <li>Recall every six to 12 months</li> <li>Radiographs every 12 to 24 months</li> </ul>	Every six to 12 months							YES	YES	YES	Prevention		
Medium Risk	<ul> <li>Recall every six months</li> <li>Radiographs every six to 12 months</li> <li>Monitoring of systemic conditions by laboratory analysis and consultation with medical specialists, if indicated</li> </ul>	Every six months	Every six months	YES	YES	YES	YES	YES	YES	YES	YES	Prevention or cessation	YES	YES
High Risk	<ul> <li>Recall every three months</li> <li>Radiographs every six months</li> <li>Close monitoring of systemic conditions by laboratory analysis and consultation with medical specialists, if indicated</li> <li>Consultation with and/or referral to periodontist, if indicated</li> </ul>	2-4 months depending on disease severity and disease response to treatment	2-4 months depending on disease severity and disease response to treatment	YES			YES	YES	YES	YES	YES	Prevention or Cessation	YES	YES

<sup>a</sup> Plaque retentive factors include, but are not limited to, caries lesions, enamel defects, dental anatomical anomalies, malposed teeth, defective restorations, inadequate contoured crowns, orthodontic appliances, dental prostheses.

<sup>b</sup> Prevention of injuries resultant of accidents, piercings, habits.

104

105 <sup>C</sup> Oral hygiene adjuncts include, but are not limited to, powered toothbrushes, interdental brushes, or oral irrigation; chemical anti-plaque and anti-calculus agents.

The treatment plan is formulated after completing a comprehensive examination, establishing a diagnosis, determining the prognosis, and identifying the individual needs and desires of the patient and caregiver. It addresses immediate, intermediate, and long-term goals to arrest or slow down the periodontal disease progression. Initial treatment plans may be subject to modifications based on unforeseen developments during care (Do et al, 2019A). Other important considerations include emergency treatment for pain or infections, need for exodontia, and esthetic demands (Do et al, 2019A).

112

#### 113 *General considerations*

- A periodontal assessment includes a discussion of the chief complaint, detailed medical, dental,
   and social history reviews, extra- and intra-oral examinations, radiographs, and periodontal
   probing as indicated. Further investigations (e.g., genetic, microbiological, gingival biopsy, and
   biochemical tests) may be needed on an individual basis to differentiate types of periodontal
   diseases.
- Bleeding on probing (BoP) in primary teeth during early childhood, even at a low number of sites, is indicative of high susceptibility to periodontal diseases, due to the age dependent reactivity of the gingival tissues to plaque (Bimstein & Eidelman, 1988; Bimstein et al, 2013).
- Probing assessments may be initiated after the eruption of the first permanent molars and incisors
   and only if tolerated by the child. Pseudo pockets (> 3mm) may be present around partially and
   newly erupted teeth (Cole, 2014). Probing assessment on primary teeth are required before the
   eruption of the first permanent molars and incisors when clinical and radiographic findings
   indicate the presence of periodontal diseases.
- Assessing for generalized (i.e., involving ≥30 percent of the teeth) gingivitis may be performed
   for patients unable to undergo probing due to age, anxiety, or SHCN (Murakami, 2018).
- Alveolar bone loss in the primary dentition indicates increased susceptibility to periodontal
   disease (Bimstein & Soskolne, 1988; Sjödin & Matsson, 1994; Drummond et al, 2017).
- Good quality bitewing radiographs are necessary for diagnosing alveolar bone loss (Bimstein & Soskolne, 1988; Bimstein et al, 1994, Al Jamal et al, 2011). While bitewing radiographs are useful with assessing abnormal molar mobility (Bimstein & Soskolne, 1988; Al Jamal et al, 2011;
   Bimstein, 2018; Drummond et al, 2017), periapical radiographs may help rule out any other associated pathology (e.g. root resorption). For abnormal anterior tooth mobility, periapical radiographs are the most appropriate images. (Tugnait et al, 2000)

137	•	$1 \pm 0.5$ millimeter (mm) distance from the most coronal portion of the alveolar hone crest to the
128	-	r = 0.5 minimized ( <b>min</b> ) distance from the most coronal portion of the aryconal objection ( <b>CFI</b> ) is considered a normal alveolar hope height in the <i>primary</i>
130		dentition (Bimstein & Soskolne, 1988: Siödin & Matsson, 1994: Needleman et al. 1997), while a
140		distance of more than two mm is considered to represent hone loss (Siddin & Matsson, 1994).
140	(	distance of more than two mm may be considered to represent bone loss (Sjouin & Matsson, 1994). A
141	(	distance of more than two min may be considered normal when the bone is adjacent to extonating
142	I	primary teeth of erupting permanent teeth (Binstein and Matsson, 1999).
143	•	2-mm distance (on average, varying between 1.0 and 3.0 mm) from the most coronal portion of
144	1	the alveolar bone crest to the CEJ is considered a normal alveolar bone height in the <i>permanent</i>
145	C	dentition (Al Jamal et al, 2011).
146		
147	Recomm	endations
148	• ]	For patients in the primary dentition, a visual assessment of the gingiva should be part of every
149	(	comprehensive examination. All dental radiographs should be examined for evidence of caries,
150	ä	alveolar bone loss, developmental anomalies, and other pathologies.
151	•	A simplified basic periodontal examination is recommended for individuals aged seven to 17
152	2	years (Cole, 2014). After the eruption of the first permanent molars and incisors, six index teeth
153	(	(the first permanent molars, the permanent maxillary right central incisor, and the permanent
154	1	mandibular left central incisor) are assessed for: (1) BoP; (2) presence of calculus; (3) plaque
155	1	retention factors; (4) periodontal pocket depth (PPD); (5) furcation involvement; and (6)
156	1	recession. PRA, based on a child's age and biological, social/behavioral, and clinical/radiographic
157	1	factors, should be a routine component of new and periodic oral examinations.
158	• ]	Practitioners may use the estimated risk level to establish a periodicity and intensity of diagnostic,
159	(	counseling, and therapeutic interventions (See Table 3).
160	•	The treatment plan should be used to establish the methods and sequence of delivering
161	1	periodontal treatment and include:
162		<ul> <li>periodontal procedures to be performed;</li> </ul>
163		• medical consultation or referral for treatment when indicated;
164		o consideration of diagnostic testing that may include genetic, microbiological, gingival
165		biopsy, or biochemical tests or monitoring during the course of periodontal therapy;
166		o consideration of adjunctive restorative, prosthetic, orthodontic, and/or endodontic
167		consultation or treatment;
168		• consideration of chemotherapeutic and antibiotic agents for adjunctive treatment;

169

o provision for re-evaluation during and after periodontal or dental implant therapy; and

- o periodontal maintenance program.
- 170 171

#### **172 Behavioral Phase**

- 173 The success of both prevention and treatment of periodontal diseases and conditions relies significantly
- 174 on the ability of the patient/caregivers to comply with requested oral hygiene and dietary practices (e.g.,
- brushing, flossing, adequate nutrition) and to change behaviors regarding harmful risk factors (e.g.
- smoking, drug use). Psychological models and theories of motivation (e.g., health belief model,
- 177 motivational interviewing, self-determination theory) may be used to help patients adopt healthier
- 178 behaviors (Renz & Newton, 2009; Chang et al, 2018).
- 179 *Nutrition*
- 180 The role of nutrition and, more specifically, the relevance of vitamins on periodontal health (Najeeb et al,
- 181 2016; Valera-López et al, 2018; Cagetti et al, 2020) are thought to be related to the effect on
- 182 inflammation. Persistent lack of vitamin C, an essential nutrient for collagen synthesis, in the diet has
- been associated with more severe periodontitis (Luo et al, 2018). This deficiency, known as scurvy,
- 184 manifests with gingival bleeding and swelling, proceeds to tooth loss, and can result in death.
- 185
- 186 Systematic reviews show a positive association between periodontal disease and obesity in children and
- adolescents (Li et al, 2015; Martens et al, 2017; Klokkevold & Mealey, 2019A).
- 188
- 189 Smoking and substance misuse
- 190 The association between smoking and drug use and periodontal diseases is clear (Goultchin el al, 1990;
- Akef et al, 1992; Machuca et al, 2000; Bergestrom et al, 2000; Antoniazzi et al, 2016; Shariff et al, 2017).
- 192 Compelling evidence supports the significant benefits of tobacco use prevention and cessation on the
- 193 periodontal and oral health in general, across all ages (Shibly 2008; Warnakulasuriya et al, 2010; Chaffee
- **194** BW 2016).
- 195
- 196 *Recommendations*
- 197 Dental professionals should utilize psychological theories of motivation to help patients adopt healthier
- 198 behaviors and counsel their pediatric patients and parents on:
- the role of diet in the development and progression of periodontal conditions;
- the harms of all tobacco products to help prevent or cease tobacco use; and

- the serious health consequences of drug misuse, as well as refer to an appropriate provider for
   cessation when the habit is identified.
- 203

#### 204 Informed consent

205 Informed consent is essential in the delivery of healthcare. As part of the informed consent process, the clinician shares information and answers questions about the patient's oral health conditions and the 206 nature, risks, and benefits of recommended and alternative treatments, including no treatment. For 207 periodontal conditions, the discussion would also include the need for maintenance treatment due to the 208 possibility of disease recurrence or progression. Written consent is advisable as it may decrease the 209 liability from miscommunication, especially if risks, complications, or possibility of failure are expected 210 with the proposed therapy. Referral is indicated when treatment needs are beyond the treating dentist's 211 212 scope of practice. Patients should also be informed if referrals to other specialists are needed

- 213 (Klokkevold, Takei, Carranza, 2019C).
- 214

#### 215 Non-surgical periodontal therapy (Phase I Therapy)

"The major goal of phase I therapy is to control the factors responsible for periodontal inflammation; this
involves educating the patient in the removal of bacterial plaque biofilm. Phase I therapy also includes
scaling, root planing, and other therapies such as caries control, replacement of defective restorations,

- occlusal therapy, orthodontic tooth movement, and cessation of confounding habits such as tobacco use."
  (Takei, 2019A).
- 221

#### 222 Management of bacterial plaque biofilm and calculus

223 Controlling gingival inflammation is the primary preventive strategy for periodontitis, as well as the

secondary preventive strategy for recurrence of periodontitis (Trombelli et al, 2018). A systematic review

225 demonstrated anti-plaque effectiveness for toothpastes containing stannous fluoride or chlorhexidine

226 (CHX) (Chapple et al, 2015; Graziani et al, 2017). Toothpastes containing pyrophosphates reduce the

formation of new supragingival calculus (Perry et al, 2019; Sambunjak et al, 2011), but no improvements

have been reported in gingival inflammation and subgingival calculus. Mouthrinses with anti-plaque

- agents significantly improve gingival inflammation and plaque levels when compared to toothpastes with
- such agents (Chapple et al, 2015). The use of 0.12 percent CHX gluconate can help improve dental
- plaque, gingival bleeding, and gingival inflammation indexes (Montiel-Company & Almerich-Silla, 2002;
- Clavero et al, 2003; Featherstone et al, 2012; Varoni et al, 2012; James et al, 2017; Featherstone et al,
- 233 2018). Adverse effects of use (e.g, alteration in taste sensation; unpleasant taste; calculus formation;

234 brown staining of teeth, tongue, and restorations) compromise patient acceptance (Chapple, 2015; Graziani et al, 2017; Zhang et al, 2019; Quirynen et al, 2019) and are most common when used for four 235 236 weeks or longer (Varoni et al, 2012; James et al, 2017). Rinses have higher anti-plaque efficacy than 237 sprays (Zhang et al, 2019). The CHX-containing mouthrinse may be applied via toothbrush for patients 238 unable to spit or at risk of aspirating the agents. Different proposed regimens of CHX include: (1) once or 239 twice a day for one week every month; and (2) once or twice a day for two weeks every three months 240 (Featherstone et al, 2012; Varoni et al, 2012; James et al, 2017; Featherstone et al, 2018). Preferred active agent, patients' preference, economic cost, compliance, and adverse effects influence selection of delivery 241 system (Chapple et al. 2015). Although CHX allergy is extremely rare, prolonged exposure to CHX may 242 243 lead to contact sensitization, allergic contact dermatitis or stomatitis, or even anaphylactic shock when used during surgery (Liippo et al, 2011; Pemberton et al, 2012; Chiewchalermsri et al, 2020) 244 245 Oral prophylaxis along with scaling and root planing (SRP) are the basis of professional mechanical 246 247 plaque control. (Clerehugh & Tugnait, 2001; Drummond et al, 2017; Graziani et al, 2017; Takei, 2019A). Oral prophylaxis removes supragingival plaque and calculus via hand or powered instruments. 248 Subgingival instrumentation, considered the gold standard of periodontal treatment, is divided into three 249 250 procedures: (1) debridement (removal of subgingival plaque); (2) scaling (removal of supra- and sub-251 gingival plaque, calculus, and stains); and (3) root planing (removal of cementum or surface dentin that is rough, impregnated with calculus, or contaminated with toxins or microorganisms) (Takei, 2019A). 252 253 Supra- and sub-gingival instrumentation is an important component of initial and recall dental 254 appointments. When comparing subgingival instrumentation modes, hand instruments (e.g., curettes) 255 remove a significantly greater amount of calculus and leave a smoother root surface than ultrasonic 256 scalers (Graziani et al, 2017). On the other hand, ultrasonic devices cause less soft tissue trauma, require a 257 shorter treatment time, and are less technique and operator sensitive (Graziani et al. 2017). 258 259 Recommendations: 260 Dental professionals should provide oral self-care instructions that are individualized and include 261 appropriate adjuncts. 262 • For adolescents and individuals with SHCN who exhibit poor oral hygiene, clinicians should 263 consider the use of chemical anti-plaque agents in mouthrinses or incorporated into fluoridated 264 toothpastes to control plaque accumulation and gingival inflammation, along with instituting 265 more frequent recall appointments.

- Because plaque or biofilm and calculus serve as physical barriers for proper home oral hygiene
   execution, a dental prophylaxis and SRP should be performed at both initial and recall dental
   appointments when necessary.
- Use of ultrasonic devices and mouthrinses may be contraindicated for patients who are unable to
   expectorate and at risk for aspiration.
- 271

272 Management of local factors for periodontal disease and pathologies

273 In addition to plaque or biofilm and calculus, other local factors can contribute to plaque retention and

274 physical barriers for proper oral hygiene execution increasing the risk of periodontal disease and

pathology initiation and progression among pediatric patients (Clerehugh & Tugnait, 2001; Gorbunkova

- et al, 2016; Yu & Abbott, 2016; Drummond et al, 2017; Takei, 2019A, Kanellis et al, 2018, Silva et al,
- 277 2019).

278

279 *Caries lesions:* Caries prevention and adequate restoration of dental caries lesions are of great 280 importance for the periodontal health of pediatric patients. Gingival inflammation is highly associated 281 with dental caries and dependent on the degree of tooth destruction, the presence of bacteria in the biofilm, and host response (Drummond et al, 2017). Gingivitis and interproximal alveolar bone loss have 282 283 been observed in young children with severe caries (Bimstein, 1992, Bimstein et al, 1996). The alveolar bone loss occurs with extensive interproximal caries due to food impaction and biofilm retention in the 284 interdental area (Bimstein et al, 1996). Due to the dysbiotic nature of the caries-association microbiome, 285 temporary or permanent restorations remove the reservoir of bacteria in these lesions helping to maximize 286 287 the healing of the periodontal tissues (Takei, 2019A). Restorations with adequate proximal contour will 288 promote healing of alveolar bone defects (Bimstein et al, 1996).

289

290 **Defective restorations:** The use of minimally-invasive restorative dentistry, when clinical conditions 291 allow, can help avoid negative effects of restorations on the periodontal tissues. Gingivitis and clinical 292 attachment loss (CAL) have been associated with defective restorations and crowns (i.e., subgingival restorations, margin discrepancies, overhanging restorations) (Takei, 2019A). In addition, a study among 293 294 354 children aged six to nine years revealed radiographic interproximal alveolar bone loss adjacent to proximal surfaces in the primary molar area in 30.8 percent of the sites without an adequate amalgam 295 296 restoration and 25.8 percent of the sites with inadequate crown restoration (Bimstein et al, 1996). 297 Inadequately contoured stainless steel crowns and residues of set cement remaining in contact with the 298 gingival sulcus also may cause gingival inflammation and abnormal bone resorption (Bimstein, 1992;

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Bimstein et al, 1996). If meticulous oral hygiene is not maintained, interproximal lesions of posterior
teeth treated with caries-arresting agents (e.g., silver diamine fluoride, silver nitrate) but not restored are
capable of "packing food" that can potentially cause severe gingival inflammation, bleeding, and patient
discomfort (Kanellis et al, 2018). Arrested cavitated lesions may benefit from receiving a restoration in
order to prevent food impaction or future caries lesion progression (Ng & Sulyanto, 2018).

304

Malocclusion and orthodontic appliances: An increased risk for periodontal disease has been associated
 with malocclusion, especially in cases of severe anterior teeth crowding and gingivitis among children
 and adolescents wearing orthodontic appliances (Clerehugh & Tugnait, 2001; Gorbunkova et al, 2016;
 Bernhardt et al, 2019). Gingival overgrowth, recession, and invagination are among the most cited soft
 tissues changes during orthodontic treatment (Gorbunkova et al, 2016). Due to dental plaque
 accumulation around appliances, patients undergoing orthodontic treatment with deficient oral hygiene

are at higher risk of gingival inflammation, development of white spot lesions, and dental caries.

312 Inflammatory changes associated with puberty gingivitis may be exacerbated in adolescent patients

undergoing orthodontic treatment (Silva et al, 2019).

314

*Dental enamel defects and other dental anomalies:* Children and adolescents with dental defects (e.g.,
enamel hypoplasia, amelogenesis imperfecta) may present with less ideal oral hygiene due to the
sensitivity associated with the condition. Desensitizing toothpastes containing remineralization
compounds, fluoride varnishes, and toothbrushes with soft bristles (Elhennawy & Schwendicke, 2016;
Drummond et al, 2017) may minimize the sensitivity and, consequently, allow better oral hygiene
(Elhennawy & Schwendicke, 2016; Drummond et al, 2017).

321

Many teeth with dental defects are prone to fractures close to the gingival margin; crown-lengthening surgery is sometimes necessary to facilitate the placement of restorations with cleansable margins (Drummond et al, 2017). Other dental anomalies, such as enamel projections, enamel pearls, proximal and palatogingival grooves, and fused and supernumerary teeth, may impact the periodontal health. Some of these dental anomalies, for instance, are associated with gingivitis and CAL due to the impediment of proper oral hygiene or mucogingival problems as a consequence of developmental aberrations in eruption and deficiencies in the thickness of the periodontium (Clerehugh & Tugnait, 2001; Silva et al, 2019).

330 Recommendations:

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331	• Defective or failing restorations should be corrected by smoothing rough surfaces, removing					
332	overhangs with burs and/or hand instruments, or replacement (Clerehugh & Tugnait, 2001; Takei,					
333	2019A).					
334	• When placing preformed crowns, well-adapted restorations stainless steel crowns (i.e., contoured,					
335	well-fitted and crimped) are recommended to maintain the health of the periodontium.					
336	• Clinicians should consider restoring open, arrested cavitated lesions when food impaction occurs					
337	causing gingival inflammation, bleeding, or patient discomfort.					
338	• Because orthodontic appliances often hinder brushing and flossing, clinicians should:					
339	o consider more frequent recall appointments and prophylaxis depending on home oral					
340	hygiene compliance and degree of periodontal inflammation, and					
341	• consider suspension of the orthodontic treatment if patient is not able to maintain proper					
342	oral hygiene.					
343	• In cases of sensitivity associated with dental defects, desensitizing toothpastes, fluoride varnishes,					
344	toothbrushes with soft bristles, and sealing the enamel of the teeth should be considered.					
345						
346	Topical antimicrobial adjuncts and systemic antibiotics					
347	Topical (local) agents, available as fibers, gels, chips, microspheres, and solutions, are delivered directly					
348	inside the periodontal pocket and present fewer side effects than systemic agents (Feres et al 2015;					
349	Graziani et al, 2017; Kinane et al, 2017; Herrera et al, 2020). Compared to systemic agents, they utilize a					
350	smaller total dosage and provide higher localized concentration of the drug (Feres et al 2015; Graziani et					
351	al, 2017; Kinane et al, 2017; Herrera et al, 2020) but lack the capability to reach different oral surfaces					
352	and saliva (Feres et al 2015; Graziani et al, 2017; Kinane et al, 2017; Herrera et al, 2020). Although					
353	systematic reviews have reported that adjunctive local antibiotics improve PPD and CAL in short-term					
354	studies and PPD in long-term studies, their use is controversial due to high cost and small magnitude of					
355	clinically-relevant benefits (Feres et al 2015; Herrera et al, 2020). Local antibiotic therapies have been					
356	used more commonly during the maintenance phase to treat remaining and isolated recurrent pockets					
357	(Herrera et al, 2020).					
358						
359	SRP is effective in improving clinical parameters (e.g., BoP, PPD, CAL) for most patients with					
360	periodontitis, but not those with advanced periodontitis and deep periodontal pockets (Drisko, 2014; Feres					
361	et al, 2015; Graziani et al, 2017; Dar-Odeh et al, 2018). Several clinical trials, systematic reviews, and					

362 meta-analyses support the adjunctive effect of systemic antibiotics to improve the outcomes of SRP

363 during both non-surgical and surgical therapies (Feres et al, 2015; Keestra et al, 2015; Dar-Odeh et al, 2018; Miller et al, 2017; Herrera et al, 2020; Nibali et al, 2019; Pretzl et al, 2019; Teughels et al, 2020). 364 365 Systemic antibiotic therapy will be most effective if the disruption of subgingival biofilm by SRP occurs 366 immediately before or during the antibiotic therapy (Keestra et al, 2015; Graziani et al, 2017). Stand-367 alone antibiotic therapy, however, is not effective in the treatment of periodontal disease (Drisko, 2014; 368 Graziani et al. 2017). Systemic antibiotics are indicated when patients exhibit moderate periodontitis with 369 three to four millimeters of CAL and PPD of less than five millimeters (Pretzl et al, 2019). Younger 370 patients with periodontitis characterized by rapid attachment and bone loss (Drisko, 2014; Feres et al, 2015; Graziani et al. 2017; Dar-Odeh et al. 2018; Teughels et al. 2020), patients with necrotizing 371 periodontitis (Drisko, 2014; Dar-Odeh et al, 2018), and those with periodontitis as a manifestation of 372 systemic conditions (Graziani et al, 2017; Albandar et al, 2018; Dar-Odeh et al, 2018; Giannetti et al, 373 374 2020; Moghaddasi et al, 2021) may benefit significantly from adjunctive antibiotic therapies in 375 combination with SRP. Several factors (e.g., patient's clinical parameters, health history, dental history, drug allergy, medication compliance, personal/parental preferences, adverse effects, bacterial resistance, 376 treatment response in primary versus permanent dentitions) influence the decision to use topical or 377 378 systemic antibiotic adjuncts to SRP (Merchant et al, 2014; Keestra et al, 2015; Miller et al, 2017; Kinane

et al, 2017; Montenegro et al, 2020).

380

Systemic antibiotics have the advantage of reaching all oral surfaces and fluids, as well as the potential to 381 382 reach periodontal pathogens that ultimately invade the host's tissues (Feres et al. 2015; Teughels et al. 383 2020). In addition, antibiotic therapy may reduce bacterial endotoxins helping to minimize the local 384 inflammatory response (Kalash et al, 2015; Allin et al, 2016). Disadvantages of systemic administration 385 include adverse drug effects (e.g., gastrointestinal symptoms, allergic reaction), poor patient compliance, 386 and, very importantly, development of bacterial resistance due to indiscriminate use (Feres et al. 2015; 387 Teughels et al, 2020). When compared to SRP alone, the combination of amoxicillin and metronidazole (and to a lesser degree, azithromycin and metronidazole) as an adjunctive therapy has shown to reduce the 388 389 number of major periodontopathogenic bacteria, significantly improve CAL gain, and promote higher 390 percentage of pocket closure, as well as reduce BoP, PPD, and frequency of pockets of >4 mm (Sgolastra et al, 2012; Feres et al, 2015; Keestra et al, 2015; Sgolastra et al, 2014; Rabelo et al, 2015; Miller et al, 391 2017; Herrera et al, 2020; Nibali et al, 2019; Pretzl et al, 2019; Teughels et al, 2020). Regimen durations 392 393 of one to two weeks have been cited in the literature with respective advantages and disadvantages 394 (Keestra et al, 2015; Graziani et al, 2017). For patients allergic to penicillin, antibiotic regimen using 395 metronidazole alone is an alternative treatment (Rabelo et al. 2015). Additionally, azithromycin is

- effective against periodontal pathogens with positive immunomodulatory properties and has been proven
- effective in treating aggressive periodontitis in young patients (Haas et al. 2008) as well as adults (Zhang
- et al, 2016). Azithromycin is one of the safest antibiotics for patients allergic to the penicillins, but there
- are risks of cardiac complications including cardiotoxicity (Araújo & Demoly, 2008; Bartold et al, 2013).
- 400 Cardiac risk in pediatric patients seems to be due to an increased risk of QT prolongation associated with
- 401 higher dosage levels (Zeng et al, 2020) and caution should be exercised in patients with cardiac risk
- 402 factors. The Reference Manual of Pediatric Dentistry includes information on recommended antibiotic
- 403 dosage for children and adolescents, as well as for adults, available at
- 404 https://www.aapd.org/globalassets/r\_usefulmeds.pdf (AAPD Useful Meds 2022). Having the child drink a
- small cup of grape soda immediately after ingesting liquid antimicrobials may help mask the unpleasant
- smell and taste of the medication and increase compliance with the antibiotic regimen (Marek &
- 407 Timmons, 2019).
- 408

409 Recommendations:

- Stand-alone antibiotic therapy is <u>not</u> recommended in the treatment of periodontal disease.
- Adjunctive antibiotic therapy to SRP should be considered for patients with advanced and/or
  aggressive periodontal disease.
- When adjunctive antibiotic therapy to SRP is indicated, the decision to use topical or systemic
  antibiotics should be carefully evaluated and based on patient's general health status, periodontal
  disease severity, compliance, and response to SRP.
- 416

#### 417 *Re-evaluation (determining success or lack of success of non-surgical therapy)*

418 After procedures of phase I (e.g., debridement, scaling, root planing, caries control, correction of

- defective restorations) are completed, the periodontal tissues will go through a process of healing that
- 420 may take four or more weeks to occur (Takei, 2019A). Transient tissue sensitivity is often observed
- 421 during the healing process and usually diminished with good home plaque or biofilm control (Takei,
- 422 2019A). Re-evaluation findings help determine the need for any further non-surgical therapy procedure or
- 423 periodontal surgery (Takei, 2019A).
- 424
- 425 Recommendations:

426	<ul> <li>Components of re-evaluation appointments should include probing the periodontal tissues,</li> </ul>				
427	examining all related anatomic structures, reinforcing home care regimens, and discussing				
428	existing harmful habits with a goal of cessation.				
429	• The frequency of supportive periodontal therapy must be individualized and based on the				
430	patient's symptoms, clinical and radiographic findings, risk factors, the initial severity of the				
431	disease, as well as residual diseased sites at the end of the active periodontal treatment in relation				
432	to the patient's age, treatment outcome, caries risk, and plaque or biofilm control.				
433					
434	Systemic phase				
435	The Reference Manual of Pediatric Dentistry includes information on several genetic and non-genetic				
436	systemic diseases and pathologies that are associated with manifestations on periodontal tissues (AAPD				
437	New resource pending). General characteristics, case definitions and/or diagnostic criteria, clinical and/or				
438	radiographic findings, as well as treatment considerations are presented for some of the conditions				
439	observed in pediatric patients.				
440					
441	Recommendations:				
442	• Clinicians should consider systemic diseases and conditions that can affect the periodontal				
443	attachment apparatus or the course of periodontal diseases in order to achieve accurate diagnoses				
444	and plan treatment. (Albandar et al, 2018; Jepsen et al, 2018)				
445	• Consultation with the patient's medical care provider may be necessary for management of at-				
446	risk patients. (Albandar et al, 2018; Jepsen et al, 2018)				
447					
448	Special management considerations				
449	Respiratory diseases affecting the periodontium				
450	Health of the periodontium depends on saliva's mechanical cleansing and antimicrobial properties.				
451	Respiratory diseases, either directly (e.g., mouth breathing) or through side effects (e.g., xerostomia) of				
452	therapeutic agents, may alter salivary flow (Ballikaya et al, 2018; Moraschini et al, 2018). Nasopharyn-				
453	geal obstruction from adenoid and tonsillar hypertrophy, as well as significant neuromuscular weakness				
454	with a history of snoring, can also affect periodontal health (Ballikaya et al, 2018). Depending on the				
455	individual oral/dental needs of patients with respiratory diseases, the pediatric dentist plays an important				
456	role in early diagnosis of general and oral health problems associated with respiratory diseases, care				
457	management, and establishment of a multidisciplinary approach that may include, but is not limited to,				

458

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orthodontists, primary care providers, otolaryngologists, and speech pathologists (Ballikaya et al, 2018). 459 Regular dental check-ups with proper oral hygiene instructions for home plaque control, mouth rinsing 460 after medications, and use of fluoride toothpaste are important preventive regimens to reduce the risk of 461 periodontal disease and dental caries among patients with respiratory diseases (Ballikava et al. 2018). 462 463 Recommendations: 464 Clinicians should carefully evaluate the patient's health history and medications in order to identify respiratory conditions and medications that impact salivary flow and dental and 465 periodontal health. 466 If airway obstruction is determined to affect periodontal health, an evaluation by an 467 • 468 otolaryngologist is recommended. Clinicians should consider a multidisciplinary approach, referral and/or care coordination for 469 • 470 patients with general and/or oral health problems associated with respiratory diseases. 471 472 Oral conditions related to immunosuppressive or radiation therapies 473 Patients undergoing immunosuppressive and/or radiation therapies may present with periodontal problems associated with treatment. Gingival bleeding, soft tissue necrosis, salivary gland dysfunction, 474 475 opportunistic infections (e.g., candidiasis, herpes simplex virus), and oral graft versus host disease are 476 among the many acute and long-term complications associated with these therapies (Hong & da Fonseca, 477 2008; Epstein et al, 2012; da Fonseca, 2018; da Fonseca, 2019; AAPD Dental Management of Pediatric Patients Receiving Immunosuppressive Therapy and/or Radiation Therapy, 2021). Special attention 478 479 should be given to partially-erupted molars that may be at risk for pericoronitis (Hong et al, 2018, da 480 Fonseca, 2019). When definitive periodontal therapy cannot be rendered, extraction of hopeless 481 peridontally-involved teeth is the treatment of choice (Hong & da Fonseca, 2008; da Fonseca, 2019; 482 AAPD Dental Management of Pediatric Patients Receiving Immunosuppressive Therapy and/or Radiation 483 Therapy, 2021). A periodontal assessment and appropriated therapy are indicated before patients 484 undergoing cancer treatment receive bisphosphonates (AAPD Dental Management of Pediatric Patients 485 Receiving Immunosuppressive Therapy and/or Radiation Therapy, 2021). Refer to Dental Management of 486 Pediatric Patients Receiving Immunosuppressive Therapy and/or Radiation Therapy (AAPD Dental Management of Pediatric Patients Receiving Immunosuppressive Therapy and/or Radiation Therapy, 487 488 2021) for additional information on managing of periodontal considerations in these circumstances. 489

- 490 Recommendations:
- 491 Clinicians should work closely with the patient and his caregivers, as well as with his multidisciplinary
- 492 health care team, to ensure that any medically-necessary dental treatment is integrated, coordinated, and
- delivered in a timely and safe manner before, during, and after immunosuppression or radiation therapy
- 494 (Epstein et al, 2012).
- 495

#### 496 Drug-influenced gingival enlargements

- 497 Drug-influenced gingival enlargements have been associated with three types of medications:
- 498 anticonvulsants (e.g., phenytoin, sodium valproate), calcium channel blockers (e.g., veramapil, diltiazem),
- and immunosuppressants (e.g., cyclosporine) (Camargo et al, 2019; Mawardi et al, 2021). In most cases,
- 500 the gingival enlargement is induced by the combination of the drugs (i.e., fibrotic aspect) and the bacterial
- 501 biofilm (i.e., inflammatory aspect) (Camargo et al, 2019). Treatment options may include: (1) possible
- 502 drug discontinuation or change; (2) biofilm control by means of home oral hygiene, use of antimicrobial
- agents (e.g., CHX), frequent professional cleaning and SRP, removal of plaque-retentive areas (e.g.,
- faulty restorations); and (3) surgical removal of enlarged gingiva (e.g., gingivectomy using a scalpel or
- laser-assisted therapy, flap surgery, or electrosurgery) (Murakami et al, 2017; Camargo et al, 2019;
- 506 Mawardi et al, 2021).
- 507

508 Periodontal flap surgery to manage gingival enlargements are favored over gingivectomy in terms of

- 509 minimizing the amount of tissue and time recurrences (Camargo et al, 2019). However, in general,
- 510 gingivectomy is indicated for small areas of gingival enlargement (i.e., up to six teeth) where there is no
- 511 evidence of CAL or the need for osseous surgery; while flap surgery is indicated for larger areas (i.e.,
- 512 more than six teeth) with evidence of CAL or the need for osseous surgery (Camargo et al, 2019).
- 513 Antibiotic therapy as an adjunctive antimicrobial and anti-inflammatory agent has been proposed as
- another step in the management of gingival enlargements (Camargo et al, 2019; Mawardi et al, 2021).
- 515
- 516 Recommendations:
- Clinicians should understand the etiology of gingival enlargements before considering the best
   management approach.
- Biofilm control, SRP, and timely evaluation of the initial treatment response should occur before
   considering surgical therapy.
- 521
- 522 Oral soft-tissue and tooth-supporting structure injuries

523 Orofacial trauma can result in extraoral and intraoral soft tissue injuries such as lacerations, contusions, abrasions, and avulsions (Bourguignon et al, 2020; Levin et al, 2020). Traumatic dental injuries (TDI) 524 525 (Drummond, 2017) almost always involve the periodontal tissues which may undergo ischemia, crushing, 526 or loss. (Yu & Abbott, 2016; Drummond, 2017). Injuries to the periodontal ligament (PDL) may range 527 from minor lacerations with dental concussion, tearing of the fibers with subluxation, to partial or 528 complete separation with luxation or avulsion, (Hermann et al, 2012 [A]; Hermann et al, 2012 [B]) and 529 loosening and displacement of the tooth can occur (Hermann et al, 2012 [A]; Hermann et al, 2012 [B]). 530 When foreign bodies (e.g., gravel, tooth fragments) may be embedded within the injured soft tissues, 531 clinical inspection is supplemented by a soft-tissue radiograph (Bourguignon et al. 2020). Removal of foreign bodies is necessary to avoid tissue infection, scarring, or tattooing (Andersson & Andreasen, 532 2018; Elias and Bauer, 2009). Cleansing, debridement, hemostasis, and closure are the major steps in 533 534 managing soft tissue injuries with the goals to maintain tissue vascularity, enhance healing, and prevent 535 tissue devitalization, as well as to minimize the risk of gingival recession and bone/root exposure (Elias and Bauer, 2009). Reapproximated soft tissue wounds are sutured using the minimal amount of small-536 diameter sutures (Andersson & Andreasen, 2018; Elias and Bauer, 2009). Because determining which 537 wounds are tetanus prone is not possible, need for tetanus prophylaxis is based on the patient's current 538 immunization status (Rhee et al, 2005). A decision for antibiotic prophylaxis is based on the severity and 539

- 540 contamination status of the tissue injury (Day et al, 2019).
- 541

542 Splinting stabilizes traumatized teeth with the goals to optimize PDL reattachment and healing and to 543 protect the teeth against further insult (Goswami & Eranhikkal, 2020; Sobczak-Zagalska & Emerich, 544 2020). Characteristics of an ideal splint for mobile traumatized teeth include being passive, flexible, and 545 non-irritating to surrounding soft tissues as well as allowing for physiological tooth mobility and proper 546 oral hygiene (Goswami & Eranhikkal, 2020; Sobczak-Zagalska & Emerich, 2020). Alveolar bone 547 fractures require a more rigid splint with longer splinting time (Fouad et al, 2020).

548

549 The risk of PDL healing complications is very low for concussion, subluxation, extrusive and lateral

- 550 luxation injuries and significantly more for TDI involving multiple teeth and teeth with full root
- development (Hermann et al, 2012 [A]; Hermann et al, 2012 [B]). The most common complications are
- <sup>552</sup> "repair-related resorption (surface resorption), infection-related resorption (inflammatory resorption),
- ankylosis-related resorption (replacement resorption), marginal bone loss, and tooth loss" (Hermann et al,
- 554 2012 [B]). Ankylosis-related root resorption is an expected outcome in replanted teeth, especially with an
- extra-alveolar dry time longer than 60 minutes or transport medium other than one capable of maximizing

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- the vitality of the PDL cells (e.g., milk, Hanks' Balanced Salt Solution) (Is Khinda et al, 2017; Fouad et al, 2020).
- 558
- 559 Recommendations:
- Management of orofacial soft tissue injuries should include cleansing, debridement, establishing
   hemostasis, and closure of wounds in a manner that maintains tissue vascularity, enhances
   healing, and prevents tissue devitalization.
- The clinician should determine the need for tetanus prophylaxis based on the patient's current
   immunization status. When immunization status is in doubt, evaluation by a physician within 48
   hours is indicated. (Andersson & Andreasen, 2018; Day et al, 2019; Fouad et al, 2020; Callison &
   Nguyen, 2021).
- A decision for antibiotic prophylaxis should be based on the severity and contamination status of
   the tissue injury (Andersson & Andreasen, 2018; Day et al, 2019). Because the PDL of an
   avulsed tooth may have been contaminated by oral or environmental bacteria, systemic
   prophylactic antibiotics are recommended following tooth replantation (Fouad et al, 2020).
- Depending on the extent of the injury suffered by the periodontium, collaboration between the
   primary care dentist and a periodontologist may be needed to allow effective and successful
   clinical outcomes following dentoalveolar trauma.
- 574

### 575 Infections of bacterial, fungal, and viral origins

The gingiva may demonstrate a variety of lesions that are not caused by plaque and usually do not resolve 576 after plaque removal (Caton et al, 2018). Infections of bacterial (e.g., necrotizing gingivitis), fungal (e.g., 577 578 candidiasis), and viral (e.g., primary herpetic gingivostomatitis, recurrent intraoral herpes simplex 579 infection) origins are some examples of non-plaque induced gingival lesions observed in the pediatric 580 population (Silva et al, 2019). Successful treatment of infectious lesions requires clinicians to perform a thorough medical history appraisal, assessment of local and systemic contributing factors, and 581 comprehensive oral examination aimed to achieve appropriate diagnoses and treatment plan. Elimination 582 583 or reduction of all local and systemic risk factors that contribute to the infection initiation or progression is needed for treatment completeness, followed by close monitoring to assess treatment effectiveness, 584 585 patient compliance, and risk of recurrence. 586

587 Recommendations:

- Initial therapy should focus on alleviating acute symptoms of pain and distress. This could
   include oral analgesics to control fever, malaise, and pain, as well as fluids to prevent
   dehydration.
- 591

• Antimicrobial therapy should be considered when an infection is not self-limiting or if there are frequent recurrences.

- 592 593
- 594 Traumatic gingival and oral mucosa lesions

595 Traumatic lesions can be accidental, iatrogenic, or self-inflicted and are physical (e.g., oral piercing,

- aggressive toothbrushing), chemical (e.g. dental materials, topical cocaine), or thermal (e.g. overheated
- foods and drinks) in nature (Rawal et al, 2004; Holmstrup et al, 2018). The appearance of the lesion (e.g.,
- 598 acute ulcerations vs chronic gingival defects) and a detailed history are crucial in achieving a diagnosis.
- 599 Self-injury behavior (SIB) has been reported among individuals with psychiatric illnesses (e.g.,
- 600 personality disorders, bipolar disorder, major depression, anxiety disorders, obsessive-compulsive
- disorder) and congenital insensitivity to pain (e.g., familial dysautonomia), as well as a variety of
- 602 developmental and intellectual disabilities (e.g., autism) (Romer & Doughtery, 2009). Gingival picking/
- scratching is among the most common oral SIB (Krejci, 2000; Medina et al, 2003; Rawal et al, 2004;
- Dilsiz & Aydinb, 2009; Romer & Doughtery, 2009; Malaga et al, 2016). Management of self-inflicted
- traumatic lesions may be complicated due to lack of patient's compliance. The patient's primary care
- 606 provider may help rule out any medical reasons for SIB (e.g., otitis media, infection, pneumonia) or
- 607 specific genetic disorders (e.g., Lesch-Nyhan syndrome) or determine comorbid psychiatric conditions.
- 608 An approach that includes medical and behavioral specialists may be indicated. Periodontal plastic
- surgery (e.g., placing a graft to create or widen the attached keratinized tissue) (Takei, 2019B), may be
- 610 necessary for permanent gingival defects (Krejci, 2000; Rawal et al, 2004; Dilsiz & Aydinb, 2009).
- 611
- 612 Recommendations:
- Management of traumatic oral lesions requires removal of the offending agent and symptomatic
   therapy.
- Treatment of SIB should be individualized; diagnosis and treatment of the underlying mechanism
  comprise the most successful approach (Malaga et al, 2016).
- Behavior modification, pharmacotherapy, immobilization devices, oral appliances to control harmful habits, and/or psychological or psychiatric support may be beneficial (Romer & Dougherty, 2009; Malaga et al, 2016).

- Re-evaluation and monitoring management approaches should occur while treating self-inflicted
   traumatic lesions.
- 622
- 623 Pericoronitis

624 Pericoronitis refers to an inflammatory lesion developed when food debris and bacteria are present beneath the excess flap of soft tissue surrounding partially-erupted teeth, most frequently involving 625 626 mandibular third molars (Klokkevold & Carranza, 2019B). The pericoronal flap of soft tissue may be chronic without any symptoms; however, when acute, patients may experience severe pain, mouth 627 opening restriction, gingival abscess, cellulitis, fever, lymphadenopathy, and presence or risk for systemic 628 629 complications (Schmidt et al, 2021). A rare complication is Ludwig's angina, a life-threatening condition 630 that occurs when infection spreads to submandibular, sublingual, and submental spaces thereby 631 compromising the patient's airway (Schmidt et al, 2021). The first course of treatment for acute pericoronitis is infection and pain management (Klokkevold & Carranza, 2019B; Schmidt et al, 2021). 632 633 Nonsteroidal anti-inflammatory drugs (NSAIDs) are the analgesics of choice since the control of inflammation helps to control acute pain (Moussa & Ogle, 2022). Patient compliance for home oral 634 635 hygiene is also key for treatment success (Schmidt et al, 2021). Once acute symptoms resolve, decisions 636 can be made regarding the need for further treatment (e.g., pericoronal tissue surgery or tooth extraction) 637 (Klokkevold & Carranza, 2019B; Schmidt et al, 2021). 638 Recommendations: 639 Management during the acute phase should consist of (Klokkevold & Carranza, 2019B); Schmidt 640 641 et al, 2021): 642 o debridement and irrigation of the pericoronal area, drainage of purulence to relieve pressure, 643 0 occlusion evaluation to determine the need to reduce soft tissue or adjust occlusion of 644 0 opposing tooth, 645 pain control using NSAIDs, 646 0 antibiotics if the infection is not localized and/or if there are systemic signs and 647 0 648 symptoms, and

649 o home care plan to include oral cleaning, warm saline rinses, antiseptic agents (e.g.,
650 CHX), and sufficient fluid intake.

- After the acute phase, practitioners should (Klokkevold & Carranza, 2019B; Schmidt et al, 2021):
   evaluate prognosis and likelihood that the tooth involved will either erupt without complications
   or continue to pose a risk for pericoronitis recurrence and decide to either remove the pericoronal
   flap (if not removed during the acute phase) or extract the tooth to prevent recurrence.
- Ludwig's angina requires early recognition, immediate intervention (e.g., early and aggressive antibiotic therapy, surgical drainage, nutrition, hydration), and close monitoring. Due to the threat of rapid airway compromise, emergency referral to otolaryngology or oral maxillofacial surgery should occur without delay (Bridwell et al, 2021).
- 659

#### 660 Considerations for treatment, coordination, and/or referral of care with a periodontist

Most pediatric patients will attain periodontal disease control with non-surgical therapy and not require further surgical intervention. When PPD are >5 mm, referral to a periodontal specialist may be indicated. Periodontal surgery may improve tooth support through pocket reduction, bone augmentation, and regeneration procedures (Takei, 2019A). Other considerations for referral include: (1) extent of the disease (generalized or localized periodontal involvement); (2) presence of short-rooted teeth; (3) teeth

- 666 hypermobility; (4) difficulty in SRP deep pockets and furcations; (5) possibility of damage to the
- 667 developing permanent successor tooth; (6) restorability and importance of particular teeth for
- reconstruction; (7) lack of resolution of inflammation after thorough plaque or biofilm removal and
- excellent SRP; (8) presence of systemic diseases and other conditions that compromise the host response;
- and (9) very importantly for the pediatric population, the age of the patient (Takei, 2019A). Younger
- patients, both systemically healthy and compromised, with extensive CAL are more likely to have
- aggressive forms of periodontitis that can be rapidly destructive necessitating timely advanced therapy.
- Early loss of primary teeth and bone loss visible on posterior bitewing radiographs are important
- 674 indicators of aggressive forms of periodontitis that require further follow-up and/or referral (Devi et al,
- 675 2015). The possibility of an underlying systemic disease cannot be discarded.
- 676
- 677 The treatment for periodontitis as a manifestation of systemic conditions is dependent on the systemic
- disorder. Two fundamental treatment differences exist: (1) patients for whom the systemic disease and a
- 679 conservative periodontal treatment approach do not represent grave danger to life; and (2) patients for
- 680 whom the systemic disease (e.g., hypophosphatasia, leukocyte adhesion deficiency syndrome,
- neutropenia) and a conservative periodontal treatment approach may represent grave danger to life.
- 682 Managing the periodontal diseases in these children, even when extractions of primary teeth at an early

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age is the treatment of choice, is crucial since such systemic diseases may endanger the children's lives 683 684 (Delcourt-Debruyne et al, 2000; Lozano et al, 2014; Thumbigere et al, 2018; Ajitkumar et al, 2021). 685 686 In terms of coordination and referral of care with a periodontist, important considerations include (Kraut, 687 1996; Kraut et al, 2010): the primary care dentist will be working closely with the medical team, and all pertinent patient 688 689 information needs to be available to the periodontist to determine the necessity of advanced 690 periodontal therapies; 691 the level and frequency of communication between the primary care dentist and the periodontist • 692 will be more than is required for healthy patients. Timely communication before and after each 693 diagnostic and surgical appointment is essential; and 694 the types and levels of behavioral and pharmacologic pain and anxiety control available in the • 695 periodontal office may not be ideal for the young patient. Seeing the patient together may help 696 meet these needs. 697 698 Recommendations: The treatment of periodontitis as a manifestation of systemic disease where a conservative 699 700 periodontal treatment approach may represent grave danger to the child's life should include 701 communication with pediatrician or medical specialist, as well as a periodontist, to consider the 702 risk and benefit of conservative periodontal treatment versus tooth extractions. Extraction may be 703 the best treatment with a continuing periodontal infection causing severe destruction of bone and developing permanent teeth and endangering the child's life. 704 The treatment of periodontitis as a manifestation of systemic disease where a conservative 705 • 706 periodontal treatment approach does not represent grave danger to the child's life should include: 707 communication with the child's pediatrician or medical specialist about the systemic 0 708 condition, its diagnosis based on the oral, laboratory analyses, and systemic findings, as well 709 as coordination of systemic and periodontal treatments; 710 consultation, coordination, and/or referral of care with a periodontist if beyond the scope of 0 711 pediatric dentistry practice; 712 o nutritional evaluation and counseling; 713 assessment of traumatic gingival lesions, harmful habits, and self-injurious behavior; 0 oral prophylaxis, SRP, and individualized patient oral hygiene instruction; 714 0

- o consideration of chemical adjunctive anti-plaque and anti-calculus agents;
- o management of risk factors (e.g., caries lesions, defective restorations, dental trauma);
- o consideration of topical antimicrobial adjuncts and systemic antibiotics;
- o consideration of periodontal surgery for severe gingival or periodontal diseases; and
- o recall appointments based on each individual compliance and treatment achievements.
- 720

### 721 Surgical Therapy (Phase II Therapy)

- 722 Periodontal surgical therapy, which includes "plastic, aesthetic, resective, and regenerative procedures,
- becomes necessary when access for root therapy is required or correction of anatomic or morphologic
- defects is necessary" (Takei, 2019B). Placement of dental implants can also be part of phase II therapy.
- 725 The main goals of surgical therapy are to improve prognosis of the teeth and their replacements, as well
- as improve aesthetics (Takei, 2019B). During this phase, the role of the primary care dentist is to provide
- 727 treatment or refer/coordinate the care with a periodontal specialist when the needed treatment exceeds the
- 728 practitioner's scope of practice. Prior to any surgical therapy, clinicians should provide the patient an
- opportunity to have questions answered and obtain written informed consent to proceed with the therapy
- 730 proposed. Following are some surgical therapy considerations.
- 731

### 732 *Pocket reduction surgery*

- The primary goal of surgical pocket reduction is to create access for professional SRP and reduce PPD
- (Graziani et al, 2017; Takei 2019B). It is especially useful for areas with bony defects and/or with
- furcation involvement (Takei 2019B) and best limited for pockets depths > 5mm (Graziani et al, 2017). If
- successful, surgery will enable the patient to perform adequate home cleaning and maintain long-term
- 737 periodontal health. The most common pocket reduction surgical procedures are resective (e.g.,
- 738 gingivectomy and flaps) and regenerative (e.g., flaps with graphs or membranes) (Takei 2019B).
- 739

#### 740 *Resective surgery*

- 741 <u>Gingivectomy</u> The indication of gingivectomy in the treatment of periodontal disease is to remove the
- soft tissue of the pocket wall in order to create visibility and access for complete SRP. In combination
- with gingivoplasty (i.e., recontouring of the gingiva), gingivectomy can achieve a favorable environment
- for soft-tissue healing and physiological gingival contour (Deas et al, 2016; Takei 2019B). The two main
- advantages of gingivectomy are the ease and simplicity of this surgical procedure (Camargo et al, 2019).
- 746 Due to secondary wound closure, gingivectomy procedures cause more postoperative discomfort and
- bleeding when compared to periodontal flap surgeries (Camargo et al, 2019). With advances in flap

surgeries, gingivectomy is less utilized (Takei 2019B) but remains beneficial in the treatment of gingival

- enlargements and supra-bony pockets when the pocket wall is firm and fibrous (Deas et al, 2016; Do et al,
- 2019B). Gingivectomy is not indicated in cases when access to bone is required, the keratinized tissue
- zone is narrow, aesthetics is a concern, and risk for postoperative bleeding is increased (Camargo et al,
- 752 2019; Do et al, 2019B).
- 753

754 Flap surgery Periodontal flap surgery, the most widely used procedure for pocket therapy, provides great 755 access for SRP, periodontal regeneration, and gingival and osseous resections (Takei 2019B) in moderate 756 and deep posterior pockets. Due to esthetic concerns, non-surgical periodontal treatment in the anterior 757 maxillary area is preferred; however, surgery is indicated when better visualization and SRP access are needed (Camargo et al, 2019; Takei 2019B). In addition, flap surgery allows primary closure improving 758 759 both wound healing and patients' post-surgical discomfort (Deas et al, 2016; Takei 2019B). Conversely, 760 the periodontal flap approach is more technically difficult compared to gingivectomy (Camargo et al. 761 2019).

762

#### 763 *Regenerative surgery*

764 Periodontal regeneration aims to restore the lost periodontal tissues and their respective functions by the formation of new alveolar bone, cementum, and PDL (Larsson et al, 2016; Reynolds et al, 2015; Kao et 765 766 al, 2019; Aimetti et al, 2021). In addition to managing intrabony and furcation defects resultant of 767 periodontal diseases (Kao et al, 2019), regeneration may correct undesirable outcomes associated with 768 resective surgical techniques such as loss of CAL and soft tissue recession (Stavropoulos et al, 2021). In 769 cases of hopeless teeth, regeneration therapy is less costly when compared to extractions and dental 770 implants (Cortellini et al, 2020). Several regeneration therapies including guided tissue regeneration and 771 bone grafts (e.g., autogenous, allogenic, xenogenic, synthetic or alloplastic) have been studied (Larsson et 772 al, 2016, Kao et al, 2019; Stavropoulos et al, 2021; Aimetti et al, 2021). Systematic and meta-analysis 773 reviews have shown periodontal regeneration in intrabony defects results in shallower residual PPD and 774 greater CAL gain than flap surgeries (Stavropoulos et al, 2021; Aimetti et al, 2021). In addition, a 775 combination of regenerative approaches appears to be more effective when compared to regenerative 776 monotherapies (Stavropoulos et al, 2021). Disadvantages of regenerative therapies include their 777 technically-demanding surgical procedures and dependence on patients' compliance with home oral 778 hygiene and professional maintenance care, as well as the need for longitudinal randomized clinical trials 779 to provide more evidence regarding their long-term benefits (Kao et al, 2019; Stavropoulos et al, 2021; 780 Aimetti et al, 2021).

781

#### 782 Laser therapy

Lasers have been used successfully in several periodontal therapies such as gingivectomy/gingivoplasty,
 frenectomy, drug-induced gingival overgrowth reshaping, crown lengthening and exposure,

- depigmentation, and management of excess tissue in gummy smile and pericoronitis (Klokkevold et al,
- 786 2019D; Mossaad et al, 2021). Advantages associated with the use of lasers include better visualization
- during the surgical procedure due to hemostasis and coagulation, easier use than scalpels, reduced need of
- sutures, wound detoxification, enhanced healing, better patient acceptance, and post-operative pain
- control (Behdin et al, 2015; Jha et al, 2018, Klokkevold et al, 2019D; Protásio et al, 2019). Laser-assisted
- new attachment procedure (LANAP) has shown to initiate regeneration and improve clinical outcomes in
- the non-surgical treatment of moderate to advanced periodontitis, as either a monotherapy or as an adjunct
- to SRP (Jha et al, 2018, Klokkevold et al, 2019D), due to its benefits of detoxification, calculus removal,
- minimally invasive access for SRP, and killing of periodontal pathogens (Behdin et al, 2015; Jha et al,
- 2018, Klokkevold et al, 2019D). However, more data is needed to support the use of lasers as adjuncts to
- resective and regenerative therapies (Behdin et al, 2015, Jha et al, 2018). The greatest risk associated with
- lasers is unintentional tissue necrosis due to excessive temperatures (Klokkevold et al, 2019D). The use of
- <sup>797</sup> laser in labial frenectomies has shown to be superior to scalpel regarding post-operative pain and
- discomfort during speech and mastication (Protásio et al, 2019), while its use for lingual frenotomies has
- not shown to be superior to other techniques (Messner et al, 2020).
- 800

#### 801 *Extractions of teeth due to periodontal reasons*

- 802 Extraction of periodontally-compromised teeth may be the best management for some patients. Important
- 803 considerations include previous unsuccessful therapies, dental implants as an alternative, cost-
- 804 effectiveness of periodontal procedures, as well as the patient's systemic health, compliance, and finances
- 805 (Larsson et al, 2016; Kao et al, 2019; Cortellini et al, 2020; Aimetti et al, 2021). For pediatric patients,
- 806 extraction of primary teeth may be indicated if the periodontal lesion approximates the developing
- 807 permanent successor, endangering the dental development.
- 808

#### 809 Dental Implants

- 810 The placement of dental implants in younger patients requires a carefully coordinated and
- 811 multidisciplinary team approach. In general, conservative treatment is indicated for growing patients with
- 812 missing teeth. Important considerations include:
- the number of missing teeth along with soft and hard tissue anatomy,

- growth and development,
- systemic conditions and psychological and behavioral maturity (Bohner et al, 2019), and
- alternative therapies such as orthodontic and prosthetic treatments.
- 817

Assessment of growth and development is key to successful outcomes for dental implants in pediatric 818 819 patients. Early placement of implants in the growing patient can result in rotation of the dental implant and infra-occlusion as the adjacent teeth continue to erupt and the jaw grows (Bohner et al, 2019). 820 821 Patients vary considerably in their growth patterns, and individual patients may have periods of rapid and slower growth (Gross & Nowak, 2019). Thus, chronological age is not a good indicator of completion of 822 823 growth. In contrast, skeletal maturation, assessed by cephalometric analysis or hand wrist radiographs, is 824 a good determinant (Kamatham et al, 2019). While age is not the determining factor for when implants 825 are appropriate and the evidence from long-term studies is still evolving, case reports give some indication of success (Lambert et al, 2017; Kamatham et al, 2019). A general recommendation exists for 826 the age of 15 in girls and 17 for boys for implants in the maxillary anterior region (Kraut 1996; Lambert 827 828 et, 2017; Bohner et al, 2019). 829 Recommendations: 830 If PPD inhibits subgingival access or anatomic/morphologic defects require correction, the 831 • clinician should inform the patient of the need for and benefits/risks of periodontal surgical 832 833 therapy, as well as treatment alternatives. 834 Extraction of periodontally-compromised teeth may be the best management for some patients. • • Clinicians should consider referral to a specialist when the surgical interventions are beyond their 835 836 scope of practice. Determination for advisability and timing of implant placement must be based on the specific 837 circumstances of the individual patient. The patient's stage of growth and development is critical 838 839 to treatment success. 840 841 **Maintenance Phase** 842 The long-term success of periodontal therapy outcomes is highly associated with the quality of recall 843 maintenance (Graziani et al, 2017; Trombelli et al, 2020). Following are some considerations of the 844 maintenance therapy phase:

• Determination of recall procedures (i.e., prophylaxis, periodontal maintenance)

- Determination of recall interval based on risk factors and history of disease
- Use of antimicrobial adjuncts during maintenance
- Individualized home care reinforcement
- Decision to when re-enter Phase I or Phase II therapy
- 850

851 A classic study (Axelsson & Lindhe, 1981) assessing the efficacy of a maintenance care program 852 demonstrated that patients placed on a three-month recall maintained excellent oral hygiene parameters 853 and stable periodontal attachment levels for two to six years following periodontal therapy, while the non-854 recall control group demonstrated significant periodontal attachment loss. A 30-year outcome report 855 (Axelsson, Nyström et al. 2004) from this study (Axelsson & Lindhe, 1981) demonstrated that patients 856 placed on an individualized maintenance program with a three to 12-month recall interval maintained stable periodontal conditions for 30 years. A review (Trombelli, et al. 2020) assessing predefined 857 858 periodontal recall intervals conducive to periodontal health and stability concluded that evidence supports a two to four month recall interval for patients affected by moderate to advanced periodontal disease. 859 860 Moreover, evidence supports a maintenance therapy program with at least 12-month interval recalls for patients who are periodontally healthy, are stable periodontally, or have mild forms of periodontitis 861 862 (Trombelli, et al. 2020). 863 Recommendations: 864 865 Clinicians should educate their patients and caregivers about the importance of supportive periodontal therapy to prevent disease relapse and provide individualized periodontal supportive 866 care when needed. 867 Every two to four months and at least every 12-month interval recalls are recommended for 868 • patients with higher and lower periodontal disease risk, respectively. 869 870 871 References 872 Aimetti M, Fratini A, Manavella V, et al. Pocket resolution in regenerative treatment of intrabony defects 873 with papilla preservation techniques: A systematic review and meta-analysis of randomized clinical 874 trials. J Clin Periodontol 2021;48(6):843-58. 875 Ajitkumar A, Yarrarapu SNS, Ramphul K. Chediak Higashi Syndrome. 2021 Oct 12. In: StatPearls 876 [Internet]. Treasure Island (FL): StatPearls Publishing; 2021.

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## This draft does not constitute an official AAPD health oral policy or clinical recommendation until approval by the General Assembly. Circulation is limited to AAPD members.

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