### Dental management of children with asthma

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#### **Abstract**

Asthma affects about 1 in 10 children. The condition is characterized by acute respiratory distress brought on by environmental factors. The condition is treated with medications aimed to reduce reaction to stimulants by the airway. Dental management involves attention to the status of the patient and awareness of stimulants of the reactive airway. Clinical recommendations are provided. (Pediatr Dent 18:363-70, 1996)

sthma is a chronic airway disease characterized by inflammation and bronchoconstriction. Both genetic and environmental factors are responsible for this disease, which affects approximately 5–10% of children.<sup>1,2</sup> Although the pathophysiology is well understood, morbidity and mortality rates are increasing.3 Asthma is the leading cause of pediatric hospitalization and accounts for nearly 1% of all U.S. medical expense.4 The prevalence of childhood asthma necessitates that dental practitioners be familiar with this disease. This paper will review the pathophysiology and medical management of asthma in children and discuss some of the oral problems and behavioral changes associated with this disease.

#### Clinical manifestations and pathophysiology of asthma

The typical symptoms of asthma are coughing, wheezing, chest tightness, and dyspnea. More severe bronchial obstruction results in labored breathing, tachypnea, tachycardia, pulsus paradoxus (a decline of 10 mm Hg or more in blood pressure during inspiration compared to expiration), and diaphoresis (profuse perspiration).<sup>5</sup> Asthma seems to be a heterogeneous disease, particularly in early childhood. About 75% of asthma in early childhood is mild, with minimal or no daily symptoms, and short-lived exacerbations often secondary to viral respiratory tract infections.7 Wheezing in the first 3 years of life is generally self-limiting with 60% of these children being symptom-free by age 6 years.8

The National Heart, Lung, and Blood Institute (NHLBI) of the National Institutes of Health has recently released an advisory on asthma that categorizes asthma as mild, moderate, or severe, based in part on the frequency and severity of daytime symptoms, exercise tolerance, and night-time symptoms.9 Children with mild asthma experience wheezing fewer than 2 days per week, lack nocturnal symptoms, and have relatively good exercise tolerance. Those with moderate asthma have wheezing 2-5 days per week with nocturnal symptoms and limited exercise tolerance. Patients with severe asthma have daily wheezing, exercise intolerance, and frequent nocturnal symptoms.

Bronchial inflammation is a major factor in the pathophysiology of childhood asthma. Inflammation potentiates the bronchial hyper-responsiveness to various triggering agents that is characteristic of asthma. The cellular inflammatory response has been shown to include infiltration of eosinophils, mast cells, and lymphocytes (mainly CD4+ T lymphocytes), together with respiratory epithelial cell damage and subepithelial thickening.<sup>10</sup> Patients with acute severe asthma have significant increases of three surface proteins associated with T lymphocyte activation: interleukin 2 receptor (IL-2R, CD<sub>25</sub>); class II histocompatibility antigen (HLA-DR); and "very late activation" antigen. 11 Lymphocytes and other inflammatory cells are a major source of cytokines, such as interleukins-3 (IL-3), IL-4 and IL-5, and granulocyte-macrophage colony stimulating factor (GM-CSF).12 Several mediators including histamine, cysteinyl leukotrienes, kinins, and eosinophil breakdown products, such as eosinophil cationic protein (ECP), also can be found in the asthmatic airway.13 These mediators have potent inflammatory and smooth muscle-constricting properties, which exacerbate the disease.14

#### **Precipitating factors**

The most common causes of asthma exacerbations are allergen exposures (e.g., pollens, mold spores, house dust, and insect and animal emanations), viral

or mycoplasmal respiratory tract infections, exposures to nonspecific airway irritants (such as cigarette smoke), and tapering of anti-inflammatory medications either by design or noncompliance. Exercise, especially in cold weather, triggers symptoms in approximately 80% of children with asthma. 15 Cigarette smoke is a nonspecific airway irritant that can increase the frequency and severity of respiratory symptoms in children with asthma. More severe asthma, diminished lung function, and increased episodes of respiratory infections are seen often in children whose parents smoke.<sup>16</sup> Emotional and psychological stress also may trigger asthmatic attacks. Aspirin and beta adrenergic blockers have been shown to trigger bronchospasm by a non-IgE-mediated mechanism. Reactions to food or food additives as well as IgE-mediated insect reactions and adverse responses to allergen desensitization treatments also have been reported.<sup>17</sup> IgE-mediated bronchospasm following ingested or injected agents may occur independently, but typically is part of a more generalized allergic reaction depicted clinically by angioedema, urticaria, and / or anaphylaxis. Epinephrine is indicated in subjects whose acute asthma is a component of such a systemic reaction.

#### Medical management

Acute and chronic asthma are classified into mild, moderate, and severe according to the severity and frequency of occurrence of the signs and symptoms and according to the degree of airway obstruction as measured with pulmonary function tests (Table 1). The medical management of childhood asthma is determined according to these criteria. However, the severity of either acute or chronic asthma may vary within the same patient over time, and therefore adjustments in treatment frequently are necessary. The goals of asthma therapy according to NHLBI guidelines include:

- 1. Maintaining normal activity levels (including exercise)
- 2. Maintaining near normal pulmonary function
- 3. Preventing chronic symptoms
- 4. Preventing recurrent exacerbations of asthma

5. Avoiding adverse effects from asthma medication.9 Pharmacological management of chronic childhood asthma involves two main categories of drugs: antiinflammatory agents and bronchodilators (Table 2). Children with mild asthma often are managed only with inhaled  $\beta_3$  receptor agonist bronchodilators, such as albuterol and terbutaline sulfate. The typical outpatient maintenance dose of albuterol is one to two puffs (90 μg each) every 4 to 6 hr as needed for symptoms. However, excessive use of  $\beta$ , agonists (more than 200 inhalations per month) is a sign of poorly controlled asthma. Cromolyn sodium and nedocromil sodium are anti-inflammatory agents that work in part by preventing mast cell release of mediators and are used in patients with moderate asthma. Given prophylactically,

cromolyn and nedocromil can prevent allergen-induced early asthmatic responses and late asthmatic responses, and may help reduce airway reactivity.<sup>18</sup> These medications, however, have no significant role in the management of acute asthma attacks. 19 Oral theophylline, which has bronchodilator and some anti-inflammatory effects, is another option for moderate asthma. Lastly, inhaled corticosteroids are very effective anti-inflammatory agents and are recommended for use in children with moderate to severe asthma, although there are more concerns about their long-term safety. Inhaled steroids provide good control for chronic asthma symptoms and are convenient because they can be administered twice daily.<sup>20</sup> In general, asthma that is more than mild in severity requires treatment with an anti-inflammatory agent and with β, agonists "as needed", preferably via inhalation.

Acute asthma is a medical emergency and initiation of therapy should not be delayed. The goal in treating acute asthma is to eliminate symptoms and improve lung function as quickly as possible.21 Initial treatment for acute severe asthma typically involves an inhaled β, agonist such as albuterol.<sup>22</sup> Albuterol is a rapid-acting drug whose maximal effects are seen within minutes.<sup>23</sup> Administration in the hospital is via a jet nebulizer driven by 100% oxygen through a face mask<sup>24</sup> or via a metered dose inhaler with a "spacer". Spacers are aerosol holding chambers that help coordinate metered dose inhaler actuation with inhalation; their use also helps minimize oral and enhance lung deposition of the aerosolized drugs. Ipratropium bromide (an anticholinergic) is a less potent bronchodilator used by some patients with moderate or severe acute asthma because when it is administered with albuterol, it provides an additive effect. Moderate doses of systemic corticosteroids (about 2 mg/kg/day of prednisone or equivalent) also are recommended for patients with acute severe asthma.21 Finally, supportive treatment of acute severe asthma includes supplemental oxygen, fluid and electrolyte maintenance, anxiety relief, and endotracheal intubation and, in extreme situations, mechanical ventilation.20

#### Associated oral problems

A 1993 restrospective study from Sweden reported an increased prevalence in caries in children with moderate to severe asthma.25 The mechanism for this development may relate to  $\beta_2$ -agonist effects on salivary gland function. Another report found that these agents decrease the secretion of whole saliva by 20% and parotid saliva by 35%, and are associated with an increase in the number of lactobacilli.26 These adverse changes contribute to an increased caries susceptibility. Due to this risk, asthmatic children should receive special caries prevention attention.

The role of impaired nasorespiratory function as an etiologic factor in the development of certain dentofacial deformities has been suggested.<sup>27, 28</sup> Bresolin

ARIF	( ATECORIZATIO	N OF ACLITE	AND CHRONIC	ASTHMA IN	L CHILDREN

hта Туре	Mild	Moderate	Severe
ıte	The state of the s		And the second s
Symptoms			
Respiratory rate above mean	Normal to 30% above mean	30–50% above mean	> 50% above mean
Dyspnea	Mild if present severe	Present but not speech	Fragmented
Color/level of consciousness	Good/normal	Pale/normal	Maybe cyanotic/ maybe decreased
Retractions intercostal	None to slight SCM retraction	Intercostal and and nasal flaring	Increasing effort
Auscultation wheezes	End-expiratory atory wheezes	Inspiratory/expi- sounds	Decreased breath
PEFR* (% of baseline)	70–90%	50–70%	< 50%
SaO <sub>2</sub> <sup>†</sup> in room air	> 95%	90–95%	< 90%
Treatment			
β2-agonist	Inhaled	Inhaled subcutaneous	Inhaled or
Oxygen	No	Yes	Yes
Systemic steroids	± Oral 4–5 days	Oral 4–5 days	IV
Usual outcome	Discharged	$\pm$ Hospitalized	Hospitalized, $\pm$ IC
onic			
History of ER/ hospital use	None	Occasional ER hospital	ER/occasional
Symptoms	0 1 / 1		<b>7</b> 0. 11
Frequency Duration	< 2 days/week < 1/2 hour	≥2 days/week May last few days	Daily Almost continuou
PEFR (% baseline)	≥ 80%	60-80%	< 60%
Treatment			
β2-agonists Anti-inflammatory	p.r.n. None; dose inhaled steroids	p.r.n. Cromolyn or low dose inhaled steroids plus theophylline	Daily High dose
Other	None; rarely oral steroids 4–5 days	Theophylline, oral steroids	Frequent or daily
Dental manageme	nt Routine examination, cleaning, simple operative procedu	Routine examination and cleaning res	Defer dental visit until controlled

PEFR (peak expiratory flow rate): the highest expiratory flow rate that can be achieved during a maximally forceful exhalation that starts at total lung capacity. This flow rate correlates well with the degree of bronchial obstruction and can be measured easily in the home or office with relatively inexpensive hand-held devices.

 $<sup>^{\</sup>dagger}~{\rm SaO_2};$  transcutaneous oxygen saturation of hemoglobin.

	Generic	Common		
Category	Name	Trade Names	Comments	Side Effects
Bronchodilator	The second secon	ALLONDO TO THE STATE OF THE STA		
<i>Inhaled β</i> 2 Short acting	Albuterol*	Ventolin™, Proventil™	First line drugs; recommended use "as needed" for symptoms. Effects last 4–6 hr	Transient tachycardia, tremor, nausea; less frequently nervousnes, palpitations
	Terbutaline* Bitolterol Pirbuterol	Brethaire <sup>™</sup> Tornalate <sup>™</sup> Maxair		
	Metaproternol*	Autohaler <sup>™</sup> Alupent <sup>™</sup> , Metaprel <sup>™</sup>		
Long acting	Salmeterol	Serevent™	Used as maintenance therapy with anti-inflamma- tory drugs	Same as short acting
Inhaled Anticholinergic				
	Ipratropium•	Atrovent <sup>™</sup>	Not used as first line drug in children	Cough, nervousness nausea
Oral	Theophylline	Theodur <sup>™</sup> , Slobid <sup>™</sup>	Used as maintenance therapy, may need to monitor blood levels. Fever, erythromycin and cimetidine increase blood levels	Nausea, vomiting, epigastric pain. Less frequently caffeine-like CNS effects
Anti-inflammatorı	1			
	Beclomethasone	Beclovent <sup>™</sup> , Vanceril <sup>™</sup>	Most effective inhaled anti-inflammatory agents. Impact on growth in children remains controversial	Oropharyngeal candidiasis, hoarseness, throat irritation. Very high doses may cause adrenal suppression
	Triamcinolone Flunisolide	Azmacort™ Aerobid™		••
Other Inhaled Anti-inflamma	ntory Agents Cromolyn•	Intal™	Safest inhaled anti-inflamma- tory agent, first choice in children	Cough, wheezing, throat irritation
	Nedocromil	Tilade™	Similar to cromolyn	Bad taste, cough, wheezing

<sup>\*</sup>Also available in nebulizer solution.

and coworkers evaluated 45 children with chronic rhinitis and mouth breathing and found that they presented with an increased upper anterior and total anterior facial height, higher palatal vaults, greater overjets, and higher prevalence of posterior crossbites.<sup>29</sup> In another controlled study, Venetikidou reported a greater incidence of posterior crossbite in asthmatic children.<sup>30</sup>

Inhaled steroids are being used increasingly as first-line therapy in children with moderate asthma.<sup>31</sup> However, inhaled steroid therapy has potential side effects including adrenal suppression, growth impairment,<sup>32</sup> throat irritation, dysphonia, dryness of the mouth, oropharyngeal candidiasis,<sup>33</sup> and rarely, tongue enlargement.<sup>34</sup>

### Associated behavior problems

Psychological disturbances have been shown to be more common in patients with severe asthma than in healthy children.<sup>35, 36</sup> For example, Mrazek found that 25% of severe asthmatics suffered from emotional disturbances.<sup>37</sup> Some authors suggest a "vicious cycle": asthma contributes to the development of behavioral problems, which, in turn, trigger or exacerbate asthmatic symptoms.<sup>38, 39</sup>

Asthma medications also have been associated with behavioral, affective, and neuropsychological changes in children. Patients were found to be easily tired, argumentative, irritable, and sad when receiving steroids.40 High-dose prednisone therapy, commonly used to treat acute severe asthma, may result in anxiety or depression, particularly for children with a history of emotional difficulty.<sup>40</sup> Oral β, agonists have been reported to cause psychotic reactions in adult patients. In children, inhaled albuterol frequently induces a short-term hand tremor. 41 The National Asthma Education Program Expert Panel Report: Guidelines for the Diagnosis and Management of Asthma has designated theophylline as a "second line drug", or a therapeutic alternative to cromolyn or inhaled corticosteroid in the treatment of moderately severe childhood asthma.42 Like caffeine, theophylline is a CNS stimulant and its side effects, which tend to increase with increasing plasma levels, include gastrointestinal discomfort, headache, nausea, vomiting, nervousness, insomnia, and rarely, seizures.<sup>17</sup>

Studies have found that parents of asthmatic children also have a higher anxiety level than parents of healthy children.<sup>43, 44</sup> Parental and child anxiety may result in overprotection and interfere with the child's ability to develop autonomy.<sup>39</sup> The fear of precipitating an asthma attack may result in parental failure to set appropriate limitations on behavior. Another common family dysfunction problem is child neglect. In a study of neglected children with chronic illness from low-income families, 16% were found to be asthmatic.<sup>45</sup>

#### Dental management

Safe dental management of children with asthma

depends on the pulmonary status of the patient at the time of the dental intervention. For patients with asthma, the practitioner should consider the following to determine how well the disease is controlled: 1) the frequency of asthmatic attacks, 2) the type of medications used chronically and during acute attacks, and 3) the length of time since the child was last seen emergently with acute asthma. Physical examination may include auscultation of the lungs, observation of the rate and depth of respiration, use of accessory muscles for respiration, shortness of breath, and coughing. For a severe asthmatic, consultation with the patient's primary care physician is recommended.

Dental procedures may be accomplished in the clinic setting for the asymptomatic or well-controlled asthmatic. A wheezing or poorly controlled patient should be reappointed. If a patient has been or is currently using a metered dose inhaler bronchodilator, it should be brought to each dental appointment. Anxiety is a trigger in children with asthma, and the dental environment is a common site for an acute asthmatic attack.46 A calm and confident approach by the dental staff may help alleviate anxiety. If conscious sedation is required, hydroxyzine (Vistaril™, Pfizer Labs, NY, NY), which has antihistaminic and sedative effects, and benzodiazepines, which are anxiolytic and do not induce bronchoconstriction, are usually recommended. 47, 48 Barbiturates and narcotics (especially morphine and meperidine) should be avoided in children with asthma because of their potential for stimulating histamine release, which can lead to bronchospasm.49 The analgesic and anxiolytic properties of nitrous oxide  $(N_2O)$ , as well as the supplemental oxygen received during N,O administration, are thought to help manage children with asthma.49 According to Malamed,50 the use of N<sub>2</sub>O in children with mild to moderate asthma can effectively prevent acute symptoms. However, because N,O is somewhat irritating to the airway, its use in children with severe asthma is contraindicated, and medical consultation is recommended prior to N,O use in these children.49,50

IV sedation should be used with extreme caution as asthmatics have limited control of their airways. Ketamine, a dissociative anesthetic with sedative, analgesic, and bronchodilating properties, 51, 52 has been used safely in asthmatic patients. 53, 54 However, ketamine's sympathetic activation is of concern for patients with a history of cardiovascular or hypertensive heart disease. Patients who have anything more than mild asthma should have procedures performed where standard monitors (pulse oximetry, end-tidal SaCO<sub>2</sub>, EKG, and blood pressure cuff) and intubation equipment are available. Patients with asthma who are prone to abrupt and severe episodes of airway obstruction are best treated in a hospital.

Children with asthma may be at risk for significant adverse reactions to medications commonly used in dental practice. Nearly 4% of patients with asthma are

allergic to aspirin and other nonsteroidal anti-inflammatory agents.55 Thus, acetaminophen usually is recommended for these children. Patients taking theophylline preparations should not receive erythromycin, because it interferes with the metabolism of theophylline and raises its blood level into the toxic range.49 Historically, dentists have been warned not to use local anesthetics with vasoconstrictors in asthmatic patients because vasoconstrictors contain sodium metabisulfite, a highly allergenic substance. 56, 57 Despite this warning, local anesthetics with vasoconstrictors have been used safely.<sup>58</sup> However, from another point of view, local anesthetics with vasoconstrictors should be used with caution since they may add to the effects of  $\beta_2$ -agonists, resulting in palpitations, increased blood pressure, and arrhythmias.48

Asthmatic children exposed to systemic glucocorticoids (GC) may be at risk for developing adrenal insufficiency during major dental procedures or general anesthesia. They also have a greater risk (up to three times) than children without asthma for developing anesthesia-related complications postoperatively.59 Children with asthma on maintenance systemic GC (daily or every other day) are adrenally suppressed and need to be supplemented on the day of the dental procedure by doubling the patient's usual daily dose.<sup>59</sup> Children at risk for developing adrenal insufficiency with major dental procedures or general anesthesia include those who have had four or more brief courses (4-5 days/course)<sup>60</sup> or a continuous 10-14 day course of systemic GC for acute asthma within the previous year, and those who have taken systemic GC within 30 days.59 These children probably need to receive stress replacement doses of steroids (60 mg hydrocortisone/ m<sup>2</sup>/dose) 6–8 hr before, and again 1 hr before the procedure, 61 although this is not generally agreed to by all authors. 59, 62 Children with asthma who do not fit these categories, and those who do but are undergoing only minor dental procedures, such as routine examination, cleaning, and simple operative procedures, do not require supplemental steroids.59

Children with severe asthma require an anesthesiology evaluation to avoid the risk of developing periand postoperative complications. A review of the patient's history, a physical examination, and in selected patients, a determination of pulmonary function (chest radiograph and peak expiratory flow or spirometry) may be necessary to identify those who require adjustment of their asthma therapy prior to dental treatment. Pulmonary function tests can identify some patients who are asymptomatic but are significantly obstructed and have a below-normal FEV, (the forced expiratory volume in 1 sec, a common measure of airway obstruction). Poorly controlled patients and those with nocturnal wheezing, frequent severe attacks, uncontrolled exercise-induced bronchospasm, or poor pulmonary function, should have dental treatment postponed until the asthma is controlled.

The following steps should be taken to manage an acute asthmatic attack in the dental office:

- Discontinue the dental procedure and allow the patient to sit or lie down in a comfortable position
- 2. Keep the airway open and administer  $\beta_2$ -agonists with inhaler or nebulizer
- 3. Administer oxygen via face mask, nasal hood, or cannula
- 4. If no improvement takes place and the patient is worsening, administer epinephrine subcutaneously (1:1000 solution, 0.01 mg/kg of body weight to a maximum dose of 0.3 mg), and summon medical assistance.<sup>50</sup>

It is very important to continue the therapy and keep a satisfactory oxygen saturation level until the patient is free of wheezing or until medical transportation is available to take the patient to an emergency room. The episode should be documented in detail and reported to the child's primary care physician.

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